

1 Title: Impact of inadequate initial antimicrobial therapy on mortality in patients with bacteraemic  
2 cholangitis: A retrospective cohort study

3

4 Authors: Yasuaki Tagashira <sup>1,2</sup>, Naoya Sakamoto <sup>3</sup>, Toshiaki Isogai <sup>4</sup>, Mayu Hikone <sup>3</sup>, Atsushi  
5 Kosaka <sup>3</sup>, Ran Chino <sup>1</sup>, Masanori Higuchi <sup>1</sup>, Yuki Uehara <sup>2</sup>, Hitoshi Honda <sup>1</sup>

6

7 Affiliation:

8 1. Division of Infectious Diseases, Tokyo Metropolitan Tama Medical Center, Tokyo, Japan

9 2. Department of Infection Control Science, Juntendo University Graduate School of Medicine,

10 Tokyo, Japan

11 3. Department of Infectious Diseases, Tokyo Metropolitan Bokutoh General Hospital, Tokyo Japan

12 4. Department of Cardiology, Tokyo Metropolitan Tama Medical Center, Tokyo, Japan.

13 Keywords: bacteraemic cholangitis, inadequate antimicrobial therapy, treatment, outcome, mortality

14 A running title: Inadequate antimicrobial therapy and mortality in bacteraemic cholangitis

15 Word count abstract: 200

16 Manuscript body: 2377

17 Number of figures: 1, Number of tables: 3

18 Corresponding author:

19 Dr. Hitoshi Honda,

20 Division of Infectious Diseases, Tokyo Metropolitan Tama Medical Center,

21 Tokyo, Japan

22 2-8-29, Musashidai, Fuchu, Tokyo, 183-8524, Japan.

23 Email: hondah@hotmail.com

24 Tel: 81-42-323-5111

25 Fax: 81-42-323-9209

26

27

28

29

30

31

32

33 **Abstract**

34 Objectives: Acute cholangitis is a common cause of bacteraemia resulting in severe sepsis or septic  
35 shock. The impact of the appropriate initial antimicrobial therapy on short-term mortality in  
36 bacteraemic cholangitis has not been well investigated.

37 Methods: We conducted a retrospective cohort study of patients with bacteraemic cholangitis at two  
38 large tertiary care centres in Tokyo, Japan between 2009 and 2015. We determined the factors  
39 associated with 30-day all-cause mortality from the date of drawing the first positive blood culture,  
40 using a multivariate logistic regression analysis.

41 Results: We identified 573 patients with bacteraemic cholangitis (median age, 77 years; male,  
42 58.3%). The 30-day all cause mortality rate was 6.6% (38/573). Inadequate initial antimicrobial  
43 therapy occurred in 133 (23.2%) patients. Factors associated with 30-day all cause mortality included  
44 the Charlson comorbidity index score >3 (adjusted odds ratio ([aOR], 4.12; 95% confidence interval  
45 [CI], 1.18-14.38), jaundice (total bilirubin > 2.5mg/dl) (aOR, 3.39; 95% CI, 1.46-7.89), septic shock  
46 within 48 hours of the first positive blood culture (aOR, 3.34; 95% CI, 1.42-7.89), biliary obstruction  
47 due to hepatobiliary malignancy (aOR, 8.00; 95% CI, 2.92-21.97), and inadequate initial  
48 antimicrobial therapy (aOR, 2.78; 95% CI, 1.27-6.11).

49 Conclusions: Inadequate initial antimicrobial therapy was an important, modifiable determinant of  
50 survival.

51

## 52 **Introduction**

53 Acute cholangitis in the context of an obstructed biliary tree results from a wide spectrum of  
54 bacterial pathogens, and commonly results in bacteraemia. The proportion of cholangitis cases  
55 leading to bacteraemia reportedly ranges from 20 to 71 % [1-4]. Acute cholangitis requires a  
56 combination of medical and surgical therapy, including adequate antimicrobial therapy and biliary  
57 decompression according to disease severity [5]. Within the past two decades, mortality among  
58 patients with acute cholangitis has fallen to the range of 2.7 ~ 10 %, presumably due to advances in  
59 broad-spectrum antimicrobial therapy and improved access to emergency biliary decompression [6].

60 Bloodstream infection in cholangitis is a major cause of mortality [1, 7, 8]. The proper choice of  
61 antimicrobial agents has been shown to lead to a favourable outcome including a decrease in  
62 mortality in critically ill patients with bacteraemia or other serious infections [9]. Conversely,  
63 inadequate initial antimicrobial therapy was associated with an increased risk of overall mortality  
64 due to severe sepsis and septic shock [10-13]. The pathogens in cholangitis are frequently  
65 polymicrobial and include enterobacteriaceae (i.e., *Escherichia coli*, *Klebsiella* species [spp.],  
66 *Enterobacter* species), anaerobes, *Enterococcus spp.*, and less commonly, *Streptococcus spp.* and  
67 *Pseudomonas spp.* [14]. Furthermore, an increase in the incidence of cholangitis due to highly drug-  
68 resistant organisms (e.g., drug-resistant Enterobacteriaceae) has become a great concern worldwide

69 [15]. Given the lack of adequate studies describing the impact of proper empirical antimicrobial  
70 therapy on outcomes in bacteraemic cholangitis, we aimed to investigate the association between  
71 treatment strategies and clinical outcomes at two large tertiary care centres in the Tokyo  
72 metropolitan area in Japan.

73

74 **Materials and Methods**

75 **Study setting**

76 The present report comprises a retrospective observational cohort study of adult patients with  
77 bacteraemic cholangitis conducted at two tertiary care hospitals (Tokyo Metropolitan Tama Medical  
78 Center and Tokyo Metropolitan Bokutoh General Hospital) from January 2009 to December 2015.  
79 Tokyo Metropolitan Tama Medical Center is a 790-bed tertiary care centre with 29 subspecialties,  
80 and Tokyo Metropolitan Bokutoh General Hospital is a 765-bed tertiary care centre with 39  
81 subspecialties. Both medical centres each have a division of GI (Gastroenterology) and ID  
82 (Infectious Diseases), respectively. Obtaining blood cultures prior to initiating parenteral  
83 antimicrobials was a standard practice at the participating hospitals. ERCP was performed at the  
84 discretion of the attending gastroenterologist if biliary decompression was indicated. The  
85 institutional review board at Tokyo Metropolitan Tama Medical Center and Tokyo Metropolitan  
86 Bokutoh General Hospital approved this project and the patient's consent was waived because this  
87 study would not have influenced current management of participating patients (being a retrospective  
88 cohort study).

