

1 *Title page*

2 **Pancreatic Adenocarcinoma With Strong Expression of [Interleukin-13 Receptor \$\alpha\$ 2](#) Shows a**
3 **Poor Response to Gemcitabine-Based Chemotherapy**

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12 **Running title:** PDAC With [Strong Expression](#) of IL-13R α 2

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1 **Abstract**

2 **Objectives:** Pancreatic ductal adenocarcinoma with strong expression of interleukin-13 receptor $\alpha 2$
3 (IL-13R $\alpha 2$) was associated with poor prognosis and gemcitabine resistance in an orthotopic mouse
4 model. We evaluated the influence of IL-13R $\alpha 2$ expression in the endoscopic ultrasound-fine needle
5 aspiration (EUS-FNA) specimen.

6 **Methods:** We included patients with pancreatic ductal adenocarcinoma, as diagnosed by EUS-FNA,
7 who received gemcitabine-based chemotherapy. Tumor expression of IL-13R $\alpha 2$ was assessed by
8 immunohistochemistry and classified using a three scale [negative, weak or strong] in a blinded fashion.
9 The effect of gemcitabine-based chemotherapy was assessed by tumor reduction rate by computed
10 tomography after 3 months.

11 **Results:** A total of 95 patients were enrolled, and 63 and 32 cases were determined with strong and
12 weak/negative expression of IL-13R $\alpha 2$. The IL-13R $\alpha 2$ -strong group showed significantly poorer
13 progression-free and overall survival rates than weak/negative group ($P = 0.0191$ and $P = 0.0062$,
14 respectively). Strong expression of IL-13R $\alpha 2$ was associated with progression factor after 3 months of
15 the first gemcitabine-based chemotherapy (odds ratio, 13.72, $P = 0.0143$).

16 **Conclusions:** Pancreatic ductal adenocarcinoma with strong expression of IL-13R $\alpha 2$ in EUS-FNA
17 specimens showed poor prognosis and poor response to gemcitabine-based chemotherapy.

18

19 **Key Words:** pancreatic ductal adenocarcinoma, EUS-FNA, IL-13R $\alpha 2$, gemcitabine resistance,
20 prognosis

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1 TEXT

2 INTRODUCTION

3 Interleukin-13 receptor $\alpha 2$ (IL-13R $\alpha 2$) is a single-transmembrane protein consisting of 380 amino
4 acids. It is a subunit of the IL-13 receptor family. Interleukin-13 receptor $\alpha 2$ has high affinity for IL-
5 13, and IL-13 binding has complex internal effects and functions.¹ Interleukin-13 receptor $\alpha 2$,
6 previously considered a decoy receptor, is involved in intracellular signaling.² Interleukin-13 receptor
7 $\alpha 2$ reportedly promotes metastasis of human breast cancer, and decreased expression of IL-13R $\alpha 2$
8 suppresses metastasis.³ It has served as a prognostic and drug resistance factor in glioblastoma, renal
9 cell carcinoma, and gastric cancer.⁴⁻⁷ In glioblastoma, immunosuppressive genes were highly
10 expressed in IL-13R $\alpha 2$ positive tumors and immunosuppressive genes associated with IL-13R $\alpha 2$ may
11 play a role in tumor progression.⁶ Interleukin-13 receptor $\alpha 2$ -mediated resistance to sunitinib is caused
12 by the inhibition of sunitinib-induced apoptosis without increasing renal cell carcinoma
13 microvasculature.⁷ We showed that strong expression of IL-13R $\alpha 2$ is related to a poor prognosis due
14 to the promotion of metastasis in an orthotopic mouse model of pancreatic cancer. In the study, IL-13
15 activates the MAPK pathway, especially ERK1/2, via IL-13R $\alpha 2$ and increases the levels of matrix
16 metalloproteinases; these mechanisms are implicated in the metastasis of pancreatic cancer.⁸ Because
17 the expression of this receptor is largely specific for cancer cells and noncancerous cells do not express
18 it, IL-13R $\alpha 2$ would be a diagnostic and therapeutic target for cancer.

19 The five-year survival rate of pancreatic ductal adenocarcinoma (PDAC) is only 2% despite
20 multimodal treatment.⁹ Poor prognostic factors in PDAC include resistance to chemotherapy and early
21 invasive or metastatic disease. Gemcitabine is a key drug for PDAC, and numerous trials of
22 gemcitabine-based double/triple therapies have been performed.¹⁰⁻¹² A poor response to gemcitabine
23 may shorten survival, so early identification of gemcitabine resistant PDAC cases is important. In a
24 mouse model, the administration of gemcitabine and an *IL-13R $\alpha 2$* -target immunotoxin (a fusion
25 protein of *IL-13* and *Pseudomonas* exotoxin) exerted a synergistic effect on PDAC, significantly

1 reducing tumor volume.¹³ We found an inverse correlation between IL-13R α 2 expression in surgical
2 specimens of PDAC and prognosis. The role of endoscopic ultrasound-fine needle aspiration (EUS-
3 FNA) has shifted from diagnosis to tissue acquisition for histological, immunohistochemical, and
4 molecular analyses. Because the heterogeneity of IL-13R α 2 expression in pancreatic cancer is known
5 to be minimal, it is worth evaluating the predictivity of IL-13R α 2 expression using biopsy specimen.¹⁴
6 If EUS-FNA specimens at the time of diagnosis before treatment enable evaluation of IL-13R α 2
7 expression similarly to surgical specimens, initial treatment selection would be possible as well as
8 prognostic prediction.

9 We analyzed the expression of IL-13R α 2 in EUS-FNA specimens obtained at the time of diagnosis
10 and examined the relationship between IL-13R α 2 expression and the tumor reduction rate and
11 prognosis of patients with PDAC who received systemic chemotherapy.

