Features of vascular adverse events in Japanese patients with chronic myeloid leukemia treated with tyrosine kinase inhibitors: a retrospective study of the CML Cooperative Study Group database

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| | 作成者: 藤岡, 功 |
| | メールアドレス: |
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| 17 18 | 6 | Authors: Isao Fujioka ¹ Tomoiku Takaku ¹ Noriyoshi Iriyama ² Michihide Tokuhira ³ Yuta |
| 19 20 21 | 7 | Kimura ³ Eriko Sato ⁴ Maho Ishikawa ⁵ Tomonori Nakazato ⁶ Kei-Ji Sugimoto ⁷ |
| 21 22 23 | 8 | Hiroyuki Fujita ⁸ Norio Asou ⁵ Masahiro Kizaki ³ Yoshihiro Hatta ² Norio |
| 24 25 | 9 | Komatsu ¹ Tatsuya Kawaguchi ⁹ |
| 26 27 | 10 | |
| 28 29 30 | 11 | Affiliations: |
| 31 32 | 12 | ¹ Department of Hematology, Juntendo University School of Medicine, Tokyo, Japan |
| 33 34 35 | 13 | ² Division of Hematology and Rheumatology, Department of Medicine, Nihon University School |
| 36 37 | 14 | of Medicine, Tokyo, Japan |
| 38 39 | 15 | ³ Departments of Hematology, Saitama Medical Center, Saitama Medical University, Saitama, |
| 40 41 42 | 16 | Japan |
| 43 44 | 17 | ⁴ Department of Hematology, Juntendo University Nerima Hospital, Tokyo, Japan |
| 45 46 47 | 18 | ⁵ Department of Hemato-Oncology, Comprehensive Cancer Center, Saitama Medical University |
| 48 49 | 19 | International Medical Center, Saitama, Japan |
| 50 51 | 20 | ⁶ Department of Hematology, Yokohama Municipal Citizen's Hospital, Yokohama, Japan |
| 52 53 54 | 21 | ⁷ Department of Hematology, Juntendo University Urayasu Hospital, Urayasu, Japan |
| 55 56 57 | 22 | ⁸ Department of Hematology, Saiseikai Yokohama Nanbu Hospital, Yokohama, Japan |
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| 3 2 Kumamoto, Japan 6 3 7 3 8 4 9 4 10 11 12 5 Corresponding author: 13 6 Tomoiku Takaku | cyo, Japan, |
|--|-----------------------|
| 6 3 8 9 4 10 11 5 Corresponding author: 12 13 6 Tomoiku Takaku | cyo, Japan, |
| 8 4 10 1 11 5 Corresponding author: 12 1 13 6 Tomoiku Takaku | cyo, Japan, |
| 101151213146Tomoiku Takaku | cyo, Japan, |
| 12 13 14 6 Tomoiku Takaku | tyo, Japan, |
| | tyo, Japan, |
| Department of Hematology, Juntendo University School of Medicine, Tol | y ' 1 ' |
| ¹⁷ ¹⁸ 8 3-1-1 Hongo, Bunkvo-ku, Tokyo 113-0033, Japan | |
| 19 20 21 9 Tel: +81-3-3813-3111: Fax: +81-3-3813-0841 | |
| $\begin{array}{c} 21 \\ 22 \\ 23 \\ 10 \\ \end{array}$ | |
| $\begin{array}{c} 23 \\ 24 \\ 25 \\ 25 \\ 25 \\ 25 \\ 25 \\ 25 \\ 25$ | |
| 26 11 ORCID 0000-0002-6493-6225 | |
| 28 12 29 | |
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1 Abstract

 $\mathbf{2}$ This study investigated the incidence rate and features of vascular adverse events (VAEs) in Japanese patients with chronic myeloid leukemia (CML) who were treated with tyrosine kinase inhibitors (TKIs). The analysis included 369 CML patients in the chronic or accelerated phases, selected from the CML Cooperative Study Group database; 25 events in 23 (6.2%) of these $\mathbf{5}$ patients were VAEs. At the time of VAE incidence, nine patients were on treatment with $\overline{7}$ imatinib, twelve with nilotinib, three with dasatinib, and one with bosutinib. VAE incidence comprised thirteen cases of ischemic heart disease (IHD), eight of cerebral infarction (CI), and four of peripheral arterial occlusive disease (PAOD). IHD incidence rate in the study population was higher than that in the age-matched general population, particularly in nilotinib-treated patients, while CI incidence rate was almost equivalent. Compared with the Suita score, the SCORE chart and the Framingham score risk assessment tools detected more patients with high or very high risk of VAEs. In conclusion, incidence of IHD requires closer monitoring in nilotinib-treated patients. More detailed investigations, for determining the most useful tool to predict VAE incidence and long-term analysis of therapy-related VAE cases are needed, for improving safety during TKI therapy.

