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Clinicopathological characteristics of gastric adenocarcinoma with enteroblastic differentiation and gastric adenocarcinoma with enteroblastic marker expression --Manuscript Draft--

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	Japan Society for the Promotion of Science (20K07415)	Dr. Tsuyoshi Saito
Abstract:	<p>Gastric adenocarcinoma (GA) with enteroblastic differentiation (GAED) is an aggressive carcinoma histologically characterized by a glycogen-rich clear cytoplasm and fetal gut-like structures. GAED shows the expression of at least one of the following enteroblastic markers (EMs): glypican-3 (GPC3), spalt-like transcription factor 4 (SALL4), and α-fetoprotein (AFP). Despite the absence of clear cytoplasm, we often encounter GA with EMs expression (GA with EM); however, the clinicopathological characteristics of GA with EM remain unclear. Immunohistochemical (IHC) expression of three EMs (AFP, GPC3, and SALL4) was examined on tissue microarray. According to the status of the clear cytoplasm of tumor cells, GAs showing IHC expression of EMs were classified as either GAED or GA with EM, and this analysis categorized 688 GAs into 94 GAEDs (13.7%), 58 GAs with EM (8.4%), and 536 conventional GAs (CGAs). Both GAED and GA with EM showed frequent lymphovascular invasion, lymph node metastasis, and liver metastasis compared to CGA. However, a higher frequency of venous invasion, but not of lymphatic invasion, was noted for GAED in</p>	

	<p>comparison to CGA. GAED and GA with EM showed similar overall survival. GAED had significantly poorer prognosis than CGA; however, not for GA with EM. Furthermore, GA showing EM expression had a worse prognosis than CGA. Interestingly, GA showing EM-positive group was more aggressive than CGA group as they had frequent venous invasion and liver metastasis despite its smaller tumor size. GAED and GA with EM can be clinically classified as aggressive tumors but pathologically they seem to be slightly different.</p>
<p>Response to Reviewers:</p>	<p>July, 15h, 2023</p> <p>Abbas Agaimy, M.D. Editor-in-Chief Virchows Archiv</p> <p>Dear Dr. Abbas Agaimy and reviewers, Thank you for inviting us to submit a revised manuscript entitled, "Clinicopathological characteristics of gastric adenocarcinoma with enteroblastic differentiation and gastric adenocarcinoma with enteroblastic marker expression" (Manuscript ID: VIAR-D-23-00242) to Virchows Archiv. We also appreciate the time and effort you and each of the reviewers have dedicated to providing insightful feedback on ways to strengthen our paper. Thus, it is with great pleasure that we resubmit our article for further consideration. We have incorporated changes that reflect the detailed suggestions you have graciously provided. We also hope that our edits and the responses we provide below satisfactorily address all the issues and concerns you and the reviewers have noted. To facilitate your review of our revisions, the following is a point-by-point response to the questions and comments delivered in your letter. The changes made during the revision are highlighted by yellow.</p> <p>Reviewers' comments:</p> <p>Reviewer #1: Maybe it would be useful for readers to comment the vague definition of the category of AFP-producing gastric carcinomas including hepatoid carcinoma, YST-like gastric carcinoma, GAED and well differentiated tubular/papillary adenocarcinoma (sic!) published in the current WHO classification of neoplasms of digestive system. RE) Thank you very much for the reviewer's comments. According to the comments, we added comments regarding the current vague definition of the category of AFP-producing gastric carcinomas in the Introduction section.</p> <p>Reviewer #2: The authors investigated the significance of enteroblastic differentiation, defined as the expression of enteroblastic markers with or without the high grade clear cell histology, in gastric cancer. The abstract is informative, however, a language correction of the second half would be welcome, e.g., for lines 41-42 I would suggest "However, a higher frequency of venous invasion, but not of lymphatic invasion, was noted for GAED in comparison to CGA." if that is what the authors meant and the word count allows; RE) Thank you very much for the reviewer's suggestion. According to the suggestion, we changed this paragraph as your advice.</p> <p>lines 42-48 could also use rephrasing for a better clarity, but I will leave it to the authors' invention. RE) Thank you very much for the reviewer's suggestion. We asked the English native speaker to rephrase these last parts of the abstract.</p> <p>Introduction is well-written and informative, adequately summarizing the data on enteroblastic differentiation in digestive tract adenocarcinomas. RE) Thank you very much for the positive comments. We really appreciate.</p> <p>The methodological approach is adequate and clearly described. In terms of the statistical analysis, the addition of Cox proportional hazard analysis for survival data would provide a more meaningful estimation of the magnitude of prognostic differences; for the non-significant comparisons a sensitivity analysis would be valuable (i.e., what is the minimal difference that would be called significant given the number of cases and events in the comparison). RE) Thank you very much for the reviewer's comments. We employed Cox proportional hazard analysis for survival data. Sensitivity analysis was also performed</p>

for parameters showing marginal significance (such as $p=0.06$) using several different statistical methods. However, these analyses did not change the results.

For the result section, please add the number or percentage of cases positive for all three markers (e.g., in line 137/138).

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For the clinicopathological tables, a line with simplified TNM (e.g., I/II/III/IV) might be easier to perceive and I would consider its addition below or above the presented one.

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Additionally, even though it may be considered wasteful, each table should be provided with the complete definition of used abbreviations so that it is intelligible on its own.

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As I wrote above, including the Cox proportional hazard model (technically, the assumption of proportional hazard is rarely fulfilled for oncological data, yet it is a widely accepted approach despite that) in the analysis of prognosis would be more informative than the plain log-rank test.

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Additionally, the sensitivity analysis would be helpful, especially for the comparison in Figure 2C, where the lack of significance results from the fact that the study is underpowered to call the difference rather than from the lack of any difference. For Figure 2, it would be clearer to show all three curves (GAED, GA with EM and CGA) in one panel with the result of each pairwise comparison.

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Finally, the discussion is reasonable and well-written, despite some punctuation (e.g., incorrect position of comma in line 199) or spelling errors.

Overall, the manuscript is interesting and pleasant to read as well as well-designed and well executed. Apart from the few details mentioned above, some minor language check would be adequate.

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Again, thank you for giving us the opportunity to strengthen our manuscript with your valuable comments and queries. We have worked hard to incorporate your feedback and hope that these revisions persuade you to accept our submission.

Sincerely,

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July, 15^h, 2023

Abbas Agaimy, M.D.
Editor-in-Chief
Virchows Archiv

Dear Dr. Abbas Agaimy and reviewers,

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Keywords: Gastric adenocarcinoma with enteroblastic differentiation; clear cytoplasm; enteroblastic marker positive gastric adenocarcinoma; lymphatic invasion; venous invasion; aggressive behavior

Conflicts of Interest and Source of Funding

The authors declare that there are no competing interests. This study was supported by Grants-in-Aid from the Japan Society for the Promotion of Science (JSPS) KAKENHI (Grant Numbers: #21K06931 to T.Y., #20K07415 to T.S.).