12

13 **MATERIALS AND METHODS**

14 We analyzed patients with PDAC who received systemic chemotherapy for initial treatment. A
15 flowchart of the case selection process is shown in Figure 1. Briefly, between April 2014 and April
16 2019, 231 patients were histologically diagnosed with pancreatic cancer by EUS-FNA at Juntendo
17 University Hospital, Tokyo, Japan. First, 18 patients with [intraductal papillary mucinous carcinoma](#),
18 [neuroendocrine carcinoma](#), or [acinar cell carcinoma](#), and 8 with samples unsuitable for
19 immunohistochemistry (IHC), were excluded; 22 patients with [best supportive care](#) not receiving
20 chemotherapy and 51 patients who underwent surgery were also excluded. Next, 132 who received
21 chemotherapy were identified. Finally, 95 patients were included in the analysis: received gemcitabine-
22 based chemotherapy (G-CTX) as an initial treatment but could not continue chemotherapy for [>3](#)
23 months, excluding 10 who started fluorinated pyrimidine-based chemotherapy (Fig. 1).

24 Clinical data were extracted from the electronic medical records and the relationship of IL-13R α 2
25 expression with the tumor reduction rate and prognosis was examined. Overall survival (OS) and

1 progression-free survival (PFS) were analyzed from initiation of chemotherapy or chemoradiotherapy
2 to death, and from treatment to the date of local progression >20% or distant metastasis based on the
3 RECIST guidelines.¹⁵ In addition, the primary tumor reduction rate was compared between the high
4 and low IL-13R α 2 expression groups. Tumors were staged according to the guidelines of the Union
5 for International Cancer Control and the American Joint Committee on Cancer.¹⁶

6 IHC

7 Four-micron-thick PDAC tissue sections were prepared on poly-L-lysine coated glass slides.
8 Hematoxylin and eosin staining and IHC for IL-13R α 2 was performed as described previously.¹⁷
9 Briefly, IL-13R α 2 expression in PDAC and normal tissues were determined using a goat polyclonal
10 antibody against IL-13R α 2 (R&D Systems, Minneapolis, Minn). The sections were deparaffinized,
11 dehydrated in 100%, 75%, and 50% alcohol and treated with an antigen unmasking reagent to unmask
12 IL-13R α 2 protein. Autofluorescence in paraffin tissue sections was minimized by incubation with 1%
13 sodium borohydride solution for 2 h and incubated in blocking buffer consisting of 5% rabbit serum
14 and 1% biotin-free bovine serum albumin in 18 \times phosphate-buffered saline for 2 h. The paraffin tissue
15 sections were immunostained with 0.5 μ g/mL IL-13R α 2 antibody overnight at 4°C, washed twice with
16 1 \times phosphate-buffered saline, and incubated with a biotinylated rabbit anti-goat antibody (Histofine
17 Simple Stain MAX PO; 414161F; Nichirei Biosciences, Inc., Tokyo, Japan). The samples were
18 incubated with iminotadine or isotype control goat IgG (negative control).

19 With hematoxylin and eosin specimen, PDAC histology was classified into wel, mod, or por,
20 according to the WHO classification.¹⁸ The quantity of the PDAC in each specimen was also recorded
21 and classified into abundant, in which cancer cells occupied >3 \times 3 mm area; moderate, >1 \times 1 mm
22 but <3 \times 3 mm area; or scarce, <1 \times 1 mm area of EUS-FNA specimens.

23 For evaluation of IL-13R α 2 expression, each case was classified into strong, weak or negative
24 expression, based on the intensity of staining. The intensity of IL-13R α 2 expression was defined as
25 follows, strong for cases in which hematoxylin staining was not visible (Fig. 2A, arrow), weak for

1 cases in which both hematoxylin and DAB stain was visible (Fig. 2B, arrow), and negative for cases
2 in which no DAB staining was recognized (Fig. 2C, arrow). For the further comparison and statistical
3 analyses, cases were divided into IL-13R α 2-strong and IL-13R α 2-negative/weak (Fig. 2). **Interleukin-**
4 **13 receptor α 2** expression was evaluated independently by three researchers (K.T., T.F., and Y.F.), one
5 of whom was a pathologist, in a blinded fashion. The IL-13R α 2 IHC evaluation was consistent among
6 most specimens. If different staining intensities were observed within the same tumor, the largest
7 expression area was recorded. Evaluation on which all three researchers agreed, or those agreed upon
8 by two researchers including the certified pathologist, were used as the final evaluation. Otherwise,
9 final evaluation was determined after discussion among the three researchers.

10 **Clinical Database for Pancreatic Cancer**

11 For 95 patients who could be stained with IL-13R α 2 and were suitable for continuous
12 gemcitabine-based chemotherapy, a clinical database was created from the electronic medical records.
13 Patient characteristics (age, sex, performance status [PS], **body mass index**, and the modified Glasgow
14 prognostic score [mGPS]), tumor markers (**carbohydrate antigen 19-9 [CA 19-9]**, DUPAN-2, and
15 SPAN-1), computed tomography (CT) findings (tumor size, location, vessel invasion, stage),
16 pathological diagnosis by EUS-FNA (differentiation and histological type), treatment (surgery,
17 chemotherapy, or chemoradiotherapy), OS, and PFS were extracted. The mGPS incorporates the C-
18 reactive protein (CRP) and albumin levels, which reflect systemic inflammation, and is a validated
19 instrument that stratifies patients into three groups according to prognosis.¹⁹ The standard values were
20 age >65 years, PS of 2; **body mass index >18.5 kg/m²**, mGPS of 2, tumor markers above the median
21 values and a tumor size of >35 mm.

22 **Statistical Analysis**

23 The chi-squared or Fisher's exact test and Mann-Whitney U test were used to analyze the data, as
24 appropriate. Pairwise comparisons between groups were conducted using the Wilcoxon signed-rank
25 test. Survival curves were generated by the Kaplan-Meier method and compared by the log-rank test.

1 Multivariate analysis (logistic regression analysis/Cox's proportional hazards model) of all clinical
2 parameters and chemotherapy resistance/prognostic factors was performed. The results are expressed
3 as hazard ratios (HRs), 95% confidence intervals (CIs), and *P* values (where *P* < 0.05 indicated
4 significance). Statistical analysis was performed using BellCurve for Excel statistical software
5 (Microsoft Corp., Redmond, Wash).