89

90 **Patient selection**

91 We included all patients with bacteraemic cholangitis defined in accordance with the criteria for  
92 cholangitis in the Tokyo Guidelines and based on a positive blood culture at the time of diagnosis of  
93 acute cholangitis [16]. We enrolled patients starting in January 2009 because the electronic medical  
94 record was retrievable starting in that year. We initially identified eligible patients as those whose  
95 condition fit the ICD-10 code for “cholangitis” and who had a positive blood culture. We then  
96 screened patients by reviewing their electronic medical records and identified cholangitis patients  
97 who met the diagnostic criteria of the Tokyo Guidelines for definite or suspected acute cholangitis  
98 [16]. If an uncommon pathogen was suspected of causing the cholangitis, we reviewed electronic  
99 medical records to determine if the patient had bacteraemic cholangitis. For patients with multiple  
100 episodes of bacteraemic cholangitis, only the first episode was included in the study.

101 We excluded the following conditions: 1) patients aged <18 years old; 2) the presence of bacteraemic  
102 cholangitis following ERCP or percutaneous transhepatic cholangiodrainage, 3) the presence of  
103 bacteraemic cholangitis due to *Candida spp*, 4) death within 24 hours of blood culture obtained, 5)  
104 absence of follow-up data on 30-day mortality, and 6) the presence of bacteraemia likely due to other  
105 infections (e.g., urinary tract infection).

106



107 **Variables of interest and data collection**

108 Thirty-day all-cause mortality, tracked from the day when a positive blood culture was drawn, was  
109 used to assess the impact of inadequate initial antimicrobial therapy on the mortality of patients with  
110 bacteraemic cholangitis. Electronic medical charts were reviewed for this purpose, and if mortality  
111 data were unavailable from the electronic medical records, the (family of) patients who had no  
112 readmission data after Day 30 following the obtainment of a positive blood culture, were contacted  
113 by telephone to determine whether the patient was alive at Day 30. The demographic characteristics  
114 and the clinical and microbiological data of patients who met the inclusion criteria were obtained  
115 from the electronic medical records. Severity according to the Tokyo Guidelines, the Charlson  
116 comorbidity index, and the Pitt bacteremia score were computed for each patient [16, 17, 18].

117 **Definition**

118 True bacteraemia was identified based on the presence of a causative organism (Gram-negative  
119 bacilli, anaerobes, or Gram-positive cocci) in at least 1 set of blood cultures. For normal skin flora  
120 and other potential pathogens (i.e., coagulase-negative staphylococci or *Corynebacterium*,  
121 *Propionibacterium*, or *Bacillus species*), two sets of positive blood cultures obtained at separate sites  
122 were required for diagnosis of true bacteraemia [19]. Polymicrobial bacteraemia was defined as the  
123 presence of two or more species of microorganisms in the same blood culture or the growth of

124 different species in two or more separate blood cultures grown from the same sampling. Bacteraemia  
125 was also categorized based on onset, as previously described [20]. Septic shock was defined as  
126 bacteraemia evoking systemic inflammatory response syndrome with concomitant evidence of organ  
127 hypoperfusion and arterial systolic blood pressure <90 mmHg refractory to fluid resuscitation or the  
128 need for vasopressors to maintain blood pressure [21]. The severity of illness and the comorbidity  
129 index were measured using the Pitt bacteremia score, the severity assessment criteria of the Tokyo  
130 Guidelines, and the Charlson comorbidity index. The Charlson comorbidity index score was  
131 categorized as 0-1, 2-3, or >3 [17].

132 Inadequate antimicrobial therapy was defined as either 1) the administration of empiric  
133 antimicrobials which were inactive against subsequently isolated organisms or 2) no administration  
134 of antimicrobial agents between drawing a blood culture and obtaining a positive culture result [22].  
135 In this study, we considered cephamycins (e.g., cefmetazole and cefmandole) to be inadequate  
136 antimicrobial choices for the treatment of bacteraemic cholangitis due to the presence of extended-  
137 spectrum  $\beta$ -lactamase (ESBLs)-producing enterobacteriaceae; although the antimicrobial  
138 susceptibility results showed that these pathogens were susceptible to cephamycin, treatment failure  
139 resulting from cephamycin use has frequently been reported [23, 24].

140

141 **Statistical analysis**

142 Categorical variables were compared using Fisher's exact test. Continuous variables were compared  
143 using the Mann-Whitney U test. All significant variables with  $P < 0.10$  in univariate analysis were  
144 considered to be candidates for entry into a forward stepwise multivariate logistic regression model.  
145 The multivariate logistic regression model for assessing the factors associated with inadequate  
146 antimicrobial therapy followed the rule of thumb of 1 covariate per 10 events; however, the ratio of  
147 events to independent variable in the final model assessing factors associated with mortality was 1:5,  
148 given the lower number of outcome events. Variables were retained in the final model if  $P < 0.05$ . A 2-  
149 sided  $P < 0.05$  was considered statistically significant. The Spearman's rho test was used to examine  
150 collinearity of independent variables. The Hosmer-Lemeshow test was used for goodness of fit for the  
151 logistic regression model. All statistical analyses were performed using SPSS version 23 (IBM,  
152 Armonk, NY, USA).

153

154 **Results**

155 In total, 1019 episodes were initially screened. Among these, 365 (35.8%) failed to fulfil the  
156 definition of bacteraemic cholangitis, and 81 (7.5%) were excluded because they had recurrent  
157 episodes of bacteraemic cholangitis during the study period, leaving 573 (56.2%) episodes in the  
158 study period for analysis. (Figure 1.) The patient characteristics are summarized in Table 1.