29 **Abstract (250 words).**

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classified as aggressive tumors but pathologically they seem to be slightly different.

Introduction

Gastric adenocarcinoma (GA) with enteroblastic differentiation (GAED) is a special type of gastric carcinoma with a glycogen-rich clear cytoplasm and fetal gut-like structures [1-4]. α -fetoprotein (AFP)-producing gastric carcinomas including hepatoid carcinoma, yolk-sac tumor-like carcinoma, GAED and well differentiated tubular/papillary adenocarcinoma are described in the current WHO classification of tumours of digestive system as hepatoid adenocarcinoma and related entities [5], however, these tumors are vaguely defined, because these tumors closely overlap each other. The type previously referred to as AFP-producing gastric cancer is also considered a subtype of GAED [6-10]. Glypican-3 (GPC3), spalt-like transcription factor 4 (SALL4), and AFP are known as biomarkers of GAED [11-13]. The incidence of AFP-producing gastric carcinoma is within the range of 1.3–15.1% worldwide [7, 14-17]. The frequency of GAED is reported to be approximately 2.2–10.9%, however, this largely depends on the corresponding diagnostic criteria [10, 17].

GAED is histologically defined as that showing positive staining for at least one of the enteroblastic markers (EMs), AFP, GPC3, and SALL4, and tumor cells with a clear cytoplasm are indispensable [18]. Almost all cases have a coexisting conventional GA (CGA) [18-22]. In addition, GAED is a high-grade cancer that exhibits an aggressive behavior with higher rates of lymphatic and venous invasion, lymph node metastasis, and liver metastasis than CGA [18, 20]. We previously demonstrated that copy number variation (CNV) from next generation

sequencing (NGS) analysis exhibited a high frequency of *ERBB2* amplification, which introduces the possibility of using trastuzumab as an effective therapy, as in CGA [10]. Additionally, we reported that GAED has a higher rate of *TP53* mutations than CGA, that LOH and methylation are considered inactivation mechanisms of *TP53*, and that reduced *SMAD4* expression and methylation of *SMAD4* may contribute to the acquisition of the aggressive phenotype of GAED [23, 24].

On the other hand, we also noticed that a substantial proportion of GAs showed positive staining for enteroblastic markers, despite the absence of histologically recognizable clear cytoplasm. However, the clinicopathological characteristics of this GA subset remain unclear. We have reported that the status of clear cell differentiation within tumors did not affect any clinicopathological or molecular pathological differences in colorectal carcinoma with the expression of enteroblastic markers [25]. Based on this finding, we propose that colorectal adenocarcinoma with enteroblastic marker expression could be classified together regardless of clear cell differentiation as colorectal adenocarcinoma with enteroblastic differentiation (CAED), which is a colorectal counterpart of GEAD [25]. In addition, CAED has similar clinicopathological and molecular pathological characteristics to GAED, including aggressive behavior, high rates of lymphovascular invasion and liver metastasis, and high frequency of *TP53* mutation [25]. Therefore, we speculated that the same phenomenon could also be observed in GA.

Materials and methods

Case selection and preparation of tissue microarray (TMA)

Out of 1341 cases of GA operated in our hospital from 2008–20, 688 cases of advanced GA were included, excluding early gastric carcinoma and Barrett's adenocarcinoma. A total of 688 GA samples from 686 patients (one patient with synchronous double GAs and one patient with non-synchronous double GAs) were analyzed using tissue microarray (TMA) or whole sections. TMA was prepared from 688 GA cases, as previously described [25]. In addition, three patients diagnosed with GAED between 2012–13, whose samples were not included on TMA, were also included in this series [10]. These three cases were included in our previous study and contained a clear cytoplasm [10]. This study was reviewed and approved by the Juntendo University School of Medicine Institutional Review Board (E21-0345).

Immunohistochemistry (IHC) and histological evaluation

Immunohistochemistry (IHC) was first performed on TMA with antibodies against AFP, GPC3, and SALL4. Any staining pattern, regardless of the staining intensity and staining area, was considered positive. In cases showing an expression of at least one of three enteroblastic markers, we re-evaluated the whole section for the presence of clear cytoplasm and growth patterns [1, 10, 23, 24]. Furthermore, in cases where clear/pale cytoplasm was observed within tumor cells on TMA, the cases were re-evaluated on whole sections for IHC. The enteroblastic marker was considered positive when at least 10% of the positive area was

106 confirmed. In our previous studies, growth patterns in GAED were classified into two types.
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107 The "solid type," in which sheet-like growth patterns are seen even in small areas, and the
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108 "tubulo-papillary type," in which only tubular to papillary growth patterns are seen [10, 23,
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109 24]. In this study, we classified GAED into two groups: "solid-type" and "non-solid type."
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14 "Non-solid type" mainly comprised of "tubulo-papillary type" in our previous study and small
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17 amounts of cases showing "mucinous, por2 (scirrhous), and signet-ring cell carcinoma."
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202 Histologically, cases were classified either as "GAED" showing clear cytoplasm of tumor cells
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23 and expression of at least one enteroblastic marker or "enteroblastic marker positive gastric
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26 adenocarcinoma without clear cytoplasm (here defined as GA with EM)," which does not have
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29 clear cytoplasm but shows expression of enteroblastic markers. The remaining cases were
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33 classified as "CGA". The histopathological diagnoses were reviewed by two pathologists (T.S.
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36 and D.A.) and validated by a gastrointestinal pathologist (T.Y.) at our hospital.
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39 ***Clinicopathological features***

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42 Clinicopathological features, such as age, sex, tumor location, tumor size, macroscopic type,
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45 invasion depth, TNM stage, lymphatic invasion, venous invasion, lymph node metastasis, liver
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48 metastasis, growth pattern, presence or absence of clear cytoplasm, and IHC staining for
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51 AFP/GPC3/SALL4, were examined. TNM stage was based on the 8th edition of the American
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54 Joint Committee on Cancer (AJCC)/ Union for International Cancer Control (UICC)
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57 pathological tumor-node-metastasis (pTNM) staging system for gastric cancer [26].
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Survival and statistical analyses

Categorical and continuous variables were analyzed using the Fisher's exact test, χ^2 test, and t-test, respectively. For survival analysis, we performed Kaplan– Meier survival analysis and log-rank tests. Statistical analyses were performed using the statistical software 'EZR'(Easy R)(Saitama Medical Center, Jichi Medical University, Saitama, Japan) [27], which is a graphical user interface for R (R Foundation for Statistical Computing, Vienna, Austria). Cox proportional hazard model was also employed for survival analysis. $P < 0.05$ were considered statistically significant.