6

7 **RESULTS**

8 **Patient Characteristics and IL-13R α 2 Expression**

9 The clinicopathological characteristics of the 95 patients are listed in Table 1. The median age was
10 69 (interquartile range, 64–75) years, and the female ratio was 42.1 %. Histological diagnosis was
11 adenocarcinoma for all and consisted of 13/49/31 cases of well/moderately/poorly differentiated
12 carcinoma, respectively. The quantity of the cancer cells in EUS-FNA specimens were abundant,
13 moderate, and scarce in 66/21/6 cases, respectively. The tumor location was the head in 55.8 % of
14 cases and the body or tail in 44.2 %; 1, 6, 39, and 49 cases were Union for International Cancer Control
15 stage I-IV, respectively, at the time of treatment. The median CA 19-9 level was 252 (interquartile
16 range, 62.5–1361) U/mL. There were no significant differences in characteristics between the IL-
17 13R α 2-high and -low groups (age, *P* = 0.8; sex, *P* = 0.8; tumor location, *P* = 0.5; Union for
18 International Cancer Control stage, *P* = 0.7; CA 19-9, *P* = 0.3; treatment, *P* = 0.9). Chemotherapy and
19 chemoradiotherapy were conducted in 83.2% and 16.8% of cases, respectively. The chemotherapy
20 regimen was gemcitabine plus nab-paclitaxel in 82.1% of cases, only gemcitabine in 11.6% and
21 gemcitabine plus S-1 in 6.3%.

22 We found that IL-13R α 2 expression was consistent throughout each specimen in most cases (89/95,
23 93.7%), irrespective of the slight histological changes of the PDAC, ie, well-differentiated PDAC
24 components and poorly differentiated components in one specimen showed same IHC intensity in
25 93.7% of the cases. Interleukin-13 receptor α 2 expression was determined strong in 64 cases (67.4%)

1 and weak/negative in 31 cases (32.6%) (Fig. 2). At initial evaluation of the IL-13R α 2 score, the three
2 researchers scored 10 cases (10.5%) differently, among which 5 cases (50.0%) were rich in cytoplasmic
3 mucus and 6 (60.0%) showed heterogeneous staining.

4 **Association of IL-13R α 2 Expression With Chemotherapy Efficacy**

5 The changes in tumor size after 3 months of chemotherapy are shown as Waterfall plots (Fig. 3).
6 The IL-13R α 2-strong group had a significantly higher ratio of progressive disease (PD) compared to
7 the IL-13R α 2-weak/negative group (35.9% vs 3.2%, $P < 0.001$, respectively). The IL-13R α 2-strong
8 group showed significantly shorter median OS and PFS than the IL-13R α 2-weak/negative group (OS,
9 13.0 vs 22.0 months; HR, 1.99; 95% CI, 1.2–3.3; $P = 0.0062$) (PFS; 6.0 vs 11.0 months; HR, 1.68;
10 95% CI, 1.1–2.7, $P = 0.0191$) (Fig. 4). There was no significant difference between the IL-13R α 2-
11 strong and -weak/negative groups in the frequency of adverse events due to chemotherapy, including
12 neutropenia. There was also no group difference in the dose reduction ratio (59.5% vs 62.5%, $P = 0.7$).

13

14 **The First Efficacy of Gemcitabine-Based Chemotherapy Between IL-13R α 2-high Group and -** 15 **Low Group**

16 The efficacy of 3 months of G-CTX was assessed by CT. The IL-13R α 2-strong group had a
17 significantly higher rate of PD rate than the IL-13R α 2-weak/negative group (35.9% vs 3.2%, $P <$
18 0.001) (Table 2A). Odd ratios (ORs) and 95% CIs from logistic regressions of factors associated with
19 PD after 3 months G-CTX (Table 2B). Compared to other factors (PS, mGPS, CA 19-9 and
20 chemotherapy dose reduction), IL-13R α 2 was associated with PD risk on the first efficacy (IL-13R α 2;
21 ORs, 13.72 (95% CI, 1.68-111.5, $P = 0.0143$)).

22

23 **Univariate and Multivariate Analyses of Prognostic Factors for Chemotherapy Efficacy and OS**

24 In univariate analyses, PS of 0-1 or 2 ($P < 0.01$), mGPS of 0-1 or 2 ($P < 0.01$), tumor size <32 or
25 ≥ 32 mm ($P = 0.02$), surgery ($P < 0.01$), radiation ($P < 0.01$), and tumoral IL-13R α 2 expression ($P <$

1 0.01) were associated with OS (Table 3). In multivariate analysis, no surgery, no radiation, and strong
2 IL-13R α 2 expression of the tumor were associated with a poorer prognosis (HR, 0.31; 95% CI, 0.12–
3 0.81; $P = 0.02$; HR, 0.35; 95% CI, 0.17–0.73; $P = 0.01$; and HR, 1.81; 95% CI, 1.02–3.22; $P = 0.04$,
4 respectively) (Table 3).

5

6 DISCUSSION

7 Endoscopic ultrasound-fine needle aspiration plays roles not only in histological diagnosis, but
8 also in the evaluation of tumor status (which informs treatment selection). In pancreatic cancer,
9 microsatellite instability status is routinely determined to assess the suitability of pembrolizumab.²⁰
10 Commercial oncogene panels typically use EUS-FNA specimens.²¹ We identified an inverse
11 relationship between tumoral IL-13R α 2 expression and PDAC prognosis through analysis of a large
12 sample of surgical specimens.¹⁴ Here, we focused on the ability of tumoral IL-13R α 2 expression in
13 EUS-FNA specimens to predict prognosis and/or chemotherapy efficacy. Predicting prognosis or
14 chemotherapy efficacy using EUS-FNA rather than surgical specimens has several advantages.
15 Considering the low rate (20%) of surgery for PDAC, EUS-FNA specimens, which can be obtained in
16 almost 100% of cases, may be the only material suitable for pathological and genetic evaluation. In
17 addition, knowledge of chemoresistance, particularly to gemcitabine, at the time of diagnosis facilitates
18 selection of an appropriate chemotherapy regimen.