1 Introduction

| 2 | Chronic myeloid leukemia (CML) is a disease of hematopoietic stem cells, resulting |
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| 3 | from oncogenic translocation between chromosomes 9 and 22 that leads to the formation of the |
| 4 | BCR-ABL1 fusion gene. Treatment of the chronic phase (CP)-CML has dramatically changed |
| 5 | since the emergence of the first-in-class tyrosine kinase inhibitor (TKI) imatinib; and the |
| 6 | TKI-based treatment has improved the outcomes of most CP-CML patients [1]. Currently, |
| 7 | second-generation TKIs are available and have facilitated faster and deeper clinical responses as |
| 8 | well as lower disease progression rates as opposed to imatinib [2-5]. On the other hand, longer |
| 9 | treatment duration and the increase in number of available TKIs gave rise to various kinds of |
| 10 | unexpected adverse events (AEs) [6]. In 2011, increased incidence of peripheral artery occlusive |
| 11 | disease (PAOD) among nilotinib-treated patients was first reported [7], followed by incidences |
| 12 | of VAEs including ischemic heart disease (IHD) and cerebral infarction (CI) [8]. Furthermore, it |
| 13 | became clear from the results of several clinical trials that demonstrated increased incidence of |
| 14 | VAEs owing to increases in TKI doses and treatment duration [2,5]. Because of the reports of |
| 15 | some patients dying, likely because of VAE incidence, these adverse events were considered as |
| 16 | more fatal complications of TKI treatment [9,10]. However, there are no available data |
| 17 | concerning the clinical features of VAEs and the efficacy of currently available tools for |
| 18 | assessing cardiovascular disease (CVD) risk in Japanese patients with CML. Here, we present |

the clinical entity of VAE incidence along with the estimation of the 1000 person-years risk $\mathbf{2}$ during TKI treatment, including imatinib, nilotinib, and dasatinib, in patients with CML who were enrolled in the CML Cooperative Study Group. The risk of VAE incidence using three CVD risk assessment tools were also evaluated in patients who developed VAEs. $\mathbf{5}$ Methods Patients This study included patients who were diagnosed with CML, according to the European LeukemiaNet (ELN) criteria described previously [11], between April 2001 and January 2016. CML patients in the blastic phase (BP) and CML patients who used interferon- α or any chemotherapeutic agent prior to or in combination with TKI were excluded. However, the prior use of hydroxyurea was accepted in this analysis. The study was approved by the research ethics boards of each institution participating in the study and was conducted in accordance with the Declaration of Helsinki. Statistical analysis VAEs noted in the study patients included CI, IHD, and PAOD. All patients who

18 developed VAEs were analyzed using three CVD risk assessment tools such as the SCORE

| 1 | chart [12], Framingham risk score (low: <5 points, moderate: 5-10 points, and high: >10 points) |
|----|--|
| 2 | [13], and Suita score (low: <41 points, intermediate: 41-55 points, and high: >55 points) [14], to |
| 3 | estimate the patients' 10-year risk of VAEs. Statistical analysis was performed using EZR |
| 4 | software [15], which is a graphical user interface for the R programming language (The R |
| 5 | Foundation for Statistical Computing Vienna, Austria; http://www.R-project.org/). VAE |
| 6 | incidence rates (1000 person-years) were expressed as the number of patients with VAE divided |
| 7 | by the total TKI treatment period. In brief, the incidence rates of IHD and CI were calculated |
| 8 | using the data on age and gender (male/female) in Tables 2 and 3 of references #16 and #17, |
| 9 | respectively, in each case [16,17]. The incidence of IHD and CI event in this population was |
| 10 | estimated from the summation of the incidence of each IHD and CI case, which was derived by |
| 11 | multiplying the observation years by the incidence rate. The expected 1000 person-years was |
| 12 | calculated by dividing the incidence by the total observation time. Comparison of IHD per 1000 |
| 13 | person-years between Japanese general population and TKI-treated CML patients could show |
| 14 | some differences because in this analysis, the 13 IHD events included 1 case of asymptomatic |
| 15 | angina. |
| 16 | |
| 17 | Results |
| 18 | Patient characteristics and TKI usage |
| | |
| | 6 |