Results

Clinicopathological findings

Supplementary Table 1 shows the clinicopathological characteristics of the 688 GA patients enrolled in this study.

The 688 cases of advanced GA were classified into 94 GAEDs (13.7%), 58 GAs with EM (8.4%), and 536 CGAs. All GAED cases were accompanied by a conventional adenocarcinoma area as the minor component. Supplementary Table 2 summarizes the histological and IHC findings. Histologically, a clear cytoplasm was observed in 116 of 688 cases (16.9%) including 94 GAEDs and 22 CGAs. Positive IHC staining for AFP, GPC3, and SALL4 was observed in 5.5%, 14.1%, and 16.9% of 688 cases, respectively. Cases positive for all three markers were 3.9% and 22.1% showed positive staining for at least one of the enteroblastic markers.

AFP, GPC3, and SALL4 expression was detected in 30.9%, 69.1%, and 80.9% of 94 GAED cases, respectively. Among 58 GA with EM cases, AFP, GPC3, and SALL4 expressions were observed in 15.5%, 55.2%, and 69.0% of cases, respectively. Figure 1 shows typical histological and IHC findings in GAED and IHC findings in GA with EM.

Table 1 summarizes the comparison of 94 GAEDs and 58 GAs with EM. Comparisons between GAED and GA with EM showed that a mean age ($p = 0.189$), sex ($p = 0.832$), tumor location ($p = 0.056$), tumor size ($p = 0.483$), TNM stage ($p = 0.126$), and lymph node metastasis rate ($p = 0.067$) were not statistically different. However, there were statistically significant

152 differences in macroscopic type ($p < 0.05$), invasion depth ($p < 0.05$), lymphatic invasion
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153 rate ($p < 0.05$), venous invasion rate ($p < 0.05$), and growth patterns ($p < 0.05$). Particularly,
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154 the liver metastasis rate ($p < 0.01$) was significantly higher in GAED. The lymphatic invasion
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155 was more frequent in GA patients with EM. As for IHC findings, there were no statistical
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156 differences in the GPC3 ($p = 0.086$) and SALL4 positivity ($p = 0.117$); however, the positive
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157 rate of AFP ($p < 0.05$) was significantly higher in GAED than in GA with EM.
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19 Table 2 summarizes the comparison between the 94 GAEDs and the 536 CGAs. Comparisons
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23 between GAED and CGA showed that TNM stage ($p = 0.053$), lymphatic invasion rate ($p =$
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Table 3 summarizes the comparison of 58 GAs with EM and 536 CGAs. Comparisons between
GA with EM and CGA showed that only tumor location ($p < 0.05$) and venous invasion rate (p
< 0.05) were statistically different. Enteroblastic differentiation was frequently observed in
the upper gastric area, while venous invasion was more frequently observed in GA with EM.

Regardless of the presence of a clear cytoplasm, the patients were further divided into two
groups: enteroblastic marker-positive gastric adenocarcinoma and negative gastric

171 adenocarcinoma (CGA). Under this classification, there were statistically significant
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172 differences in mean age ($p < 0.01$), sex ($p = <0.01$), tumor location ($p < 0.05$), macroscopic
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173 type ($p < 0.01$), invasion depth ($p < 0.01$), venous invasion rate ($p < 0.01$), and liver
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10 metastasis rate ($p < 0.01$). There were no statistically significant differences in tumor size (p
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14 = 0.057), TNM stage ($p = 0.119$), lymphatic invasion rate ($p = 0.844$), and lymph node
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17 metastasis rate ($p = 0.489$). Interestingly, the tumor size tended to be smaller in the EM-
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20 positive group than in the EM-negative group; however, venous invasion and liver metastasis
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23 rates were significantly higher in the EM-positive group (Table 4).

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26 We also noticed that CGA (EM-negative GA) sometimes had a clear cytoplasm; thus, CGAs
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29 were further divided into two groups according to the presence or absence of clear cytoplasm
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32 (CC-positive GA and CC-negative GA). This comparison revealed that venous invasion was
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35 more frequently observed in CC-positive CGA and that CC-positive CGA preferentially occurred
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38 in elderly and male patients (Supplementary Table 3).

39 ***Prognosis in GAED and GA with EM***

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42 The five-year overall survival rates for GAED, GA with EM, and CGA were 46.6%, 47.9%,
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45 and 58.2%, respectively. GAED and GA with EM showed similar OS trends in overall survival
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49 ($p = 0.78$, Figure 2A). GAED had a significantly poorer prognosis than CGA ($p = 0.035$, Figure
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52 2A; Hazard ratio: 1.428 (1.024-1.990), $P=0.036$); however, there was no statistically
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55 significant survival difference between GA with EM and CGA ($p = 0.157$, Figure 2A; Hazard
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ratio: 1.337 (0.893-2.003), P=0.158). Furthermore, GA showing EM expression, regardless of the presence of a clear cytoplasm (GAED and GA with EM), showed a worse overall survival rate than CGA (p = 0.018, Figure 2B; Hazard ratio: 1.391 (1.057-1.832), P=0.019). In the CGA group, the status of clear cytoplasm within the tumor cells did not affect the overall survival rate (data not shown).

Survival analyses for each factor were also performed for GAED and GA with EM. In GAED, survival analysis revealed that lymphatic invasion (p < 0.01, Figure 3A), liver metastasis (p < 0.01, Figure 3B), growth pattern (solid type, p < 0.01, Figure 3C), and expression of GPC3 (p < 0.05, Figure 3D) were significantly associated with a poor overall survival. In addition, lymph node metastasis (p = 0.053) was associated with a poor overall survival. In GA with EM, the survival analysis revealed that lymph node metastasis (p < 0.01, Figure 4A) and liver metastasis (p < 0.01, Figure 4B) were significantly associated with a poor overall survival. Moreover, lymphatic invasion (p = 0.071) and expression of AFP (p = 0.053) tended to be associated with a poor overall survival.

Discussion

The pathological concept of GAED has been gradually established since the identification of GPC3 and SALL4 as enteroblastic markers in addition to AFP together, with an increasing number of studies on AFP-producing gastric carcinoma [1, 11, 12, 18]. We have previously reported a few GAED studies describing its clinicopathological characteristics; however, we could not enroll consecutive GAED cases in these studies since we employed a keyword search for GAED such as AFP and clear cytoplasm in the electronic pathological record [10]. Therefore, we identified GAED and GA with EM using TMA-based screening in this study. IHC revealed that the frequencies of enteroblastic markers in all GAs were 5.5% for AFP, 14.1% for GPC3, and 16.9% for SALL4, which was in line with the previously reported ratios [4, 11, 12, 28, 29]. A total of 152 out of 688 (22.1%) GA cases in this series showed the expression of at least one of the three enteroblastic markers, thereby providing evidence that a significant proportion of GA showed enteroblastic differentiation by IHC. This study demonstrated that both GAED and GA with EM tended to show higher frequencies of venous invasion and liver metastasis than CGA, even in their smaller size. These findings suggested that the expression of enteroblastic markers itself is associated with aggressive behavior [11, 12, 14, 30].