159 Of the 573 patients, 133 (23.2%) had inadequate initial antimicrobial therapy in the interval between  
160 drawing the blood culture and the notification of positive blood culture result. Among 133 patients  
161 with inadequate antimicrobial therapy, the majority (132/133: 99.2%) had received ineffective initial  
162 antimicrobial therapy against the isolated pathogens, and one patient (1/133:0.8%) had received no  
163 antimicrobial therapy at the time of diagnosis. Empirical antimicrobial therapy was found to be  
164 inadequate in patients with bacteraemic cholangitis due to Gram-negative bacilli (70/133; 52.6%),  
165 *Enterococcus spp.* (40/133; 30.1%), or anaerobes (11/133; 8.3%). No vancomycin-resistant  
166 enterococci were identified. In patients with inadequate initial antimicrobial therapy, 83/133 (62.4%)  
167 were switched to other antimicrobials or given other additional antimicrobials to provide adequate  
168 coverage following identification of the inadequate therapy. In our cohort, the patients with  
169 bacteraemic cholangitis due to enterococcal, nosocomial, and polymicrobial infections were

170 significantly more likely to have received inadequate antimicrobial therapy. Factors independently  
171 associated with inadequate empirical antimicrobial therapy are shown in Table 2.

172 The prevalence of 30-day all-cause mortality among patients with bacteraemic cholangitis was  
173 6.6% (38/573). In these 38 patients, the median time between the date of the positive blood culture  
174 and the date of death was 18 days (range 2-30 days). Predictors of mortality in patients with  
175 bacteraemic cholangitis are shown in Table 3. In the multivariate model, factors associated with  
176 mortality included the Charlson comorbidity index score >3 (adjusted odds ratio ([aOR], 4.12; 95%  
177 confidence interval [CI], 1.18-14.38), jaundice (total bilirubin > 2.5mg/dl) (aOR, 3.39; 95% CI, 1.46-  
178 7.89), septic shock within 48 hours of the first positive blood culture (aOR, 3.34; 95% CI, 1.42-  
179 7.89), biliary obstruction due to hepatobiliary malignancy (aOR, 8.00; 95% CI, 2.92-21.97), and  
180 inadequate initial antimicrobial therapy (aOR, 2.78; 95% CI, 1.27-6.11).

181 **Discussion**

182 This study evaluated the impact of the adequacy of empirical antimicrobial therapy on mortality in  
183 bacteraemic cholangitis at two Japanese tertiary care centres, and demonstrated that inadequate  
184 empirical antimicrobial therapy was independently associated with 30-day mortality in patients with  
185 bacteraemic cholangitis even after adjusting for preexisting co-morbidities and the severity of illness.  
186 The findings were consistent with those of previous studies demonstrating that inappropriate initial  
187 antimicrobial therapy increased the odds of mortality in patients with bacteraemic biliary tract  
188 infection approximately two-fold [25, 26]. However, these previous studies considered various types  
189 of biliary infection including cholecystitis. Moreover, the impact of inadequate antimicrobial therapy  
190 on the outcome was assessed without adjusting for the effect of biliary decompression, or based on  
191 the relatively lower performance rate of biliary decompression in the respective studies. In contrast,  
192 the present study focused exclusively on bacteraemic cholangitis and assessed the impact of  
193 inadequate initial antimicrobial therapy on mortality under circumstances in which ERCP was  
194 promptly available.

195 In the current study, inadequate initial antimicrobial therapy was a single modifiable factor  
196 independently associated with increasing mortality among patients with bacteraemic cholangitis.

197 This finding demonstrates the importance of initial antimicrobial choice in the management of  
198 bacteraemic cholangitis.

199 As seen in the Table 1, a considerable proportion of patients received cephalosporins as an initial  
200 antimicrobial therapy in the study population. Where enterococcal bacteraemic cholangitis was  
201 concerned, inadequate antimicrobial therapy was specifically due to cephalosporin administration.  
202 Additionally, because cefmetazole, 3<sup>rd</sup> generation cephalosporins, and ampicillin/sulbactam, which  
203 were not active against drug-resistant Gram-negative bacilli, were commonly used as the initial  
204 antimicrobial therapy even in nosocomial settings, this might have resulted in inadequate  
205 antimicrobial therapy in the nosocomial bacteraemic cholangitis as well. It is noteworthy that in our  
206 study, patients with nosocomial bacteraemic cholangitis tended to have a higher Charlson  
207 comorbidity index (Appendix 1), and that a trend towards inadequate antimicrobial therapy in  
208 patients with a higher Charlson comorbidity index was evident although this variable was not  
209 retained in the final model (Table 2). Although frequent use of broad spectrum antimicrobial agents  
210 may be able to minimize the occurrence of inadequate antimicrobial therapy, their unnecessary use  
211 may counteract the effects of antimicrobial stewardship. Assessing the involvement of organisms  
212 potentially contributing to inadequate antimicrobial therapy after risk stratification is imperative for  
213 choosing the most appropriate empirical antimicrobial therapy. More importantly, treating physicians

214 should have a high level of suspicion for pathogens that are not routinely covered by empirical  
215 treatment choices.

216 We also found that some non-modifiable patient-related factors including septic shock within 48 hours  
217 of the first positive blood culture and biliary obstruction due to hepatobiliary malignancy were  
218 independent predictors of mortality. Our findings were consistent with those of other reports showing  
219 that significant underlying comorbidities and severity of illness at the time of presentation were also  
220 independent predictors of mortality in cases of bacteraemic cholangitis [25, 26].