| 1 | The characteristics of the 369 patients along with their TKI usage are presented in |
|----|--|
| 2 | Table 1. The median age of the study patients was 53.0 (range 18–89) years; the total number of |
| 3 | patients comprised 224 males and 145 females, and the median follow-up time was 71.8 (range |
| 4 | 1-196) months. At the start of the treatment, the median age and gender of the four TKI-treated |
| 5 | groups were not significantly different (imatinib: 53.0 [range 21-89] years; male, n = 114, |
| 6 | female, $n = 85$; nilotinib: 52.5 [range 19-89] years, male, $n = 84$ and female, $n = 56$; dasatinib: |
| 7 | 54.5 [range 18-89] years, male, $n = 91$ and female, $n = 52$; bosutinib: 56.0 [range 21-82] years, |
| 8 | male, $n = 22$ and female, $n = 7$). At diagnosis, 363 patients were in the CP, and 6 in the |
| 9 | accelerated phase. Twenty-five events of VAEs in 23 (6.2%) patients (two patients developed 2 |
| 10 | VAEs each) were reported during the study period (Table 2). At the time of VAE incidence, nine |
| 11 | cases were treated with imatinib, twelve with nilotinib, three with dasatinib, and one with |
| 12 | bosutinib. |
| 13 | |
| 14 | Details of VAEs and comparison of incidence rates between the Japanese general |
| 15 | population and TKI-treated patients |
| 16 | Characteristics of patients who developed VAEs are listed in Table 2. Of the 25 VAEs |
| 17 | observed, thirteen were IHD, eight were CI, and four were PAOD (Fig. 1 & Table 2). Sixteen of |
| 18 | the 23 patients with VAEs were men, and the median age was 61.0 (range 35-85) years. The |
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| | 7 |

| 1 | median treatment duration from the initiation of the current TKI was 78.7 (range $0-139.7$) |
|--|---|
| 2 | months, and six nilotinib-treated patients were switched from other TKIs. With regard to |
| 3 | treatment dose at the time of VAE incidence, nine patients were treated with nilotinib at a dose |
| 4 | of 600 mg; one, 300 mg; and two, 150 mg; nine other patients were treated with 400 mg |
| 5 | imatinib; three patients were treated with dasatinib at a dose of 100 mg; one patient was treated |
| 6 | with 100 mg bosutinib. Of note, one out of the three dasatinib-treated patients developed acute |
| 7 | myocardial infarction (AMI) on the day after dasatinib initiation; this patient had aortic valve |
| 8 | stenosis, which was considered to be the trigger for AMI. The patient was treated with |
| 9 | catheter-based coronary artery intervention and restarted on dasatinib at the same dose. No |
| | |
| 10 | recurrence of IHD was observed. |
| 10 11 | recurrence of IHD was observed. To analyze the relationship between CVD and TKI, we calculated the incidence rate |
| 10 11 12 | recurrence of IHD was observed. To analyze the relationship between CVD and TKI, we calculated the incidence rate per 1000 person-years, and then compared the VAE incidence rate in our study population with |
| 10 11 12 13 | recurrence of IHD was observed. To analyze the relationship between CVD and TKI, we calculated the incidence rate per 1000 person-years, and then compared the VAE incidence rate in our study population with the age-matched general population (Table 3). The incidence rates of IHD, CI, and PAOD per |
| 10 11 12 13 14 | recurrence of IHD was observed. To analyze the relationship between CVD and TKI, we calculated the incidence rate per 1000 person-years, and then compared the VAE incidence rate in our study population with the age-matched general population (Table 3). The incidence rates of IHD, CI, and PAOD per 1000 person-years were 5.68, 3.50, and 1.75, respectively, among patients enrolled in the study. |
| 10 11 12 13 14 15 | recurrence of IHD was observed. To analyze the relationship between CVD and TKI, we calculated the incidence rate per 1000 person-years, and then compared the VAE incidence rate in our study population with the age-matched general population (Table 3). The incidence rates of IHD, CI, and PAOD per 1000 person-years were 5.68, 3.50, and 1.75, respectively, among patients enrolled in the study. The incidence rates of IHD, CI, and PAOD per 1000 person-years, respectively, were 2.99, 2.25, |
| 10 11 12 13 14 15 16 | recurrence of IHD was observed. To analyze the relationship between CVD and TKI, we calculated the incidence rate per 1000 person-years, and then compared the VAE incidence rate in our study population with the age-matched general population (Table 3). The incidence rates of IHD, CI, and PAOD per 1000 person-years were 5.68, 3.50, and 1.75, respectively, among patients enrolled in the study. The incidence rates of IHD, CI, and PAOD per 1000 person-years, respectively, were 2.99, 2.25, and 1.50 during imatinib therapy; 15.09, 6.47, and 4.71 during nilotinib therapy; and 4.87, 2.43, |
| 10 11 12 13 14 15 16 17 | recurrence of IHD was observed. To analyze the relationship between CVD and TKI, we calculated the incidence rate per 1000 person-years, and then compared the VAE incidence rate in our study population with the age-matched general population (Table 3). The incidence rates of IHD, CI, and PAOD per 1000 person-years were 5.68, 3.50, and 1.75, respectively, among patients enrolled in the study. The incidence rates of IHD, CI, and PAOD per 1000 person-years, respectively, were 2.99, 2.25, and 1.50 during imatinib therapy; 15.09, 6.47, and 4.71 during nilotinib therapy; and 4.87, 2.43, and 0.00 during dasatinib therapy; however, in the age and gender matched Japanese general |

1.787, 3.342, and not available, respectively.