Regarding prognosis, the five-year overall survival rates of patients with GAED and those with GA with EM were 46.6% and 47.9%, respectively. Long term survival analysis in GAED

223 and survival analysis of GA with EM as an independent subgroup have not been reported so
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224 far, and these were almost similar to or slightly better than those reported in AFP-producing
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225 gastric carcinoma and hepatoid adenocarcinoma [14, 15, 31, 32]. Regarding the significance
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226 of clear cytoplasm within GA, GAED had a considerably worse prognosis than CGA, and GA
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227 with EM had a worse prognosis than CGA, although the difference was not statistically
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228 significant. Furthermore, the presence of clear cytoplasm was associated with frequent
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209 venous invasion, but not with the overall survival rate in the CGA group. These findings
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230 suggest that the presence of a clear cytoplasm partly contributes to the acquisition of more
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231 aggressive behavior in GA, regardless of enteroblastic differentiation.
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29 GAED is defined as the expression of at least one of the enteroblastic markers, wherein a
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233 clear cytoplasm is histologically confirmed [18]. However, the presence of clear cytoplasm is
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234 not required in CAED, which is a colorectal counterpart of GAED, since the clinicopathological
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235 and molecular pathological differences cannot be identified according to the status of clear
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236 cytoplasm within the tumor [25]. This finding suggested that CAED could be classified only
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237 by enteroblastic marker expression. In GAED, from the viewpoint of overall survival rates,
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238 similar trends were confirmed between GAED and GA with EM; however, patients with GAED
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239 seemed to show inferior survival rates during the first 3 years compared to GA with EM.
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240 Furthermore, patients with GAED show adverse outcomes even at an early stage [20]. In
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241 addition, several clinicopathological differences were observed between the two groups.
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242 Positive rates for three EM markers, venous invasion, liver metastasis, and solid growth
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243 pattern, were more frequently detected in GAED than in GA with EM, while lymphatic invasion
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244 was more prevalent in GA with EM. Thus, although both tumors are high-grade, further
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245 comprehensive analysis is required to draw a conclusion on whether GAED and GA with EM
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246 could be grouped together under a single pathological category as GAED.
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247 In a clinical setting, it has been demonstrated that the expression of oncofetal proteins is
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248 associated with a poor prognosis in various cancers [30]. Therefore, it is highly recommended
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249 to perform IHC for EM in addition to identifying specific histological features, such as solid
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250 patterns and tumor cells with clear cytoplasm during routine pathological diagnosis.
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251 Trastuzumab and Trastuzumab deruxtecan are approved as molecular target therapies for GA,
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32 for GA with HER2 overexpression; nivolumab has been approved as well [33-37]. Although
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35 promising therapeutic targets have not been identified in these types of tumors, we have
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254 previously reported that a subset of GAED shows amplification of *ERBB2* at a similar
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255 frequency in CGA [10]. Further molecular pathological analysis might provide new therapeutic
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256 targets for these subsets of GA, in addition to the understanding of tumorigenesis including
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48 the acquisition of aggressive phenotypes.
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258 This study has several limitations. First, since we excluded cases of Stage IA and part of
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259 Stage IB during TMA preparation, we only enrolled patients with advanced GA. This sample
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260 selection might have affected the prognostic analysis, because the aggressive behavior of
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261 tumors becomes clearer, especially in early-stage tumors. In fact, the 3-year overall survival
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262 rate in GAED in this series is almost similar to that in our previous study, which included
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263 approximately 30% of Stage I GAED [10], and GAED showed an aggressive clinical course
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10 even in the early stages [20]. GA with EM might have revealed an adverse overall survival
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13 rate compared to CGA if the early-stage GAs were also included. Second, we overlooked
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16 possible cases of GAED and GA with EM by TMA-based IHC screening, although extensive
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207 histological examinations were performed to check for the presence of clear cytoplasm using
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208 all available slides in cases where at least one of the enteroblastic markers was positively
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209 stained on TMA cores. In contrast, there were 22 cases with a clear cytoplasm within the
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29 CGA group which were negative for EM by whole-section IHC (Supplementary Table 3).
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32 Patients with CGA and a clear cytoplasm also showed few clinicopathological characteristics,
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35 such as frequent venous invasion, which were similar to that of the EM-positive group.
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38 Likewise, although we performed EM IHC by whole section in case clear cytoplasm was
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41 confirmed on TMA slide, few cases of CGA with a clear cytoplasm may be classified as GAED
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44 through a more extensive search.
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48 In conclusion, in addition to the previously defined GAED, we found that a considerable
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51 portion of GA showed an expression of enteroblastic markers by IHC but did not have a clear
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54 cytoplasm, despite extensive histological examinations. We define this subset of GA as GA
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57 with EM, which also showed worse prognosis than CGA with GAED. Both GAED and GA with
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280 EM demonstrated similar clinicopathological features, such as a high frequency of liver
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281 metastasis and lymphovascular invasion, although venous invasion was more prevalent in
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282 GAED and vice versa for lymphatic invasion.
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284 **DATA AVAILABILITY**
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285 The data used during the current study are available from the corresponding author on
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286 reasonable request.
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390 **Figure legends**

391 **Figure 1:** Histological and immunohistochemical findings in GAED and GA with EM.

392 Boundary area between gastric adenocarcinoma with enteroblastic differentiation (GAED),
393 which consists of columnar cells with clear cytoplasm with enteroblastic differentiation, and
394 a conventional adenocarcinoma component (A). High-power view of the blue-framed GAED

395 component in A (B). High-power view of the red-framed conventional adenocarcinoma
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396 component in A (C). Immunohistochemical (IHC) staining for α -fetoprotein (AFP) (D),
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397 Glypican-3 (GPC3) (E), and palt-like transcription factor 4 (SALL4) (F). Gastric
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398 adenocarcinoma with enteroblastic marker expression showing both solid and nonsolid
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399 growth patterns (G). Immunohistochemical (IHC) staining for alpha-fetoprotein (AFP) (H),
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400 Glypican-3 (GPC3) with a high-power-view image of a partially positive area (inset at lower
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401 right) (I) and Spalt-like transcription factor 4 (SALL4) (J).
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Figure 2: Comparison of Overall survival in GAED, GA with EM, and CGA.

(A) All three curves (GAED, GA with EM and CGA) were put in one panel, and each

pairwise comparison was performed. GAED and GA with EM show similar trends in overall

survival rates ($p=0.78$), however, patients with GAED show rather worse prognosis than GA

with EM especially during first 3 years. GAED has a significantly poorer overall survival than

CGA ($p=0.035$), but survival difference between GA with EM and CGA loses statistical

significance ($p=0.157$). (B) GAs showing expression of EM regardless of the presence of

clear cytoplasm (GAED and GA with EM) have worse overall survival than CGAs ($p=0.018$).