221 In this study, although a large number of patients underwent ERCP within 1 calendar day of  
222 obtaining a positive blood culture, ERCP did not confer any benefit in terms of reduced mortality  
223 rate in the final model despite being a statistically significant variable in univariate analysis. Previous  
224 studies revealed variable associations between ERCP and mortality. Whereas ERCP contributed to a  
225 reduction in mortality in patients with cholangitis, and delayed ERCP was associated with in-hospital  
226 mortality and organ failure in patients with acute cholangitis [3, 27, 28], up to 50.0 % of patients  
227 with cholangitis responded to antimicrobial therapy alone in another study [26]. In the present study,  
228 the benefits of ERCP in cholangitis may have been underestimated because of the smaller numbers  
229 of events (i.e., deaths), differences in disease severity, and the impact of other, unmeasured factors  
230 associated with decreased mortality, such as advances in medical practice other than biliary



231 decompression. ERCP is considered to be one of the most important treatment strategies from  
232 various perspectives including biliary decompression, stone removal, and achieving biliary tract  
233 patency via drainage or stents.

234 Our study has several important limitations. As with any other observational study, even after  
235 adjusting for known predisposing factors, other unmeasured factors may have contributed to patient  
236 mortality. Although this study included a large population, the subjects were all derived from just  
237 two urban tertiary care centres in Japan such that the results may not be generalizable to other  
238 hospitals. Although the majority of patients underwent ERCP within one calendar day of obtaining a  
239 positive blood culture, the impact of the interval from presentation to the initiation of ERCP on the  
240 outcome was not assessed.

241

## 242 **Conclusion**

243 The current study demonstrated that inadequate initial antimicrobial therapy negatively impacted  
244 mortality in bacteraemic cholangitis patients. Inadequate antimicrobial therapy frequently occurred  
245 in bacteraemic cholangitis cases due to *Enterococcus spp.*, and polymicrobial organisms as well as  
246 nosocomial cases. Given the circumstances associated with the spectrum of causative pathogens and

247 the prevalence of drug-resistant organisms, an appropriate, initial antimicrobial choice against  
248 bacteraemic cholangitis, complemented by rigorous antimicrobial stewardship is extremely  
249 important to improve clinical outcomes while avoiding the overuse of antimicrobial agents.

250

#### 251 **Transparency declaration**

252 All authors declare no conflicts of interest.

#### 253 **Authors' contributions**

254 YT, and HH designed the study protocol. YT, NS, AK, RC, and MH collected the patient data. YT, TI,  
255 and HH performed the data analysis. YT drafted the first version of the manuscript. YU performed the  
256 critical review. HH and YU revised the manuscript, and all the authors contributed to the final version  
257 of manuscript.

#### 258 **Funding source**

259 No funding source provided.

#### 260 **Financial Disclosure**

261 The authors have no financial relationships relevant to this article to disclose.

#### 262 **Transparency Declaration**

263 The other authors have no conflicts of interest to disclose.

264

265

266

267

268

269

270

271

272

273

274

275

276

277 **References**

- 278 [1] Sinanan MN. Acute cholangitis. *Infect Dis Clin North Am.* 1992;6(3):571-99.
- 279 [2] Hanau LH, Steigbigel NH. Acute (ascending) cholangitis. *Infect Dis Clin North Am.*  
280 2000;14(3):521-46.
- 281 [3] Khashab MA, Tariq A, Tariq U, Kim K, Ponor L, Lennon AM, et al. Delayed and unsuccessful  
282 endoscopic retrograde cholangiopancreatography are associated with worse outcomes in patients with  
283 acute cholangitis. *Clin Gastroenterol Hepatol.* 2012;10(10):1157-61.
- 284 [4] Weber A, Schneider J, Wagenpfeil S, Winkle P, Riedel J, Wantia N, et al. Spectrum of pathogens  
285 in acute cholangitis in patients with and without biliary endoprosthesis. *J Infect.* 2013;67(2):111-21.
- 286 [5] Itoi T, Tsuyuguchi T, Takada T, Strasberg SM, Pitt HA, Kim MH, et al. TG13 indications and  
287 techniques for biliary drainage in acute cholangitis (with videos). *J Hepatobiliary Pancreat Sci.*  
288 2013;20(1):71-80.
- 289 [6] Kimura Y, Takada T, Strasberg SM, Pitt HA, Gouma DJ, Garden OJ, et al. TG13 current  
290 terminology, etiology, and epidemiology of acute cholangitis and cholecystitis. *J Hepatobiliary*  
291 *Pancreat Sci.* 2013;20(1):8-23.
- 292 [7] Melzer M, Toner R, Lacey S, Bettany E, Rait G. Biliary tract infection and bacteraemia:  
293 presentation, structural abnormalities, causative organisms and clinical outcomes. *Postgrad Med J.*

294 2007;83(986):773-6.

295 [8] Podnos YD, Jimenez JC, Wilson SE. Intra-abdominal Sepsis in Elderly Persons. *Clin Infect Dis*.  
296 2002;35(1):62-8.

297 [9] Paul M, Shani V, Muchtar E, Kariv G, Robenshtok E, Leibovici L. Systematic review and meta-  
298 analysis of the efficacy of appropriate empiric antibiotic therapy for sepsis. *Antimicrob Agents*  
299 *Chemother*. 2010;54(11):4851-63.

300 [10] Kollef MH. Inadequate antimicrobial treatment: an important determinant of outcome for  
301 hospitalized patients. *Clin Infect Dis*. 2000;31 Suppl 4:S131-8.

302 [11] Harbarth S, Garbino J, Pugin J, Romand JA, Lew D, Pittet D. Inappropriate initial antimicrobial  
303 therapy and its effect on survival in a clinical trial of immunomodulating therapy for severe sepsis. *Am*  
304 *J Med*. 2003;115(7):529-35.

305 [12] Fraser A, Paul M, Almanasreh N, Tacconelli E, Frank U, Cauda R, et al. Benefit of appropriate  
306 empirical antibiotic treatment: thirty-day mortality and duration of hospital stay. *Am J Med*.  
307 2006;119(11):970-6.

308 [13] Zaragoza R, Artero A, Camarena JJ, Sancho S, Gonzalez R, Nogueira JM. The influence of  
309 inadequate empirical antimicrobial treatment on patients with bloodstream infections in an intensive  
310 care unit. *Clin Microbiol Infect*. 2003;9(5):412-8.