 $\mathbf{2}$

3 Validation of the three CVD risk assessment tools

| 4 | To evaluate the ability of the currently available three risk assessment tools about the |
|----|--|
| 5 | prediction of VAE incidence, we evaluated the risk in patients who developed VAE. As shown |
| 6 | in Table 2, according to the SCORE chart, 3 patients were assessed to have low risk; 6, |
| 7 | moderate risk; and 13, very high risk. According to the Framingham risk score, 7 patients had |
| 8 | low risk; 8, moderate risk; and 7, high risk; as per Suita score, 8 had low risk; 9, intermediate; |
| 9 | and 4, high risk. Only 4 patients who developed VAE were assessed to be at high risk using the |
| 10 | Suita score; most patients were assessed to be at very high and high risk of CVD using the |
| 11 | SCORE chart $(n=13)$ and the Framingham risk scores $(n=7)$. The Suita, SCORE, and |
| 12 | Framingham risk scores were not assessed for 2, 1, and 1 patient, respectively, due to missing |
| 13 | data. |
| 14 | |

15 Cumulative incidence of VAEs during imatinib or nilotinib treatment

16 Cumulative incidence of VAEs during imatinib, nilotinib, or dasatinib treatments are 17 shown in Fig. 2a (imatinib), Fig. 2b (nilotinib), and Fig. 2c (dasatinib). Median times of VAEs 18 associated with each TKI are 95.1 (range 1-139.7), 29.3 (range 9.5-65.8), and 46.8 (range 0-51.6) months, respectively. Of note, six out of ten patients in the nilotinib group received
antecedent therapy with other TKIs for 19–124.5 months, while all patients classified into the
imatinib group were treated with imatinib as first-line therapy.

5 Discussion

In this study, we investigated the clinical features and incidence rates of VAEs among Japanese patients with CML who received TKI treatment. The current study included 23 CML patients (25 events) from the CML Cooperative Study Group database who had developed VAEs. To our knowledge, this is the first report, based on the data obtained from clinical practice, regarding the incidence and clinical features of VAEs in Japanese patients with CML. We showed that the incidence rate of IHD was higher among all the CML patients who were treated with the three TKIs included in this study, particularly nilotinib-treated patients, compared with the Japanese general population. On the other hand, the incidence rate of CI was almost equivalent to that of the general Japanese population. These results suggest that the use of TKIs is a possible risk factor for IHD. However, the antecedent TKIs might have influenced the development of VAEs, because six out of ten patients who developed VAEs during nilotinib therapy had been pretreated with other TKIs (five, imatinib and one, dasatinib). The effect of antecedent therapy on the development of VAE should be investigated in the future. In

| 1 | accordance with our study results, a large-scale population-based study investigating VAE |
|----|---|
| 2 | incidence in Sweden showed no increase in the incidence of CI during TKI therapy [18]; |
| 3 | however, the incidence rate of CI was relatively higher in patients treated with nilotinib when |
| 4 | the treatment agent used for TKI therapy was considered. A report from Korea showed frequent |
| 5 | incidence of stroke during nilotinib therapy compared with dasatinib therapy [19], and this |
| 6 | finding was supported by the result of the ENESTnd trial, which compared the efficacy and |
| 7 | adverse events between imatinib and nilotinib in a 5-year follow-up period [2]. |
| 8 | It is well known that the incidence rate of CVD differs inter-racially or regionally and |
| 9 | by gender. The rate is higher in males compared with females [16,17], and lower in the Japanese |
| 10 | general population compared with the European population [20]. In fact, the incidence rate of |
| 11 | IHD and CI in males was two-fold higher than for females; and in European cohort per 1000 |
| 12 | person-years (11.9 and 43.0; mean age, 78 years) were 10 times higher than that in the Japanese |
| 13 | general population (1.8 and 3.3; mean-adjusted age, 53.1 years), although the patients' ages |
| 14 | were considerably different [16,17,20]. The influence of TKI on VAE development should be |
| 15 | detailed with a longer follow-up period in each region. |
| 16 | In this study, three cases of VAEs were reported in dasatinib-treated patients. In a |
| 17 | report by le Coutre et al., it was revealed that the risk of peripheral arterial disease was low in |
| 18 | dasatinib-treated patients [21]. In contrast, from the results of the DASISION study, IHD |
| | |