Figure 3: Comparison of overall survival by each factor in GAED.

(A) lymphatic invasion ($p<0.01$), (B) liver metastasis ($p<0.01$), (C) growth pattern (Solid type,

414 p<0.01) and (D) expression of GPC3 (p<0.05) were significantly associated with poor overall
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415 survival.

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417 **Figure 4:** Comparison of overall survival by each factor in GA with EM.

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418 (A) lymph nodes metastasis (p<0.01) and (B) liver metastasis (p<0.01) were significantly
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419 associated with poor overall survival.

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421 **Supplementary Table 1**

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422 Clinicopathological characteristics of study patients (n=688)

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423 Abbreviations: SD, Standard deviation; U, Upper third; M, Middle third; L, Lower third; MP,
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424 Muscularis mucosae; SS, Subserosa; SE, Tumor penetration of serosa; SI, Tumor invasion of
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425 adjacent structures; TNM, Tumor-node-metastasis.

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427 **Supplementary Table 2**

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428 Histological and immunohistochemical findings in 688 GAs, including GAED and GA with EM

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429 Abbreviations: GA, gastric adenocarcinoma; GAED, gastric adenocarcinoma with enteroblastic
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430 differentiation; EM, enteroblastic marker; CC, clear cytoplasm; AFP, α -fetoprotein; GPC3,
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431 Glypican-3; SALL4, Spalt-like transcription factor 4.

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Table 1

Clinicopathological findings (GAED vs GA with EM)

Abbreviations: GAED, gastric adenocarcinoma with enteroblastic differentiation; GA, gastric adenocarcinoma; EM, enteroblastic marker; SD, Standard deviation; U, Upper third; M, Middle third; L, Lower third; MP, Muscularis mucosae; SS, Subserosa; SE, Tumor penetration of serosa; SI, Tumor invasion of adjacent structures; TNM, Tumor-node-metastasis; AFP, α -fetoprotein; GPC3, Glypican-3; SALL4, Spalt-like transcription factor 4.

Table 2

Clinicopathological findings (GAED vs CGA)

Abbreviations: CGA, conventional gastric adenocarcinoma

Table 3

Clinicopathological findings (GA with EM vs CGA)

Table 4

Clinicopathological findings according to EM expression

Supplementary Table 3

452 Clinicopathological findings in CGA according to clear cytoplasm

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453 Abbreviations: CC, clear cytoplasm

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10 **Acknowledgments**

11

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14 for their assistance with this study.

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23 **Author contributions statement**

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25 D Abe, T.S., T.H., H.U., A.N., and T.Y. planned this project and D Abe, T.S., Y.A., N.Y., and T.Y.

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28 contributed to the diagnosis of GAED and GA with EM cases. D Abe and T.S. performed the

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31 main parts of the experiments and D Abe, T.S., T.H., H.U., S.M., T.F. and A.N. collected and

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34 analyzed the clinical data. D Abe and T.S. wrote the majority of the manuscript. All authors

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37 approved the final version of the manuscript.

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11 **Clinicopathological characteristics of gastric adenocarcinoma with enteroblastic**
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14 **differentiation and gastric adenocarcinoma with enteroblastic marker**
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17 **expression**

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Keywords: Gastric adenocarcinoma with enteroblastic differentiation; clear cytoplasm; enteroblastic marker positive gastric adenocarcinoma; lymphatic invasion; venous invasion; aggressive behavior

Conflicts of Interest and Source of Funding

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29 **Abstract (250 words).**

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40 Gastric adenocarcinoma (GA) with enteroblastic differentiation (GAED) is an aggressive
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71 carcinoma histologically characterized by a glycogen-rich clear cytoplasm and fetal gut-like
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10 structures. GAED shows the expression of at least one of the following enteroblastic markers
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13 (EMs): glypican-3 (GPC3), spalt-like transcription factor 4 (SALL4), and α -fetoprotein (AFP).
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174 Despite the absence of clear cytoplasm, we often encounter GA with EMs expression (GA
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205 with EM); however, the clinicopathological characteristics of GA with EM remain unclear.
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236 Immunohistochemical (IHC) expression of three EMs (AFP, GPC3, and SALL4) was examined
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267 on tissue microarray. According to the status of the clear cytoplasm of tumor cells, GAs
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29 showing IHC expression of EMs were classified as either GAED or GA with EM, and this
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32 analysis categorized 688 GAs into 94 GAEDs (13.7%), 58 GAs with EM (8.4%), and 536
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35 conventional GAs (CGAs). Both GAED and GA with EM showed frequent lymphovascular
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38 invasion, lymph node metastasis, and liver metastasis compared to CGA. However, a higher
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41 frequency of venous invasion, but not of lymphatic invasion, was noted for GAED in
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44 comparison to CGA. GAED and GA with EM showed similar overall survival. GAED had
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47 significantly poorer prognosis than CGA; however, not for GA with EM. Furthermore, GA
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50 showing EM expression had a worse prognosis than CGA. Interestingly, GA showing EM-
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524 positive group was more aggressive than CGA group as they had frequent venous invasion
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55 and liver metastasis despite its smaller tumor size. GAED and GA with EM can be clinically
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18 classified as aggressive tumors but pathologically they seem to be slightly different.

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19 Introduction

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40 Gastric adenocarcinoma (GA) with enteroblastic differentiation (GAED) is a special type of
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71 gastric carcinoma with a glycogen-rich clear cytoplasm and fetal gut-like structures [1-4]. α -
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10 fetoprotein (AFP)-producing gastric carcinomas including hepatoid carcinoma, yolk-sac
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13 tumor-like carcinoma, GAED and well differentiated tubular/papillary adenocarcinoma are
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16 described in the current WHO classification of tumours of digestive system as hepatoid
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19 adenocarcinoma and related entities [5], however, these tumors are vaguely defined, because
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22 these tumors closely overlap each other. The type previously referred to as AFP-producing
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25 gastric cancer is also considered a subtype of GAED [6-10]. Glypican-3 (GPC3), spalt-like
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28 transcription factor 4 (SALL4), and AFP are known as biomarkers of GAED [11-13]. The
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31 incidence of AFP-producing gastric carcinoma is within the range of 1.3–15.1% worldwide [7,
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34 14-17]. The frequency of GAED is reported to be approximately 2.2–10.9%, however, this
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37 largely depends on the corresponding diagnostic criteria [10, 17].
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42 GAED is histologically defined as that showing positive staining for at least one of the
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45 enteroblastic markers (EMs), AFP, GPC3, and SALL4, and tumor cells with a clear cytoplasm
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48 are indispensable [18]. Almost all cases have a coexisting conventional GA (CGA) [18-22]. In
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51 addition, GAED is a high-grade cancer that exhibits an aggressive behavior with higher rates
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54 of lymphatic and venous invasion, lymph node metastasis, and liver metastasis than CGA [18,
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57 20]. We previously demonstrated that copy number variation (CNV) from next generation
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sequencing (NGS) analysis exhibited a high frequency of *ERBB2* amplification, which introduces the possibility of using trastuzumab as an effective therapy, as in CGA [10]. Additionally, we reported that GAED has a higher rate of *TP53* mutations than CGA, that LOH and methylation are considered inactivation mechanisms of *TP53*, and that reduced *SMAD4* expression and methylation of *SMAD4* may contribute to the acquisition of the aggressive phenotype of GAED [23, 24].