19 Interleukin-13 receptor α 2 expression revealed by IHC of EUS-FNA specimens was predictive of
20 OS and PFS (Figure 4) and the response to chemotherapy. The relationship between tumoral IL-13R α 2
21 expression and the first response to chemotherapy was evidenced by the rates of reduction in tumor
22 volume (Figure 3 and Table 2-a). We previously reported a poorer response to chemotherapy of *IL-*
23 *13R α 2*-high compared to *IL-13R α 2*-low PDAC in a mouse model.¹³ Moreover, combination therapy
24 with gemcitabine and an *IL-13R α 2*-targeting immunotoxin exerted a synergistic effect on mouse
25 PDAC. In this study, PS ($P < 0.01$), mGPS ($P < 0.01$), tumor size ($P = 0.02$) and surgery ($P < 0.01$)

1 were strongly associated with prognosis, as reported by other studies.²²⁻²⁴ Radiotherapy was related to
2 a better prognosis, likely because radiotherapy is typically performed for stage II and III PDAC. In a
3 multivariate analysis, the presence of surgery ($P = 0.02$), the presence of radiotherapy ($P = 0.01$), and
4 negative tumoral IL-13R α 2 expression ($P = 0.04$) contribute better prognosis significantly, suggesting
5 that evaluation of EUS-FNA specimens for tumoral IL-13R α 2 expression at the time of diagnosis
6 enables prediction of prognosis.

7 Although PDAC is a histologically heterogeneous tumor in which well to poorly differentiated
8 components, even anaplastic components are often mixed in one case, our previous study has shown
9 that IL-13R α 2 IHC shows almost consistent result.¹⁴ In this study, 93.7% of the studied cases showed
10 consistent IHC results. Regarding the remaining cases (6.3%) which showed different IHC results area
11 by area, we think there may be two factors which influence on the IL-13R α 2 expression; (i) PDAC at
12 the invasive front or at the internal site, and (ii) the molecular progression, such as epithelial-
13 mesenchymal transition. In our previous study with surgical cases, IL-13R α 2 expression was higher at
14 peripheral site of the tumor compared to the internal site in some cases, suggesting biological or
15 molecular tumor progression may affect IL13R α 2 expression (data not shown). Further studies are
16 needed to determine the cause of IL-13R α 2 heterogeneity, although which is rare in PDAC.

17 The limitation of the present study is that some difficulty exists in IL-13R α 2 IHC evaluation in
18 PDAC cases with abundant intracytoplasmic mucin. In this [retrospective](#) study, the initial agreement
19 rate among the three researchers on the evaluation of IL-13R α 2 IHC was 89.5% and among the 10.5%
20 of initial disagreement cases were due to abundant intracytoplasmic mucus. In such cases, looking for
21 tumor cells with mucin-free or mucin-less and evaluating such tumor cells are important. When the
22 staining was heterogeneous by tumor area, the IHC score for more than 50% of the tumor cells were
23 adopted for the case. As for another limitation for this research, we showed IL-13R α 2 high group has
24 worse survival without discussing second line chemotherapy. The mechanism by which IL-13R α 2
25 induces gemcitabine resistance in pancreatic cancer is unknown and will be investigated by genetic

1 analysis as future issues.

2 Our analysis suggested that strong expression of IL-13R α 2 may reduce the effectiveness of G-
3 CTX and be poorer prognosis. Because EUS-FNA specimens was good enough to evaluate IL-13R α 2
4 characteristics same as former study²⁵, we could facilitate selection of the most suitable
5 chemotherapeutic agent in referring to EUS-FNA specimens obtained at the time of diagnosis.

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8 pancreatic cancer samples.

9

10 **Abbreviations:** CA 19-9, carbohydrate antigen 19-9; EUS-FNA, endoscopic ultrasound-fine needle
11 aspiration; FFPE, formalin fixed paraffin embedded; G-CTX, gemcitabine-based chemotherapy; IL-
12 13R α 2, Interleukin-13 Receptor α 2; OS, overall survival; PDAC, pancreatic ductal adenocarcinoma;
13 PFS, progression free survival; PS, performance status.

14

15 **Author Contributions:** K.T., To.F., and H.I. conceptualized the study. M.U., Ta.F., S.T., and Y.T.
16 worked on data curation. A.S. performed formal analysis. K.T. and To.F. worked on funding acquisition.
17 K.I. participated in the investigation. S.I. worked on methodology. Y.F. worked on project
18 administration. K.T. worked on software. T.Y. and A.N. supervised. Y.F. worked on validation. Y.F.
19 worked on visualization. K.T. wrote the original draft. Y.F., To.F., and H.I. did the review and editing.

20

21 **Disclosure Statement**

22 This study was approved by the Ethics Committee of Juntendo University (No. 2021084) and
23 conducted according to the Ethical Guidelines for Human Genome / Gene Research of the Japanese
24 Government and the Helsinki Declaration. All authors have read and agreed to the revised version of
25 the manuscript.

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19
20

1 **FIGURE LEGENDS**

2 **FIGURE 1.** Patient flowchart. ACC, acinar cell carcinoma; BSC, best supportive care; EUS-FNA,
3 endoscopic ultrasound-fine needle aspiration; IPMC, intraductal papillary mucinous carcinoma; NEC,
4 neuroendocrine carcinoma. *Withdraw - 27 patients who had difficulty continuing chemotherapy for
5 more than 3 months.

6
7 **FIGURE 2.** Immunohistochemical evaluation IL-13R α 2 expression (representative cases) based on
8 the intensity of staining, each case was classified into strong (Score 2), weak (Score 1), and negative
9 (Score 0) expression. The intensity of IL-13R α 2 expression was defined as follows, strong, for cases
10 in which hematoxylin staining was not visible (A, arrow), weak, for cases in which both hematoxylin
11 and DAB stain was visible (B, arrow), and negative, for cases in which no DAB staining was
12 recognized (C, arrow). For the further comparison and statistical analyses, cases were divided into IL-
13 13R2-strong and IL-13R2-negative/weak. The evaluation of IL-13R α 2 expression was 64 cases of
14 strong, 29 cases of weak and 2 cases of negative. Hence, 67.4% and 32.6% of these cases were
15 categorized into the IL-13R α 2-strong and -negative/weak groups as the final evaluation, respectively.