| 1 | incidence rate was higher in dasatinib-treated patients [5]. Furthermore, meta-analysis of several |
|----|--|
| 2 | clinical studies showed that VAE risks among dasatinib-treated patients was the same as those |
| 3 | treated with nilotinib [9]. In this analysis, dasatinib possibly increased the risk of IHD; however, |
| 4 | further estimation of the cases was needed. We hypothesize that racial or regional differences |
| 5 | possibly influenced the profile of VAE during TKI therapy. Of note, 2 patients developed CI at |
| 6 | young ages (patient #14: 35 years and #18: 39 years). The incidence rate of IHD among |
| 7 | nilotinib-treated patients was higher in our study population, although three of twelve events |
| 8 | were developed while being treated with lower doses (150-300 mg), while the other nine were |
| 9 | given 600 mg per day. VAE incidence during nilotinib therapy is reported to be associated with |
| 10 | therapy dose and Framingham risk [2]. Patient selection based on the VAE risk assessment, |
| 11 | early treatment intervention, and strict management of underlying diseases such as hypertension, |
| 12 | dyslipidemia, and diabetes mellitus should be carefully considered. In addition, verification of |
| 13 | preventive effects of anti-platelet therapy is warranted, and low-dose TKI treatment, which is |
| 14 | aimed at reducing VAE incidence, is worth considering; and ankle brachial pressure index is |
| 15 | also a useful test for evaluating the dynamics of VAE risk during TKI therapy, and is |
| 16 | recommended [22,23]. |
| 17 | Our analysis showed insufficient efficacy of the Suita score in predicting VAE |

18 incidence, which was developed for the prediction of CVD in the Japanese population. Such a

| 1 | result implies that the underlying mechanism of VAE during TKI therapy might be different |
|----|---|
| 2 | from the mechanism of arteriosclerosis-related CVD. Nilotinib treatment reportedly causes an |
| 3 | upregulation of adhesion protein levels in human endothelial cells, resulting in induction of |
| 4 | pro-atherogenic changes and angiogenesis reduction [24]. Consequently, these changes suppress |
| 5 | proliferation and cause further decline in endothelial cell functions. Nilotinib reportedly |
| 6 | decreased miR-3121-3p levels and induced IL-1 β expression in vascular endothelial cells that |
| 7 | stimulated the monocyte adhesion [25]. In vitro experiments performed using human endothelial |
| 8 | cell line demonstrated the above-mentioned findings, but the detailed mechanism is yet to be |
| 9 | consolidated; however, such evidence provides a clue to CVD development during TKI therapy. |
| 10 | Collectively, these results suggest that novel CVD risk assessment tools employing endothelial |
| 11 | damage-related factors can contribute toward improving CVD risk assessment during TKI |
| 12 | therapy, to some extent. Of note, the comparisons of CV risk between different TKIs were |
| 13 | compromised because this study was a retrospective analysis and included the following |
| 14 | limitations: insufficient number of patients who developed VAEs, and seven patients were |
| 15 | pretreated with other TKIs. |
| 16 | In conclusion, the incidence of VAEs in the Japanese population, particularly of IHD, |
| 17 | is more frequently observed among patients treated with nilotinib than among those treated with |

18 imatinib or dasatinib. Furthermore, the predictive ability of currently available CVD risk

| 1 | assessment tools may be insufficient for risk stratification in patients treated with TKIs, |
|----|--|
| 2 | particularly the Suita score. At present, clinicians require early therapeutic interventions for |
| 3 | detecting hypertension, dyslipidemia, and diabetes mellitus to strictly control these diseases. |
| 4 | However, the antecedent TKIs might have influenced the development of VAEs, because six out |
| 5 | of ten patients who developed VAE during nilotinib therapy had been pretreated with other TKIs |
| 6 | (five with imatinib and one with dasatinib). The influence of antecedent therapy on the |
| 7 | development of VAE should be investigated in the future. In addition, further investigation of |
| 8 | molecular mechanisms and development of new technology for evaluating endothelial cell |
| 9 | damages may contribute toward treatment optimization and risk stratification during TKI |
| 10 | therapy. |
| 11 | |
| 12 | Ethical approval |
| 13 | For this type of study formal consent is not required. |
| 14 | |
| 15 | |
| 16 | Conflict of interest |
| 17 | Author Tomoiku Takaku has received a speaker honorarium from Bristol-Myers Squibb, |
| 18 | Novartis Pharma K.K, Pfizer Inc. Noriyoshi Iriyama has received a speaker honorarium from |
| | |
| | 14 |

| 1 | Bristol-Myers Squibb. Michihide Tokuhira has received a speaker honorarium | from |
|----|--|-------|
| 2 | Bristol-Myers Squibb and Pfizer Inc. Tatsuya Kawaguchi has received a speaker honora | rium |
| 3 | from Novartis Pharma K.K. | |
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- 5 7 8 9 $\mathbf{2}$ $\mathbf{5}$ **Figure Legends** Fig. 1 Cumulative incidence of vascular adverse events. The cumulate incidence of VAEs was the period of TKI therapy commencement to the date of the first incidence of VAEs or the last

follow-up.