- 311 [14] Maluenda F, Csendes A, Burdiles P, Diaz J. Bacteriological study of choledochal bile in patients  
312 with common bile duct stones, with or without acute suppurative cholangitis. *Hepatogastroenterology*.  
313 1989;36(3):132-5.
- 314 [15] Watkins RR, Bonomo RA. Overview: Global and Local Impact of Antibiotic Resistance. *Infect*  
315 *Dis Clin North Am*. 2016;30(2):313-22.
- 316 [16] Kiriya S, Takada T, Strasberg SM, Solomkin JS, Mayumi T, Pitt HA, et al. TG13 guidelines  
317 for diagnosis and severity grading of acute cholangitis (with videos). *J Hepatobiliary Pancreat Sci*.  
318 2013;20(1):24-34.
- 319 [17] Charlson ME, Pompei P, Ales KL, MacKenzie CR. A new method of classifying prognostic  
320 comorbidity in longitudinal studies: development and validation. *J Chronic Dis*. 1987;40(5):373-83.
- 321 [18] Paterson DL, Ko WC, Von Gottberg A, Mohapatra S, Casellas JM, Goossens H, et al. International  
322 prospective study of *Klebsiella pneumoniae* bacteraemia: implications of extended-spectrum beta-  
323 lactamase production in nosocomial Infections. *Ann Intern Med*. 2004;140(1):26-32.
- 324 [19] Horan TC, Andrus M, Dudeck MA. CDC/NHSN surveillance definition of health care-associated  
325 infection and criteria for specific types of infections in the acute care setting. *Am J Infect Control*.  
326 2008;36(5):309-32.
- 327 [20] Friedman ND, Kaye KS, Stout JE, McGarry SA, Trivette SL, Briggs JP, et al. Health care--

328 associated bloodstream infections in adults: a reason to change the accepted definition of community-  
329 acquired infections. *Ann Intern Med.* 2002;137(10):791-7.

330 [21] Bone RC, Balk RA, Cerra FB, Dellinger RP, Fein AM, Knaus WA, et al. Definitions for sepsis  
331 and organ failure and guidelines for the use of innovative therapies in sepsis. *Chest.* 1992;101(6):1644-  
332 55.

333 [22] Marschall J, Agniel D, Fraser VJ, Doherty J, Warren DK. Gram-negative bacteraemia in non-ICU  
334 patients: factors associated with inadequate antibiotic therapy and impact on outcomes. *J Antimicrob  
335 Chemother.* 2008;61(6):1376-83.

336 [23] Paterson DL. Recommendation for treatment of severe infections caused by Enterobacteriaceae  
337 producing extended-spectrum beta-lactamases (ESBLs). *Clin Microbiol Infect.* 2000;6(9):460-3.

338 [24] Pitout JD, Laupland KB. Extended-spectrum beta-lactamase-producing Enterobacteriaceae: an  
339 emerging public-health concern. *Lancet Infect Dis.* 2008;8(3):159-66.

340 [25] Kang CI, Sung YK, Lee KH, Lee KT, Lee JK. Clinical impact of inappropriate initial antimicrobial  
341 therapy on outcome in bacteremic biliary tract infections. *Scand J Infect Dis.* 2013;45(3):227-34.

342 [26] Sung YK, Lee JK, Lee KH, Lee KT, Kang CI. The clinical epidemiology and outcomes of  
343 bacteremic biliary tract infections caused by antimicrobial-resistant pathogens. *Am J Gastroenterol.*  
344 2012;107(3):473-83.

345 [27] James PD, Kaplan GG, Myers RP, Hubbard J, Shaheen AA, Tinmouth J, et al. Decreasing  
346 mortality from acute biliary diseases that require endoscopic retrograde cholangiopancreatography: a  
347 nationwide cohort study. Clin Gastroenterol Hepatol. 2014;12(7):1151-9 e6.

348 [28] Lee F, Ohanian E, Rheem J, Laine L, Che K, Kim JJ. Delayed endoscopic retrograde  
349 cholangiopancreatography is associated with persistent organ failure in hospitalised patients with acute  
350 cholangitis. Aliment Pharmacol Ther. 2015;42(2):212-20.

351

352

353

354

355

356

357

358

359 **Table and Figure legends**



360 Table 1. Baseline characteristics of patients with bacteraemic cholangitis

361 Table 2. Comparison of patients with bacteraemic cholangitis receiving adequate or inadequate initial  
362 antimicrobial therapy

363 Table 3. Comparison of clinical characteristics, and outcomes between 30-day survivors and  
364 nonsurvivors

365 Figure 1. Description of the study population

366

367

368

369

370

371

372

373

374 Table 1. Baseline characteristics of patients with bacteraemic cholangitis

Characteristic	Total (n=573)
<b>Demographics</b>	
Age, year, median (range)	77 (26-97)
Male gender	334 (58.3)
Asian	573 (100)
<b>Co-morbidities/past medical history</b>	
History of smoking	208 (36.3)
History of alcohol use	188 (32.8)
History of malignancies	231 (40.3)
Diabetes mellitus	135 (23.6)
Congestive heart failure	49 (8.6)
Peripheral vascular disease	17 (3.0)
Cerebrovascular disease	63 (11.0)
Chronic pulmonary disease	34 (5.9)
Peptic ulcer disease	47 (8.2)
Chronic liver disease	91 (15.9)
Chronic kidney disease	18 (3.1)
Connective tissue disease	20 (3.5)
Systemic steroid use ( $\geq 5$ mg) in the last 28 days	17 (3.0)
Chemotherapeutic agent use in the last 28 days	60 (10.5)
Charlson comorbidity index, median (IQR)	2 (1-4)
Score 0-1	218 (38.0)
Score 2-3	186 (32.5)
Score $>3$	169 (29.5)