16
17 **FIGURE 3.** Association between tumor reduction and IL-13R α 2 expression after 3 months of
18 chemotherapy (waterfall plot). The IL-13R α 2-strong group had a high ratio of progressive disease
19 (PD) compared to the IL-13R α 2-weak/negative group (35.9 % vs 3.2 %, $P < 0.001$, respectively).

20
21 **FIGURE 4.** Relationship between IL-13R α 2 expression and OS/PFS. IL-13R α 2-strong group
22 significantly shortened OS/PFS compared to the IL-13R α 2-weak/negative group (median OS, 13.0
23 mo vs 22.0 mo, $P = 0.0062$ and median PFS, 6.0 mo vs 11.0 mo, $P = 0.0191$).

TABLE 1. Clinicopathologic Characteristics of the Patients (n = 95)

Parameters	
Age, median (IQR), y	69 (64-75)
Sex, male/female, n (%)	
Male	55 (57.9)
Female	40 (42.1)
Histologic diagnosis, n (%)	
Adenocarcinoma	95 (100)
Histological diagnosis, n (%)	
Well	13 (13.7)
Moderately	49 (51.6)
Poorly	31 (32.6)
NA	2 (2.1)
Quantity of the cancer cells	
Abundant	66 (69.5)
Moderate	21 (22.1)
Scarce	6 (6.3)
NA	2 (2.1)
Tumor Location, n (%)	
Head	53 (55.8)
Body/tail	42 (44.2)
UICC clinical stage, n (%)	
I	1 (1.1)
II	6 (6.3)
III	39 (41.1)
IV	49 (51.5)
CA 19-9, U/mL*	252 (62.5–1361)
Treatment, n (%)	
Chemotherapy	79 (83.2)
Chemoradiotherapy	16 (16.8)
Regimen, n (%)	
Gemcitabine plus nab-paclitaxel	78 (82.1)
Gemcitabine	11 (11.6)
Gemcitabine plus S-1	6 (6.3)

CA 19-9 indicates carbohydrate antigen 19-9; IQR, interquartile range; NA, not applicable.

TABLE 2. Progressive Disease After 3 Months of Gemcitabine-Based Chemotherapy

A. Progressive disease ratio in the IL-13R α 2-strong group receiving gemcitabine-based chemotherapy after 3 mo

	IL-13R α 2-Weak/Negative, n (%)	IL-13R α 2-Strong, n (%)	<i>P</i>
n	31	64	
PD	1 (3.2)	23 (35.9)	<0.001
SD/PR/CR	30 (96.8)	41 (64.1)	

B. Factors associated with progressive disease after 3 mo gemcitabine-based chemotherapy

Factors	OR (95% CI)	<i>P</i>
PS \geq 2	4.52 (0.72-28.27)	0.106
mGPS \geq 2	2.65 (0.61-11.51)	0.192
CA 19-9 <252 U/mL	0.81 (0.28-2.29)	0.696
Chemotherapy dose reduction	1.48 (0.51-4.28)	0.464
IL-13R α 2	13.72 (1.68-111.57)	0.014

IL-13R α 2 was associated with PD risk on the first efficacy. Bold values are statistically significant.

CR indicates complete response; PD, progressive disease; PR, partial response; SD, stable disease.

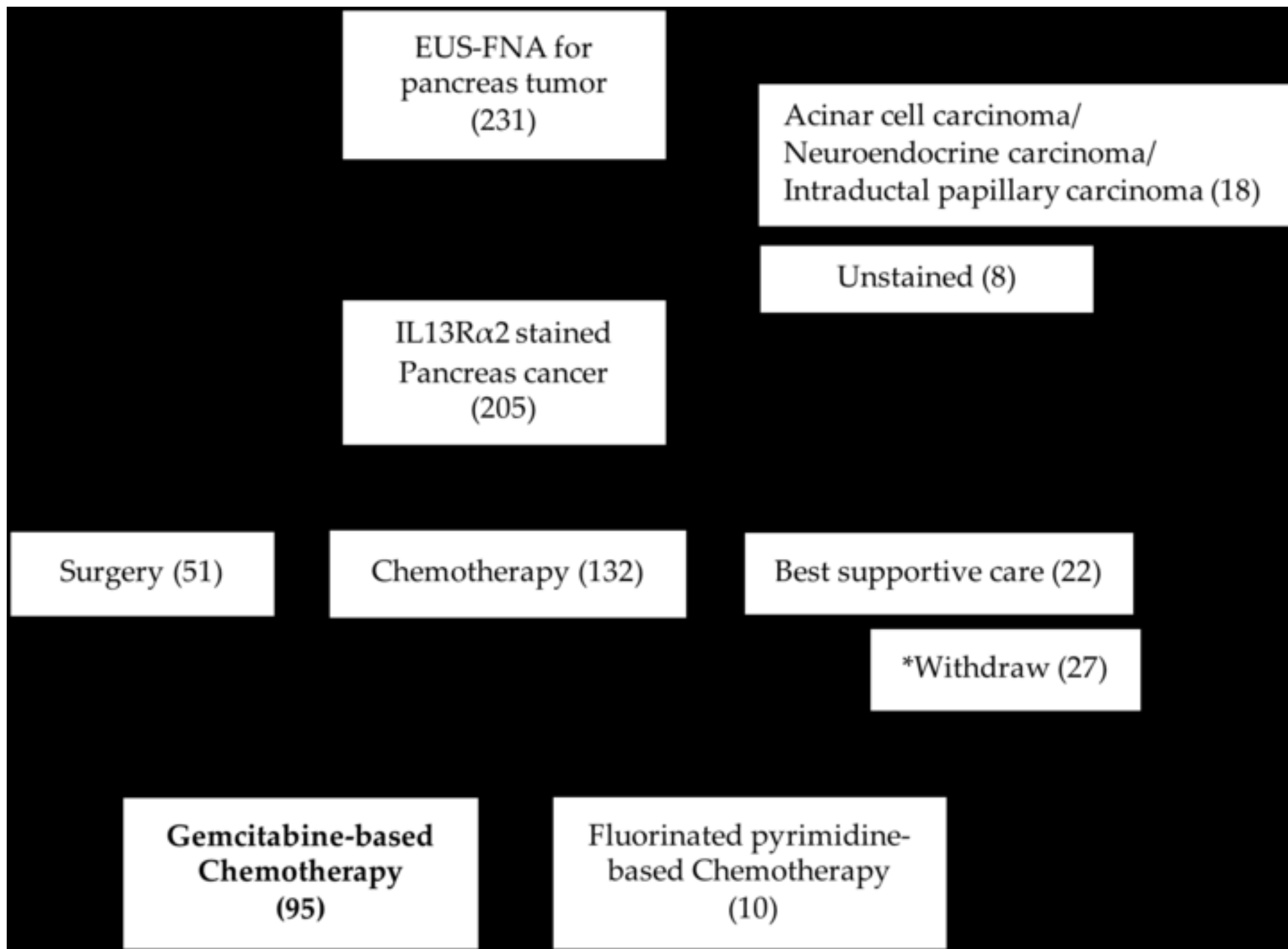
TABLE 3. Univariate and Multivariate Analysis of Prognostic Factors Associated With Overall Survival