 $\mathbf{2}$

and dasatinib therapy (c). Patients who had been given both imatinib and nilotinib were

included in both arms during each treatment period. $\mathbf{5}$

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Table Legends

Table 1. Characteristics of patients enrolled in the study and treatments they received

| 1 | Table 2. Characteristics and treatments of patients who had VAEs during TKI therapy |
|----|--|
| 2 | CVD risk assessment by 3 tools was calculated using data at the time TKI treatment was |
| 3 | commenced. |
| 4 | |
| 5 | Table 3. Incidence rates of VAEs in TKI-treated patients per 1000 person-years in comparison |
| 6 | with age-matched general population in Japan |
| 7 | Incident rate of all VAEs during bosutinib treatment were not calculated because only one |
| 8 | patient developed CVD. |
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We appreciate both Reviewer #1 and #2's careful review of our manuscript and the thoughtful questions and comments provided. In response to the comments, we decided to add more data (from more than 70 patients and 9 additional VAE events) to the revised manuscript. We believe that the additional data can contribute to increased accuracy of our analysis. Our point-by-point responses are as follows:

Response to Reviewer #1

Critique #1

However, the authors should elaborate in more detail, to what extent differences in age and sex between the study population and the general population have been considered when comparing the respective incidences of CV events. For example, the reviewer could not find the incidence rate of 1.787 (per 1000-person years) for IHD in reference

Response to critique #1

The incidence rates of IHD and CI per 1000 person-years in the general population were matched with the age and gender of the study population (age: 53 years old and gender: male, n = 224; female, n = 145) based on the data of previous reports. The following briefly describes how the adjustment was performed.

The incidence rates of IHD and CI were calculated using the data on age and gender (male/female) in Tables 2 and 3 of references #16 and #17, respectively, in each case. The incidence of IHD and CI event in this population was estimated from the summation of the incidence of each IHD and CI case, which was derived by multiplying the observation years by the incidence rate. The expected 1000 person-years was calculated by dividing the incidence by the total observation time. (Methods section, Statistical analysis, page 6, lines 7-12)

Critique #2

The comparison between the different TKIs with respect to CV risk is compromised by low numbers and different exposure times. Furthermore, five out of eight CVD patients treated with nilotinib had been pretreated with other TKIs. Based on the literature, an increased CVD risk of patients treated with nilotinib is conceivable, but the current study does not provide strong evidence in this respect. This should be considered in the discussion and conclusion part of the manuscript.

Response to critique #2

First, we made an effort to increase the number of patients and to extend the observation period. Therefore, the number of patients increased from 297 to 369, and that of VAEs from 16 to 25. However, we mentioned these points in the discussion part. "Of note, the comparisons of CV risk between different TKIs were compromised because this study was a retrospective analysis and included the following limitations: insufficient number of patients who developed VAEs; and seven patients were pretreated with other TKIs. (Discussion, page 13, lines 12-15)

However, the antecedent TKIs might have influenced the development of VAEs, because six out of ten patients who developed VAE during nilotinib therapy had been pretreated with other TKIs (five with imatinib and one with dasatinib). The influence of antecedent therapy on the development of VAE should be investigated in the future. "We also added this consideration in the conclusion. (Conclusion, page 14, lines 4-7)

Concerning the different exposure times, we calculated the incidence per 1000 person-years.

Critique #3

Figure legends are missing.

Response to critique #3

We apologize for leaving out the figure legends in the manuscript. We have now added the Figure legends. (Page 20, lines 1-8)

Reviewer 2

Critique #1

What was the median and range of follow-up of the patients?

Response to critique #1

Median follow up time was as described in the Results section; however, we added the follow-up range as follows: "the median follow-up time was 71.8 (range: 1-196) months" (Results section, Patient characteristics and TKI usage, page 7, lines 3-4)

Critique #2

The authors found that the ESC CVD risk assessment and the Framingham score are more suitable to estimate the CVD risk in TKI-treated patients than the Japanese Suita score. How does the Suita score compare with the NIPPON DATA risk chart?

Response to critique #2

First of all, NIPPON DATA 80 has already been replaced by Suita score. Furthermore, it is difficult to compare Suita and NIPPON DATA 80 because NIPPONDATA 80 assesses the risk of IHD and CI separately and there was no assessment chart for PAOD. In addition, unlike Suita score, NIPPON data does not employ chronic kidney disease as a risk factor. Furthermore, Suita score has a more detailed classification of blood pressure, and LDL/HDL cholesterol. The predictive ability of NIPPON DATA 80 may also be insufficient for CVD prediction.