Characteristics at presentation	
Jaundice (total bilirubin > 2.5mg/dl)	296 (51.7)
Septic shock within 48 hours after the first positive blood culture	111 (19.4)
Classification of bacteraemia <sup>a</sup>	
Community-acquired	214 (37.3)
Healthcare-associated	269 (46.9)
Nosocomial	90 (15.7)
Severity of cholangitis (Tokyo Guidelines)	
Grade I	178 (31.1)
Grade II	150 (26.2)
Grade III	245 (42.8)
Causes of biliary obstruction	
Choledocholithiasis	326 (56.9)
Biliary obstruction due to hepatobiliary malignancy	148 (25.8)
Benign biliary stricture	99 (17.3)
ERCP for biliary decompression at bacteraemic cholangitis diagnosis	444 (77.5)
Time to ERCP, median, days (range)	0 (0-10)
Causative pathogen	
Monomicrobial bacteraemia	457 (79.8)
Enterobacteriaceae	378 (66.0)
Other Gram-negative bacilli	15 (2.6)
<i>Enterococcus spp.</i>	18 (3.1)
Anaerobes	24 (4.2)
Other pathogens	22 (3.8)

Polymicrobial bacteraemia <sup>b</sup>	116 (20.2)
Two organisms	91 (15.9)
More than three organisms	25 (4.4)
Resistant pathogens	
3 <sup>rd</sup> generation cephalosporin-resistant enterobacteriaceae	34 (5.9)
Extended-spectrum $\beta$ -lactamase-producing enterobacteriaceae	15 (2.6)
Carbapenem-resistant enterobacteriaceae	0 (0)
Vancomycin-resistant enterococci	0 (0)
Initial antimicrobial choice	
Cephalosporin / beta-lactamase inhibitor	210 (36.6)
Penicillins / beta-lactamase inhibitor	176 (30.7)
Cephalosporin	124 (21.6)
Carbapenem	31 (5.4)
Any single agent other than cephalosporin or carbapenem	7 (1.2)
Cephalosporin with metronidazole	3 (0.5)
Any combination therapy other than cephalosporin with metronidazole <sup>c</sup>	22 (3.8)
Treatment outcome	
Pitt bacteraemia score, median (IQR)	1 (0-2)
Inadequate initial empirical antimicrobial therapy <sup>d</sup>	133 (23.2)
Due to Gram-negative bacilli	70 (52.6)
Due to <i>Enterococcus spp.</i>	40 (30.1)
Due to anaerobes	11 (8.3)
Duration of antimicrobial therapy, median, day (range)	12 (1-108)
Length of hospital stay from the onset of cholangitis, median, day (range)	15 (2-154)

Died within 30 days

38 (6.6)

---

375 Data are presented as number (%) unless otherwise specified.

376 Abbreviations: ERCP, endoscopic retrograde cholangiopancreatography; T-bil, total serum bilirubin  
377 level

378 <sup>a, b, d</sup> See methods for definition

379 <sup>c</sup> Includes carbapenem and other antimicrobials (n=5), cephalosporin and beta-lactam / beta-lactamase  
380 inhibitors (n=4), two different penicillins / beta-lactamase inhibitors (n=3), and two different  
381 cephalosporins (n=3).

382

383

384

Table 2. Comparison of patients with bacteraemic cholangitis receiving adequate or inadequate initial antimicrobial therapy

Characteristic	Adequate initial antimicrobial therapy (n=440)	Inadequate Initial antimicrobial therapy (n=133)	<i>P</i>	Adjusted OR (95% CI)	<i>P</i>
<b>Demographics</b>					
Age, years					
≤ 50	8 (1.8)	6 (4.5)	Ref.	Ref	
51-65	51 (11.6)	27 (20.3)	0.56		
66-80	227 (51.6)	62 (46.6)	0.07		
≥ 81	154 (35.0)	38 (28.6)	0.05	0.29 (0.09-0.97)	0.05
Male gender	257 (58.4)	77 (57.9)	0.92		
<b>Co-morbidities/past medical history</b>					
History of smoking	153 (34.8)	55 (41.4)	0.18		
History of alcohol use	142 (32.3)	46 (34.6)	0.67		
History of malignancies*	167 (38.0)	64 (48.1)	0.04		
Diabetes mellitus	107 (24.3)	28 (21.1)	0.49		
Congestive heart failure	35 (8.0)	14 (10.5)	0.38		

Peripheral vascular disease	12 (2.7)	5 (3.8)	0.56
Cerebrovascular disease	43 (9.8)	20 (15.0)	0.11
Chronic pulmonary disease	27 (6.1)	7 (5.3)	0.84
Peptic ulcer disease	38 (8.6)	9 (6.8)	0.59
Chronic liver disease	67 (15.2)	24 (18.0)	0.42
Chronic kidney disease	12 (2.7)	6 (4.5)	0.39
Connective tissue disease	14 (3.2)	6 (4.5)	0.43
Systemic steroid use ( $\geq 5$ mg) in the last 28 days	12 (2.7)	5 (3.8)	0.56
Chemotherapeutic agent use in the last 28 days	48 (10.9)	12 (9.0)	0.63
Charlson comorbidity index			
Score 0-1	180 (40.9)	38 (28.6)	Ref.
Score 2-3	141 (32.1)	45 (33.8)	0.10
Score $>3$	119 (27.0)	50 (37.6)	0.005
Characteristics at presentation			
Jaundice (T-bil $> 2.5$ mg/dl)	234 (53.2)	62 (46.6)	0.20
Septic shock within 48 hours after the first positive blood culture	85 (19.3)	26 (19.5)	1.00

Classification of bacteraemia <sup>a</sup>

Community-acquired	177 (40.2)	37 (27.8)	Ref.		
Healthcare-associated	210 (47.7)	59 (44.4)	0.21		
Nosocomial	53 (12.0)	37 (27.8)	<0.001	3.57 (1.93-6.61)	<0.001
Severity of cholangitis (Tokyo Guidelines)					
Grade I	134 (30.5)	44 (33.1)	Ref.		
Grade II	119 (27.0)	31 (23.3)	0.38		
Grade III	187 (42.5)	58 (43.6)	0.80		
Causes of biliary obstruction					
Cholelithiasis	267 (60.7)	59 (44.4)	Ref.		
Biliary obstruction due to hepatobiliary malignancy	101 (23.0)	47 (35.3)	0.001		
Benign biliary stricture	72 (16.4)	27 (20.3)	0.05		
Bacteraemia					
Polymicrobial bacteraemia <sup>b</sup>	63 (14.3)	53 (39.8)	<0.001	1.94 (1.10-3.43)	0.02
Pathogens					
Enterobacteriaceae	348 (79.1)	54 (40.6)	Ref.		