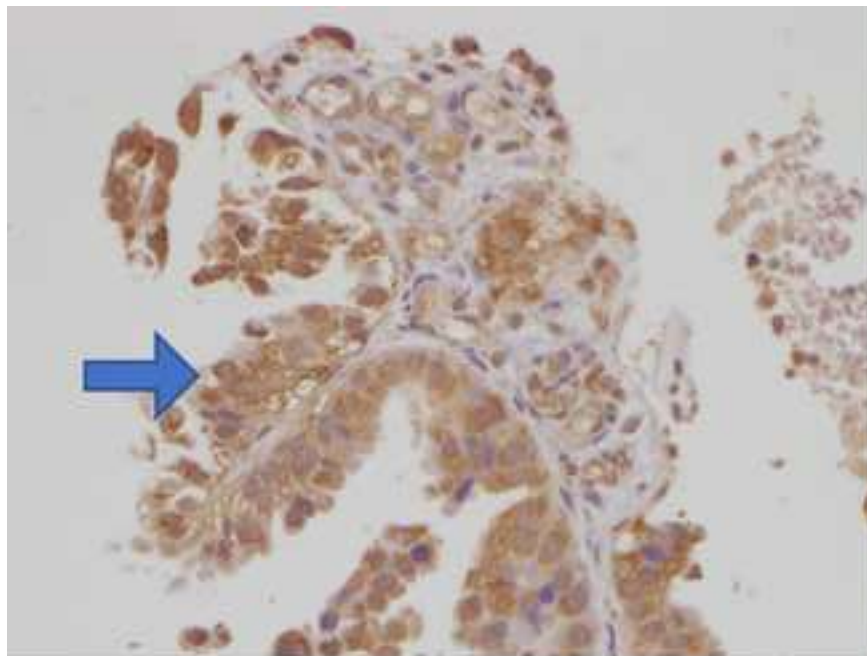
Factors (Median)	n	Median Survival, mo	Univariate Analysis		Multivariate Analysis	
			<i>P</i>	Hazard Ratio (95% CI)	<i>P</i>	
Age, y			0.43			
<65	27	16				
≥65	68	15				
Sex			0.84			
Female	40	14				
Male	55	17				
PS			<0.01	1.32 (0.50–3.49)	0.56	
0–1	89	17				
2	6	4				
Body mass index, kg/m ²			0.13			
<18.5	32	14				
≥18.5	63	19				
mGPS			<0.01	1.82 (0.77–4.32)	0.17	
0–1	86	17				
2	9	9				
CA 19-9, U/mL (252)			0.65			
<252	48	14				
≥252	47	17				
DUPAN2, U/mL (150)			0.88			
<150	35	17				
≥150	31	17				
SPAN1, U/mL (110)			0.92			
<110	45	14				
≥110	43	17				
Tumor size, mm			0.02	0.79 (0.47–1.32)	0.37	
<32	44	20				
≥32	51	12				
Location			0.79			
Head	53	17				
Body/tail	42	15				
PV invasion			0.31			

Absent	79	15			
Present	16	21			
Artery invasion				0.50	
Absent	44	14			
Present	51	17			
Differentiation				0.35	
Well/moderately	30	19			
Poorly	25	13			
Surgery			<0.01	0.31 (0.12–0.81)	0.02
Absent	82	14			
Present	13	NR			
Radiation			<0.01	0.35 (0.17–0.73)	0.01
Absent	79	14			
Present	16	31			
IL-13Rα2			<0.01	1.81 (1.02–3.22)	0.04
Weak/negative	31	22			
Strong	64	13			

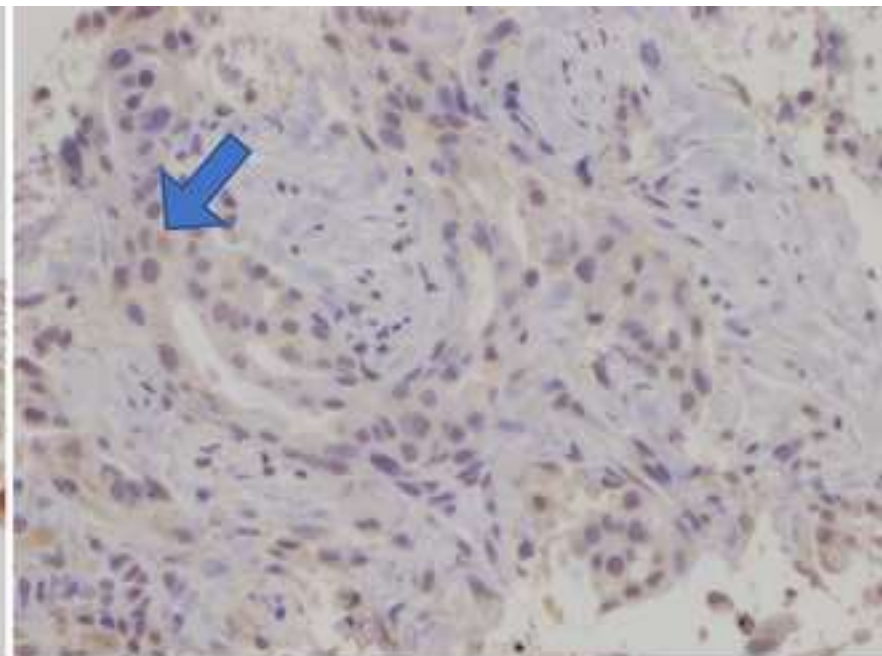
In univariate analyses, PS of 0 - 1 or 2 ($P < 0.01$), mGPS of 0-1 or 2 ($P < 0.01$), tumor size <32 or ≥ 32 mm ($P = 0.02$), surgery, ($P < 0.01$), radiation ($P < 0.01$), and tumoral IL-13Rα2 expression ($P < 0.01$) were associated with OS. In multivariate analysis, no surgery, no radiation, and strong IL-13Rα2 expression of the tumor were associated with a poorer prognosis (HRs, 0.31, 95% CI, 0.12–0.81, $P = 0.02$; HRs, 0.35, 95% CI, 0.17–0.73, $P = 0.01$; and HRs, 1.81, 95% CI, 1.02–3.22, $P = 0.04$, respectively). Bold values are statistically significant.