Critique #3

In Table 2, it should be emphasized that 2 nilotinib treated patients had CVD at a very young age: 30 and 35 years old. Such a Young age was not found in dasatinib or imatinib-treated patients.

Response to critique #3

We corrected the data for patient #18 (39 years old). Therefore, patients #14 and #18 in the revised Table 2 were the patients who developed CVD at young ages. We added this consideration in the Discussion section As follows: "Of note, 2 patients developed CI at young ages (patient #14: 35 years and #18: 39 years)." (Discussion, page 12, lines 5-6)

Critique #4

What are the recommendation of the authors to prevent CVD in Japanese CML patients treated with TKI, especially with nilotinib?

Response to critique #4

As mentioned in the Discussion section, the predictive ability of currently available CVD risk assessment tools may be insufficient; whereas, the preventive effect of anti-platelet therapy has not been validated. At present, early therapeutic interventions to detect hypertension, dyslipidemia, and diabetes mellitus, as well as strict control of these diseases are recommended. (Discussion, page 13, line 18 and page14, lines 1-3)

Furthermore, ankle Brachial Pressure Index (ABI) is also a useful test for evaluating the risk of CVD during TKI therapy. We added this sentence, describing the usefulness of ABI in the Discussion section also (page 12, lines 14-16).

Critique #5

Few typos across the manuscript to be corrected

Response to critique #5

We carefully checked through the manuscript and corrected the errors. In the rare event of any error being found after this, kindly let us know prior to the final decision to ensure appropriate action.

Fig. 1









covering letter

Click here to access/download Supplementary Material covering_letter-2.doc

Tables

Table 1. Characteristics of patients enrolled in the study and treatments they received

| Total number | 369 | | | | | |
|------------------------------|--------------|--|--|--|--|--|
| Sex (male/female) | 224/145 | | | | | |
| Age, median (range) | 53.0 (18–89) | | | | | |
| Disease stage | | | | | | |
| Chronic phase | 363 | | | | | |
| Accelerated phase | 6 | | | | | |
| Total number of each TKI use | | | | | | |
| Imatinib | 197 | | | | | |
| Nilotinib | 138 | | | | | |
| Dasatinib | 140 | | | | | |
| Bosutinib | 29 | | | | | |
| First-line therapy | | | | | | |
| Imatinib | 189 | | | | | |
| Nilotinib | 81 | | | | | |
| Dasatinib | 99 | | | | | |
| Sequential therapy | | | | | | |
| Imatinib | 10 | | | | | |
| Nilotinib | 63 | | | | | |
| Dasatinib | 49 | | | | | |
| Second-generation TKI only | | | | | | |
| Nilotinib | 70 | | | | | |
| Dasatinib | 61 | | | | | |

TKI: tyrosine kinase inhibitor.

| No. | Age | Sex | TKI | Dose | Duration | 1 st | Dose | Duration | 2 nd | Dose | Duration | Total | Туре | Framingham | SCORE | Suita score |
|------|-----|-----|-----------|------|----------|-----------------|------|----------|-----------------|------|----------|----------|------|------------|-----------|--------------|
| | | | | (mg) | (month) | Antecedent | (mg) | (month) | Antecedent | (mg) | (month) | TKI | of | score | | |
| | | | | | | TKI | | | TKI | | | duration | VAE | | | |
| | | | | | | | | | | | | (month) | | | | |
| 1 | 77 | F | dasatinib | 100 | 0.0 | | | | | | | 0.0 | IHD | moderate | very high | intermediate |
| 2 | 69 | М | imatinib | 400 | 1.0 | | | | | | | 1.0 | CI | high | very high | high |
| 3 | 85 | F | nilotinib | 300 | 9.5 | | | | | | | 9.5 | CI | high | very high | intermediate |
| 4 | 82 | Μ | nilotinib | 600 | 11.3 | | | | | | | 11.3 | IHD | high | very high | high |
| 5 | 82 | Μ | bosutinib | 100 | 9.6 | imatinib | 300 | 0.5 | dasatinib | 20 | 3.6 | 13.7 | CI | high | very high | high |
| 6 | 69 | Μ | nilotinib | 600 | 18.5 | imatinib | 100 | 19.1 | | | | 37.6 | IHD | moderate | very high | low |
| 7 | 68 | Μ | imatinib | 400 | 38.1 | | | | | | | 38.1 | IHD | high | very high | intermediate |
| 8 | 53 | Μ | nilotinib | 600 | 38.6 | | | | | | | 38.6 | PAOD | moderate | moderate | low |
| 9 | 67 | Μ | nilotinib | 600 | 40.6 | | | | | | | 40.6 | IHD | moderate | moderate | high |
| 10 | 58 | Μ | dasatinib | 100 | 49.9 | | | | | | | 49.9 | IHD | low | very high | intermediate |
| 11 | 68 | Μ | dasatinib | 100 | 51.6 | | | | | | | 51.6 | CI | high | very high | N/A |
| 12-1 | 72 | F | nilotinib | 150 | 18.0 | imatinib | 400 | 56.2 | | | | 74.3 | IHD | moderate | very high | intermediate |
| 12-2 | 72 | F | nilotinib | 600 | 31.0 | nilotinib | 150 | 18.0 | imatinib | 400 | 56.2 | 105.3 | PAOD | | | |
| 13 | 57 | F | imatinib | 400 | 78.7 | | | | | | | 78.7 | PAOD | moderate | very high | low |
| 14 | 35 | F | nilotinib | 600 | 37.0 | dasatinib | 100 | 46.8 | | | | 83.8 | CI | low | low | low |
| 15 | 51 | Μ | imatinib | 400 | 103.7 | | | | | | | 103.7 | IHD | moderate | moderate | intermediate |
| 16 | 66 | Μ | imatinib | 400 | 103.7 | | | | | | | 103.7 | PAOD | low | low | intermediate |
| 17 | 50 | F | nilotinib | 600 | 38.4 | imatinib | 400 | 68.0 | | | | 106.4 | IHD | high | moderate | low |