<i>Enterococcus spp.</i> <sup>c</sup>	24 (5.5)	40 (30.1)	<0.001	8.19(4.08-16.45)	<0.001
Others	68 (15.5)	39 (29.3)	<0.001	3.15(1.84-5.41)	<0.001

---

Data are presented as number (%) unless otherwise specified.

Abbreviations: ERCP, Endoscopic retrograde cholangiopancreatography; T-bil, total serum bilirubin level; N/A, not applicable

<sup>a,b</sup> See methods for definition

<sup>c</sup> Includes both monomicrobial and polymicrobial bacteraemic cholangitis (18 episodes were of monomicrobial enterococcal bacteraemic cholangitis and 46 episodes were of polymicrobial enterococcal bacteraemic cholangitis).

\* The variable, 'history of malignancies' was not included in the final model despite its statistical significance in univariate analysis because this variable showed strong collinearity with the Charlson comorbidity index (rs = 0.62 [P<.001])

Variables considered but not retained in the final model were the Charlson comorbidity index and causes of biliary obstruction.

The Hosmer-Lemeshow goodness of fit  $\chi^2$  test was 3.74 (P= 0.81)

Table 3. Comparison of clinical characteristics, and outcomes between 30-day survivors and nonsurvivors

Characteristics	Survived > 30 days (n=534)	Died ≤ 30 days (n=38)	<i>P</i>	Adjusted OR (95% CI)	<i>P</i>
Demographics					
Age					
≤ 50	13 (2.4)	1 (2.6)	Ref.		
51-65	75 (14.0)	3 (7.9)	0.58		
66-80	267 (49.9)	22 (57.9)	0.95		
≥ 81	180 (33.6)	12 (31.6)	0.90		
Male gender	312 (58.3)	22 (57.9)	0.96		
Co-morbidities/past medical history					
History of smoking	196 (36.6)	12 (31.6)	0.60		
History of alcohol use	177 (33.1)	11 (28.9)	0.72		
History of malignancies*	202 (37.8)	29 (76.3)	<0.001		
Diabetes mellitus	128 (23.9)	7 (18.4)	0.55		
Congestive heart failure	43 (8.0)	6 (15.8)	0.12		
Peripheral vascular disease	17 (3.2)	0			

Cerebrovascular disease	61 (11.4)	2 (5.3)	0.42		
Chronic pulmonary disease	34 (6.4)	0			
Peptic ulcer disease	44 (8.2)	3 (7.9)	1.00		
Chronic liver disease	82 (15.3)	9 (23.7)	0.17		
Chronic kidney disease	16 (3.0)	2 (5.3)	0.34		
Connective tissue disease	19 (3.6)	1 (2.6)	1.00		
Systemic steroid use ( $\geq 5$ mg) in the last 28 days	17 (3.2)	0	NA		
Chemotherapeutic agent use in the last 28 days	51 (9.5)	9 (23.7)	0.01		
Charlson comorbidity index					
Score 0-1	214 (40.0)	4 (10.5)	Ref.		
Score 2-3	179 (33.5)	7 (18.4)	0.25		
Score >3	142 (26.5)	27 (71.1)	<0.001	4.12(1.18-14.38)	0.03
Characteristics at presentation					
Jaundice (T-bil > 2.5 mg/dl)	269 (50.3)	27 (71.1)	0.02	3.39 (1.46-7.89)	0.005
Septic shock within 48 hours after the first positive blood culture	95 (17.8)	16 (42.1)	0.001	3.34 (1.42-7.89)	0.006
Classification of bacteraemia <sup>a</sup>					

Community-acquired	210 (39.3)	4 (10.5)	Ref.		
Healthcare-associated	249 (46.5)	20 (52.6)	0.01		
Nosocomial	76 (14.2)	14 (36.8)	<0.001		
Severity of cholangitis (Tokyo Guidelines)					
Grade I	171 (32.0)	7 (18.4)	Ref.		
Grade II	145 (27.1)	5 (13.2)	0.77		
Grade III	219 (40.9)	26 (68.4)	0.02		
Causes of biliary obstruction					
Choledocholithiasis	318 (59.94)	8 (21.1)	Ref.	Ref.	
Biliary obstruction due to hepatobiliary malignancy	121 (22.6)	27 (71.1)	<0.001	8.00 (2.92-21.97)	<0.001
Benign biliary stricture	96 (17.9)	3 (7.9)	0.75		
ERCP for biliary decompression at bacteraemic cholangitis diagnosis	423 (79.1)	21 (55.3)	0.002		
Time to ERCP, days (range)	0 (0-10)	0 (0-4)	0.62		
Bacteraemia					
Enterococcus bacteraemia <sup>b</sup>	57 (10.7)	7 (18.4)	0.18		
Polymicrobial bacteraemia <sup>c</sup>	106 (19.9)	10 (26.3)	0.40		

Treatment outcome					
Pitt bacteraemia score, median (IQR)	1 (0-2)	1 (0.75-3)	0.11		
Inadequate initial antimicrobial therapy <sup>d</sup>	116 (21.7)	17 (44.7)	0.002	2.78 (1.27-6.11)	0.01
Duration of antimicrobial use, days (range)	12 (1-108)	12.5 (2-30)	0.71		

---

Data are presented as number (%) unless otherwise specified.