On the other hand, we also noticed that a substantial proportion of GAs showed positive staining for enteroblastic markers, despite the absence of histologically recognizable clear cytoplasm. However, the clinicopathological characteristics of this GA subset remain unclear. We have reported that the status of clear cell differentiation within tumors did not affect any clinicopathological or molecular pathological differences in colorectal carcinoma with the expression of enteroblastic markers [25]. Based on this finding, we propose that colorectal adenocarcinoma with enteroblastic marker expression could be classified together regardless of clear cell differentiation as colorectal adenocarcinoma with enteroblastic differentiation (CAED), which is a colorectal counterpart of GEAD [25]. In addition, CAED has similar clinicopathological and molecular pathological characteristics to GAED, including aggressive behavior, high rates of lymphovascular invasion and liver metastasis, and high frequency of *TP53* mutation [25]. Therefore, we speculated that the same phenomenon could also be observed in GA.

Materials and methods

Case selection and preparation of tissue microarray (TMA)

Out of 1341 cases of GA operated in our hospital from 2008–20, 688 cases of advanced GA were included, excluding early gastric carcinoma and Barrett's adenocarcinoma. A total of 688 GA samples from 686 patients (one patient with synchronous double GAs and one patient with non-synchronous double GAs) were analyzed using tissue microarray (TMA) or whole sections. TMA was prepared from 688 GA cases, as previously described [25]. In addition, three patients diagnosed with GAED between 2012–13, whose samples were not included on TMA, were also included in this series [10]. These three cases were included in our previous study and contained a clear cytoplasm [10]. This study was reviewed and approved by the Juntendo University School of Medicine Institutional Review Board (E21-0345).

Immunohistochemistry (IHC) and histological evaluation

Immunohistochemistry (IHC) was first performed on TMA with antibodies against AFP, GPC3, and SALL4. Any staining pattern, regardless of the staining intensity and staining area, was considered positive. In cases showing an expression of at least one of three enteroblastic markers, we re-evaluated the whole section for the presence of clear cytoplasm and growth patterns [1, 10, 23, 24]. Furthermore, in cases where clear/pale cytoplasm was observed within tumor cells on TMA, the cases were re-evaluated on whole sections for IHC. The enteroblastic marker was considered positive when at least 10% of the positive area was

106 confirmed. In our previous studies, growth patterns in GAED were classified into two types.
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107 The "solid type," in which sheet-like growth patterns are seen even in small areas, and the
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108 "tubulo-papillary type," in which only tubular to papillary growth patterns are seen [10, 23,
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109 24]. In this study, we classified GAED into two groups: "solid-type" and "non-solid type."
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14 "Non-solid type" mainly comprised of "tubulo-papillary type" in our previous study and small
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16 amounts of cases showing "mucinous, por2 (scirrhous), and signet-ring cell carcinoma."
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202 Histologically, cases were classified either as "GAED" showing clear cytoplasm of tumor cells
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233 and expression of at least one enteroblastic marker or "enteroblastic marker positive gastric
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264 adenocarcinoma without clear cytoplasm (here defined as GA with EM)," which does not have
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305 clear cytoplasm but shows expression of enteroblastic markers. The remaining cases were
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336 classified as "CGA". The histopathological diagnoses were reviewed by two pathologists (T.S.
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367 and D.A.) and validated by a gastrointestinal pathologist (T.Y.) at our hospital.
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398 ***Clinicopathological features***

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429 Clinicopathological features, such as age, sex, tumor location, tumor size, macroscopic type,
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450 invasion depth, TNM stage, lymphatic invasion, venous invasion, lymph node metastasis, liver
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491 metastasis, growth pattern, presence or absence of clear cytoplasm, and IHC staining for
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522 AFP/GPC3/SALL4, were examined. TNM stage was based on the 8th edition of the American
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553 Joint Committee on Cancer (AJCC)/ Union for International Cancer Control (UICC)
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584 pathological tumor-node-metastasis (pTNM) staging system for gastric cancer [26].
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Survival and statistical analyses

Categorical and continuous variables were analyzed using the Fisher's exact test, χ^2 test, and t-test, respectively. For survival analysis, we performed Kaplan– Meier survival analysis and log-rank tests. Statistical analyses were performed using the statistical software 'EZR'(Easy R)(Saitama Medical Center, Jichi Medical University, Saitama, Japan) [27], which is a graphical user interface for R (R Foundation for Statistical Computing, Vienna, Austria). Cox proportional hazard model was also employed for survival analysis. $P < 0.05$ were considered statistically significant.

Results

Clinicopathological findings

Supplementary Table 1 shows the clinicopathological characteristics of the 688 GA patients enrolled in this study.

The 688 cases of advanced GA were classified into 94 GAEDs (13.7%), 58 GAs with EM (8.4%), and 536 CGAs. All GAED cases were accompanied by a conventional adenocarcinoma area as the minor component. Supplementary Table 2 summarizes the histological and IHC findings. Histologically, a clear cytoplasm was observed in 116 of 688 cases (16.9%) including 94 GAEDs and 22 CGAs. Positive IHC staining for AFP, GPC3, and SALL4 was observed in 5.5%, 14.1%, and 16.9% of 688 cases, respectively. Cases positive for all three markers were 3.9% and 22.1% showed positive staining for at least one of the enteroblastic markers. AFP, GPC3, and SALL4 expression was detected in 30.9%, 69.1%, and 80.9% of 94 GAED cases, respectively. Among 58 GA with EM cases, AFP, GPC3, and SALL4 expressions were observed in 15.5%, 55.2%, and 69.0% of cases, respectively. Figure 1 shows typical histological and IHC findings in GAED and IHC findings in GA with EM.