Table 2. Characteristics and treatments of patients with VAEs during TKI therapy

| 18-1 | 39 | Μ | nilotinib | 600 | 21.5 | imatinib | 400 | 95.1 | | | | 116.6 | CI | low | low | low |
|------|----|---|-----------|-----|-------|-----------|-----|-------|----------|-----|------|-------|-----|----------|-----------|--------------|
| 18-2 | 39 | Μ | nilotinib | 150 | 22.0 | nilotinib | 600 | 21.5 | imatinib | 400 | 95.1 | 138.6 | IHD | | | |
| 19 | 51 | Μ | imatinib | 400 | 133.0 | | | | | | | 133.0 | CI | N/A | N/A | N/A |
| 20 | 54 | Μ | imatinib | 400 | 133.2 | | | | | | | 133.2 | IHD | low | moderate | low |
| 21 | 61 | Μ | imatinib | 400 | 134.0 | | | | | | | 134.0 | IHD | moderate | very high | intermediate |
| 22 | 54 | Μ | imatinib | 400 | 139.7 | | | | | | | 139.7 | CI | low | moderate | low |
| 23 | 58 | F | nilotinib | 600 | 65.8 | imatinib | 400 | 124.5 | | | | 190.3 | IHD | low | very high | intermediate |

VAE: vascular adverse event, TKI: tyrosine kinase inhibitor, F: female, M: male, IHD: ischemic heart disease, CI: cerebral infarction, PAOD: peripheral arterial occlusive disease, N/A: not available.

| | GeneralCML patientpopulation(N = 369) | | | | Ima (N : | tinib = 199) | | Nilo (N = | tinib 140) | Dasatinib (N = 143) | | | |
|---------|---------------------------------------|----|--|-------|--|-------------------------|--|--------------|---------------|------------------------|--|-----|------|
| | Incidence rate ^a | | Events Exposure Incidence time rate ^a (vears) | | EventsExposureIncidencetimerate ^a (years) | | Events Exposure Incide time rate ^a | | | Events | ts Exposure Incidence time rate ^a (vears) | | |
| All VAI | Es | 25 | 2287 | 10.93 | 9 | 1336 | 6.74 | 12 | 464 | 25.86 | 3 | 411 | 7.30 |
| IHD | 1.787 ^b | 13 | 2287 | 5.68 | 4 | 1336 | 2.99 | 7 | 464 | 15.09 | 2 | 411 | 4.87 |
| CI | 3.342 ^c | 8 | 2287 | 3.50 | 3 | 1336 | 2.25 | 3 | 464 | 6.47 | 1 | 411 | 2.43 |
| PAOD | N/A | 4 | 2287 | 1.75 | 2 | 1336 | 1.50 | 2 | 464 | 4.71 | 0 | 411 | 0 |

Table 3. Incidence rates of VAEs in TKI-treated patients per 1000 person-years in comparison with age-matched general population in Japan

^aIncidence rate per 1000 person-years

^bTakashima AMI Registry, 1990–2001. (2008) American Journal of Epidemiology, 167(11):1358–1364

^cTakashima Stroke Registry, 1988–2004. (2010) Stroke, **41**(9), 1871–1876

VAE: vascular adverse event, TKI: tyrosine kinase inhibitor, CML: chronic myeloid leukemia, IHD: ischemic heart disease, CI: cerebral infarction,

PAOD: peripheral arterial occlusive disease, N/A: not available, AMI: acute myocardial infarction