Abbreviations: OR, odds ratio; ERCP, Endoscopic retrograde cholangiopancreatography; T-bil, total serum bilirubin level; N/A, not applicable

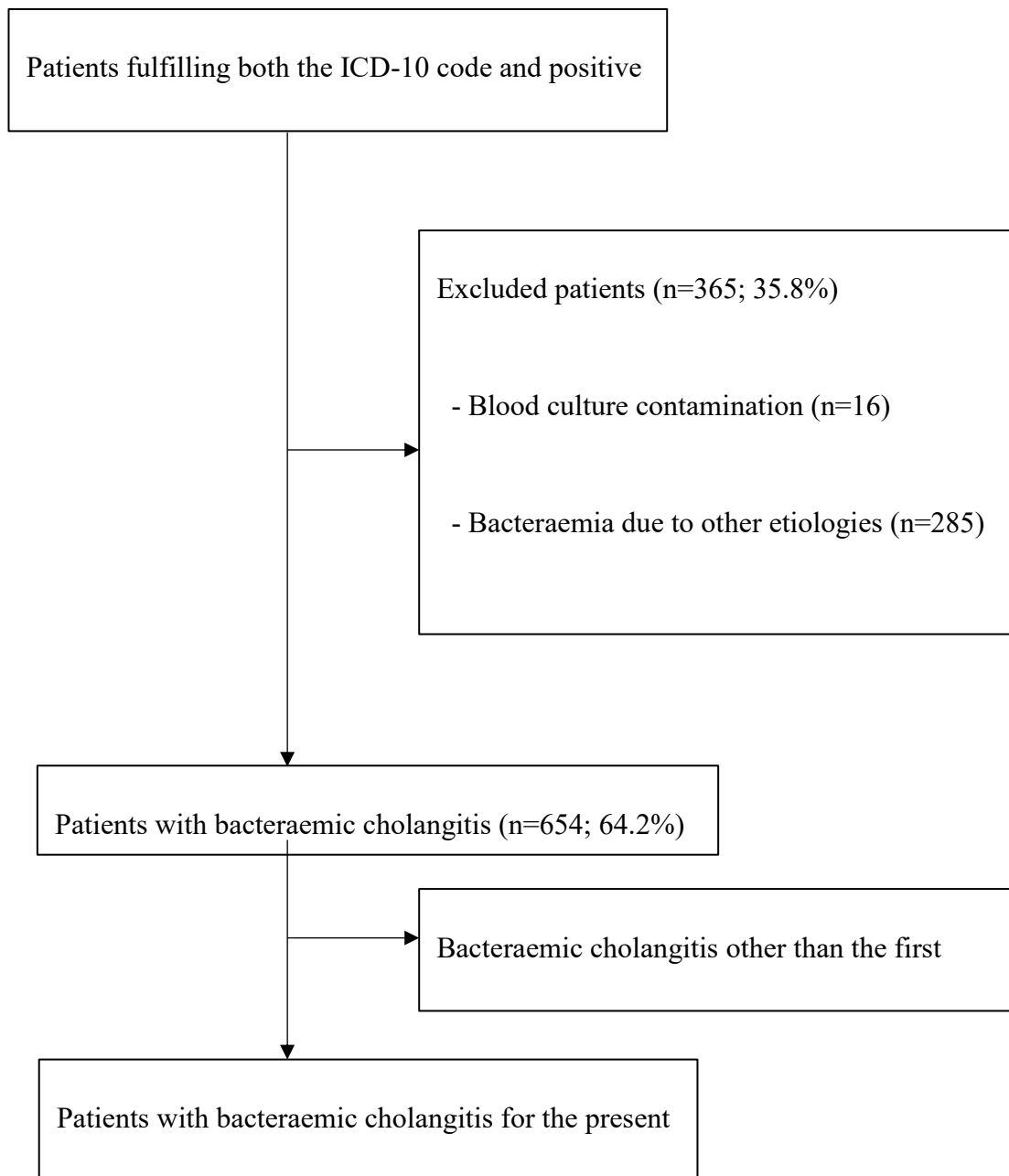
<sup>a-d</sup> See methods for definition.

\* The variable, 'history of malignancies' was not included in the final model despite its statistical significance in univariate analysis because this variable had a strong collinearity with the Charlson comorbidity index ( $r_s = 0.62$  [ $P < .001$ ])

Variables considered but not retained in the final model were chemotherapeutic agent use in the last 28 days, classification of bacteraemia, severity of cholangitis (Tokyo Guidelines) and ERCP for biliary decompression at bacteraemic cholangitis diagnosis.

The Hosmer-Lemeshow goodness of fit  $\chi^2$  test was 3.60 ( $P = 0.89$ )

Figure 1. Description of the study population



## Appendix

Title: Impact of inadequate initial antimicrobial therapy on mortality in patients with bacteraemic cholangitis: A retrospective cohort study

Authors: Yasuaki Tagashira <sup>1,2</sup>, Naoya Sakamoto <sup>3</sup>, Toshiaki Isogai <sup>4</sup>, Mayu Hikone <sup>3</sup>, Atsushi Kosaka <sup>3</sup>, Ran Chino <sup>1</sup>, Masanori Higuchi <sup>1</sup>, Yuki Uehara <sup>2</sup>, Hitoshi Honda <sup>1</sup>

Appendix 1.

Supplementary tables for 1) proportion of Charlson comorbidity index in classification of bacteraemia and 2) proportion of Charlson comorbidity index in adequate/inadequate initial antimicrobial therapy

		Classification of bacteraemia		
		Community	Healthcare-associated	Nosocomial
Charlson comorbidity index	0-1	121 (56.5)	82 (30.5)	15 (16.7)
	2-3	64 (29.9)	96 (35.7)	26 (28.9)
	>3	29 (13.6)	91 (33.8)	49 (54.4)

	Adequate initial antimicrobial therapy  (n=53)	Inadequate initial antimicrobial therapy  (n=37)
--	---	---



Charlson comorbidity index	0-1	10 (18.9)	5 (13.5)
	2-3	14 (26.4)	12 (32.4)
	>3	29 (54.7)	20 (54.1)