Table 1 summarizes the comparison of 94 GAEDs and 58 GAs with EM. Comparisons between GAED and GA with EM showed that a mean age ($p = 0.189$), sex ($p = 0.832$), tumor location ($p = 0.056$), tumor size ($p = 0.483$), TNM stage ($p = 0.126$), and lymph node metastasis rate ($p = 0.067$) were not statistically different. However, there were statistically significant

152 differences in macroscopic type ($p < 0.05$), invasion depth ($p < 0.05$), lymphatic invasion
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153 rate ($p < 0.05$), venous invasion rate ($p < 0.05$), and growth patterns ($p < 0.05$). Particularly,
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154 the liver metastasis rate ($p < 0.01$) was significantly higher in GAED. The lymphatic invasion
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155 was more frequent in GA patients with EM. As for IHC findings, there were no statistical
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156 differences in the GPC3 ($p = 0.086$) and SALL4 positivity ($p = 0.117$); however, the positive
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157 rate of AFP ($p < 0.05$) was significantly higher in GAED than in GA with EM.
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20 Table 2 summarizes the comparison between the 94 GAEDs and the 536 CGAs. Comparisons
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23 between GAED and CGA showed that TNM stage ($p = 0.053$), lymphatic invasion rate ($p =$
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26 0.191), and lymph node metastasis rate ($p=0.092$) were not statistically different. Meanwhile,
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29 there were statistically significant differences in terms of sex ($p < 0.05$), tumor location ($p <$
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32 0.05), and tumor size ($p < 0.05$). Especially for a mean age ($p < 0.01$), the macroscopic type
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35 ($p < 0.01$), invasion depth ($p < 0.01$), venous invasion rate ($p < 0.01$), liver metastasis rate
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38 ($p < 0.01$) were significantly higher in GAED.
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42 Table 3 summarizes the comparison of 58 GAs with EM and 536 CGAs. Comparisons between
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45 GA with EM and CGA showed that only tumor location ($p<0.05$) and venous invasion rate (p
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48 < 0.05) were statistically different. Enteroblastic differentiation was frequently observed in
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51 the upper gastric area, while venous invasion was more frequently observed in GA with EM.
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55 Regardless of the presence of a clear cytoplasm, the patients were further divided into two
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58 groups: enteroblastic marker-positive gastric adenocarcinoma and negative gastric
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171 adenocarcinoma (CGA). Under this classification, there were statistically significant
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172 differences in mean age ($p < 0.01$), sex ($p = <0.01$), tumor location ($p < 0.05$), macroscopic
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173 type ($p < 0.01$), invasion depth ($p < 0.01$), venous invasion rate ($p < 0.01$), and liver
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10 metastasis rate ($p < 0.01$). There were no statistically significant differences in tumor size (p
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14 = 0.057), TNM stage ($p = 0.119$), lymphatic invasion rate ($p = 0.844$), and lymph node
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17 metastasis rate ($p = 0.489$). Interestingly, the tumor size tended to be smaller in the EM-
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20 positive group than in the EM-negative group; however, venous invasion and liver metastasis
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23 rates were significantly higher in the EM-positive group (Table 4).

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26 We also noticed that CGA (EM-negative GA) sometimes had a clear cytoplasm; thus, CGAs
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29 were further divided into two groups according to the presence or absence of clear cytoplasm
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32 (CC-positive GA and CC-negative GA). This comparison revealed that venous invasion was
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35 more frequently observed in CC-positive CGA and that CC-positive CGA preferentially occurred
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38 in elderly and male patients (Supplementary Table 3).

39 ***Prognosis in GAED and GA with EM***

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42 The five-year overall survival rates for GAED, GA with EM, and CGA were 46.6%, 47.9%,
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45 and 58.2%, respectively. GAED and GA with EM showed similar OS trends in overall survival
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49 ($p = 0.78$, Figure 2A). GAED had a significantly poorer prognosis than CGA ($p = 0.035$, Figure
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52 2A; Hazard ratio: 1.428 (1.024-1.990), $P=0.036$); however, there was no statistically
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55 significant survival difference between GA with EM and CGA ($p = 0.157$, Figure 2A; Hazard
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190 ratio: 1.337 (0.893-2.003), P=0.158). Furthermore, GA showing EM expression, regardless
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191 of the presence of a clear cytoplasm (GAED and GA with EM), showed a worse overall survival
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192 rate than CGA (p = 0.018, Figure 2B; Hazard ratio: 1.391 (1.057-1.832), P=0.019). In the
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193 CGA group, the status of clear cytoplasm within the tumor cells did not affect the overall
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194 survival rate (data not shown).
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195 Survival analyses for each factor were also performed for GAED and GA with EM. In GAED,
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19 survival analysis revealed that lymphatic invasion (p < 0.01, Figure 3A), liver metastasis (p
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23 < 0.01, Figure 3B), growth pattern (solid type, p < 0.01, Figure 3C), and expression of GPC3
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26 (p < 0.05, Figure 3D) were significantly associated with a poor overall survival. In addition,
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29 lymph node metastasis (p = 0.053) was associated with a poor overall survival. In GA with
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32 EM, the survival analysis revealed that lymph node metastasis (p < 0.01, Figure 4A) and liver
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35 metastasis (p < 0.01, Figure 4B) were significantly associated with a poor overall survival.
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38 Moreover, lymphatic invasion (p = 0.071) and expression of AFP (p = 0.053) tended to be
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41 associated with a poor overall survival.
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Discussion

The pathological concept of GAED has been gradually established since the identification of GPC3 and SALL4 as enteroblastic markers in addition to AFP together, with an increasing number of studies on AFP-producing gastric carcinoma [1, 11, 12, 18]. We have previously reported a few GAED studies describing its clinicopathological characteristics; however, we could not enroll consecutive GAED cases in these studies since we employed a keyword search for GAED such as AFP and clear cytoplasm in the electronic pathological record [10]. Therefore, we identified GAED and GA with EM using TMA-based screening in this study. IHC revealed that the frequencies of enteroblastic markers in all GAs were 5.5% for AFP, 14.1% for GPC3, and 16.9% for SALL4, which was in line with the previously reported ratios [4, 11, 12, 28, 29]. A total of 152 out of 688 (22.1%) GA cases in this series showed the expression of at least one of the three enteroblastic markers, thereby providing evidence that a significant proportion of GA showed enteroblastic differentiation by IHC. This study demonstrated that both GAED and GA with EM tended to show higher frequencies of venous invasion and liver metastasis than CGA, even in their smaller size. These findings suggested that the expression of enteroblastic markers itself is associated with aggressive behavior [11, 12, 14, 30].