CA 19-9 indicates carbohydrate antigen 19-9; mGPS, modified Glasgow prognostic score; NR, not reached to median; PS, performance status; PV, portal vein.

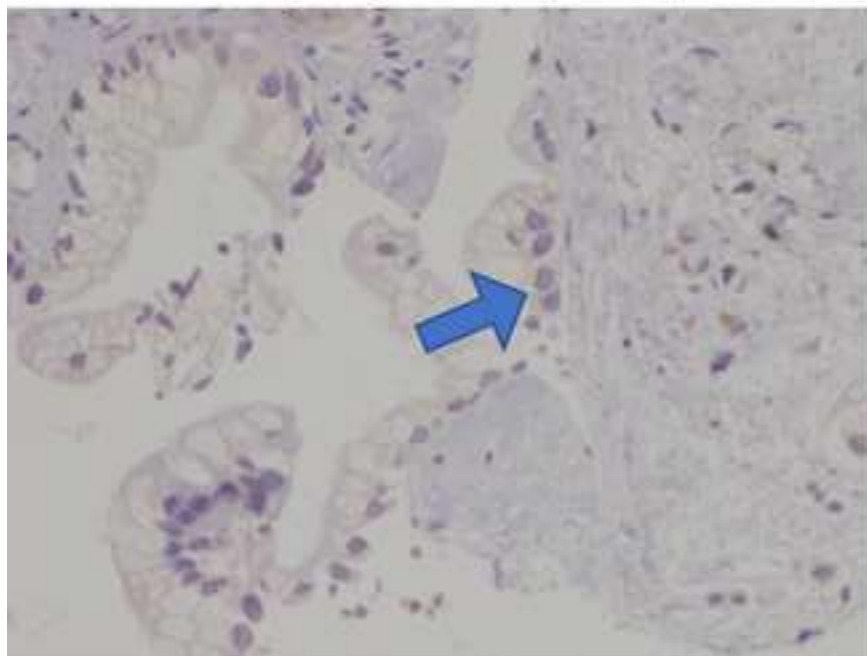




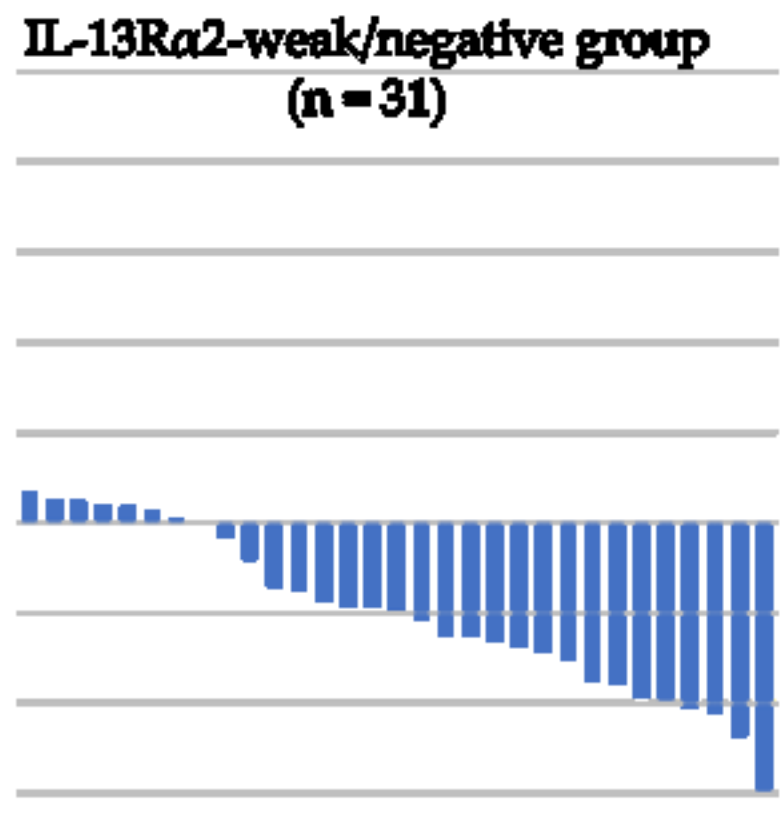
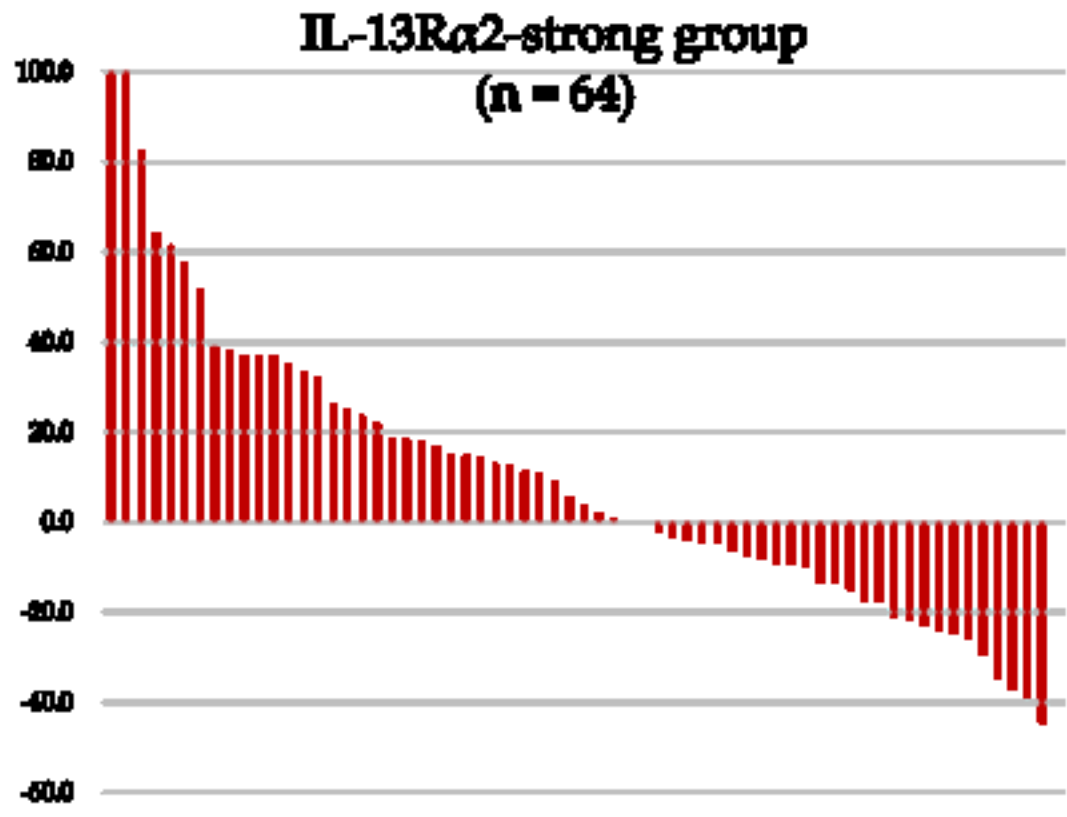
A Strong Expression (n = 64)

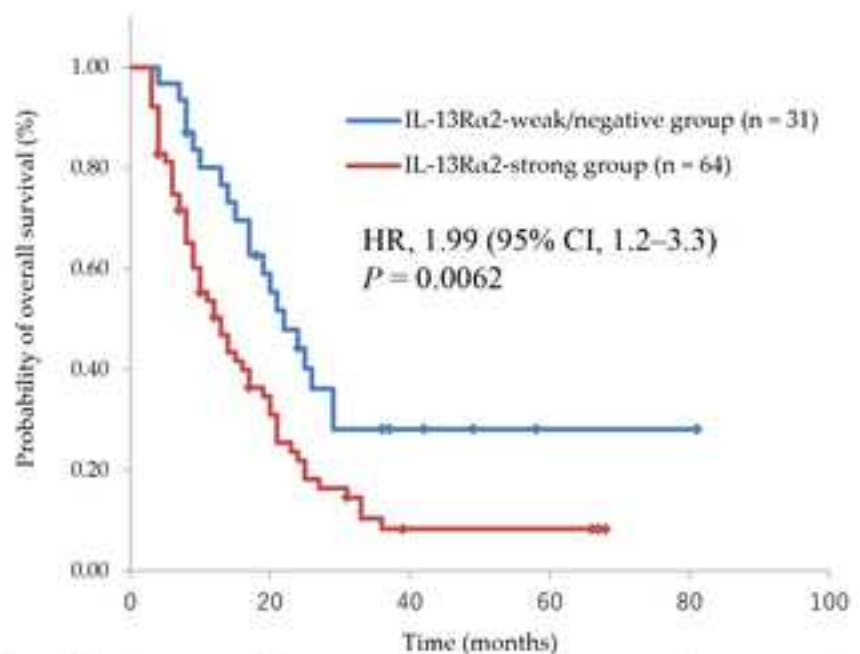


B Weak Expression (n = 29)

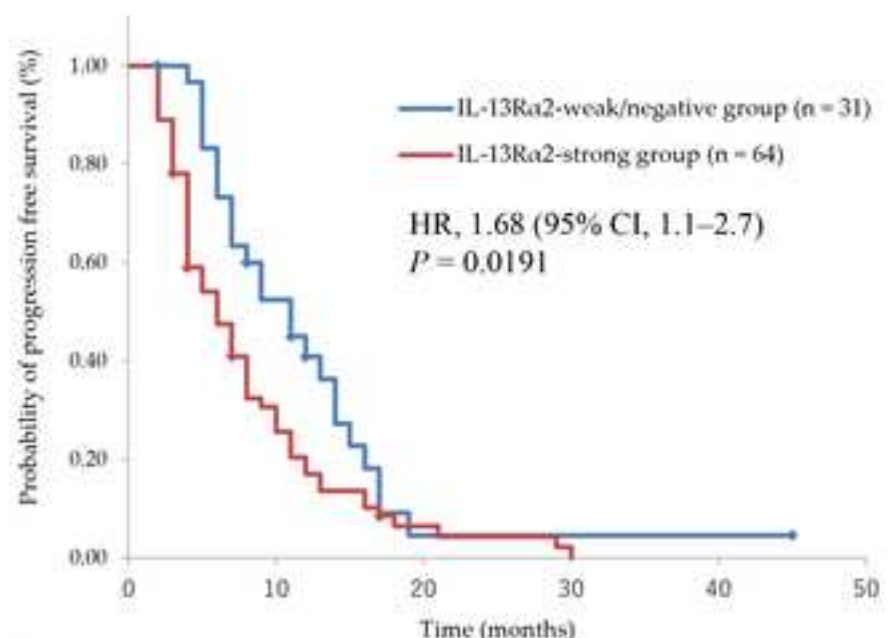


C Negative Expression (n = 2)





IL-13Ra2-weak/negative group	16	6	2	1
IL-13Ra2-strong group	19	4	3	



IL-13Ra2-weak/negative group	14	2	2	1
IL-13Ra2-strong group	18	3	1	