Regarding prognosis, the five-year overall survival rates of patients with GAED and those with GA with EM were 46.6% and 47.9%, respectively. Long term survival analysis in GAED

223 and survival analysis of GA with EM as an independent subgroup have not been reported so
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224 far, and these were almost similar to or slightly better than those reported in AFP-producing
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225 gastric carcinoma and hepatoid adenocarcinoma [14, 15, 31, 32]. Regarding the significance
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226 of clear cytoplasm within GA, GAED had a considerably worse prognosis than CGA, and GA
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227 with EM had a worse prognosis than CGA, although the difference was not statistically
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228 significant. Furthermore, the presence of clear cytoplasm was associated with frequent
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209 venous invasion, but not with the overall survival rate in the CGA group. These findings
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230 suggest that the presence of a clear cytoplasm partly contributes to the acquisition of more
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231 aggressive behavior in GA, regardless of enteroblastic differentiation.
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29 GAED is defined as the expression of at least one of the enteroblastic markers, wherein a
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233 clear cytoplasm is histologically confirmed [18]. However, the presence of clear cytoplasm is
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234 not required in CAED, which is a colorectal counterpart of GAED, since the clinicopathological
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235 and molecular pathological differences cannot be identified according to the status of clear
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236 cytoplasm within the tumor [25]. This finding suggested that CAED could be classified only
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237 by enteroblastic marker expression. In GAED, from the viewpoint of overall survival rates,
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238 similar trends were confirmed between GAED and GA with EM; however, patients with GAED
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239 seemed to show inferior survival rates during the first 3 years compared to GA with EM.
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240 Furthermore, patients with GAED show adverse outcomes even at an early stage [20]. In
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241 addition, several clinicopathological differences were observed between the two groups.
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242 Positive rates for three EM markers, venous invasion, liver metastasis, and solid growth
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243 pattern, were more frequently detected in GAED than in GA with EM, while lymphatic invasion
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244 was more prevalent in GA with EM. Thus, although both tumors are high-grade, further
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245 comprehensive analysis is required to draw a conclusion on whether GAED and GA with EM
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246 could be grouped together under a single pathological category as GAED.
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247 In a clinical setting, it has been demonstrated that the expression of oncofetal proteins is
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248 associated with a poor prognosis in various cancers [30]. Therefore, it is highly recommended
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249 to perform IHC for EM in addition to identifying specific histological features, such as solid
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250 patterns and tumor cells with clear cytoplasm during routine pathological diagnosis.
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251 Trastuzumab and Trastuzumab deruxtecan are approved as molecular target therapies for GA,
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32 for GA with HER2 overexpression; nivolumab has been approved as well [33-37]. Although
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35 promising therapeutic targets have not been identified in these types of tumors, we have
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254 previously reported that a subset of GAED shows amplification of *ERBB2* at a similar
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255 frequency in CGA [10]. Further molecular pathological analysis might provide new therapeutic
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256 targets for these subsets of GA, in addition to the understanding of tumorigenesis including
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48 the acquisition of aggressive phenotypes.
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258 This study has several limitations. First, since we excluded cases of Stage IA and part of
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259 Stage IB during TMA preparation, we only enrolled patients with advanced GA. This sample
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260 selection might have affected the prognostic analysis, because the aggressive behavior of
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261 tumors becomes clearer, especially in early-stage tumors. In fact, the 3-year overall survival
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262 rate in GAED in this series is almost similar to that in our previous study, which included
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263 approximately 30% of Stage I GAED [10], and GAED showed an aggressive clinical course
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10 even in the early stages [20]. GA with EM might have revealed an adverse overall survival
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13 rate compared to CGA if the early-stage GAs were also included. Second, we overlooked
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16 possible cases of GAED and GA with EM by TMA-based IHC screening, although extensive
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207 histological examinations were performed to check for the presence of clear cytoplasm using
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208 all available slides in cases where at least one of the enteroblastic markers was positively
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209 stained on TMA cores. In contrast, there were 22 cases with a clear cytoplasm within the
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29 CGA group which were negative for EM by whole-section IHC (Supplementary Table 3).
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32 Patients with CGA and a clear cytoplasm also showed few clinicopathological characteristics,
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35 such as frequent venous invasion, which were similar to that of the EM-positive group.
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38 Likewise, although we performed EM IHC by whole section in case clear cytoplasm was
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41 confirmed on TMA slide, few cases of CGA with a clear cytoplasm may be classified as GAED
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44 through a more extensive search.
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48 In conclusion, in addition to the previously defined GAED, we found that a considerable
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51 portion of GA showed an expression of enteroblastic markers by IHC but did not have a clear
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54 cytoplasm, despite extensive histological examinations. We define this subset of GA as GA
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57 with EM, which also showed worse prognosis than CGA with GAED. Both GAED and GA with
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280 EM demonstrated similar clinicopathological features, such as a high frequency of liver
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281 metastasis and lymphovascular invasion, although venous invasion was more prevalent in
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282 GAED and vice versa for lymphatic invasion.
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13 **DATA AVAILABILITY**

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285 The data used during the current study are available from the corresponding author on
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286 reasonable request.
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390 **Figure legends**

391 **Figure 1:** Histological and immunohistochemical findings in GAED and GA with EM.

392 Boundary area between gastric adenocarcinoma with enteroblastic differentiation (GAED),
393 which consists of columnar cells with clear cytoplasm with enteroblastic differentiation, and
394 a conventional adenocarcinoma component (A). High-power view of the blue-framed GAED

395 component in A (B). High-power view of the red-framed conventional adenocarcinoma
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396 component in A (C). Immunohistochemical (IHC) staining for α -fetoprotein (AFP) (D),
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397 Glypican-3 (GPC3) (E), and palt-like transcription factor 4 (SALL4) (F). Gastric
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398 adenocarcinoma with enteroblastic marker expression showing both solid and nonsolid
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399 growth patterns (G). Immunohistochemical (IHC) staining for alpha-fetoprotein (AFP) (H),
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400 Glypican-3 (GPC3) with a high-power-view image of a partially positive area (inset at lower
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401 right) (I) and Spalt-like transcription factor 4 (SALL4) (J).
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Figure 2: Comparison of Overall survival in GAED, GA with EM, and CGA.

(A) All three curves (GAED, GA with EM and CGA) were put in one panel, and each pairwise comparison was performed. GAED and GA with EM show similar trends in overall survival rates ($p=0.78$), however, patients with GAED show rather worse prognosis than GA with EM especially during first 3 years. GAED has a significantly poorer overall survival than CGA ($p=0.035$), but survival difference between GA with EM and CGA loses statistical significance ($p=0.157$). (B) GAs showing expression of EM regardless of the presence of clear cytoplasm (GAED and GA with EM) have worse overall survival than CGAs ($p=0.018$).

Figure 3: Comparison of overall survival by each factor in GAED.

(A) lymphatic invasion ($p<0.01$), (B) liver metastasis ($p<0.01$), (C) growth pattern (Solid type,

414 p<0.01) and (D) expression of GPC3 (p<0.05) were significantly associated with poor overall
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415 survival.

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417 **Figure 4:** Comparison of overall survival by each factor in GA with EM.

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418 (A) lymph nodes metastasis (p<0.01) and (B) liver metastasis (p<0.01) were significantly
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419 associated with poor overall survival.

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421 **Supplementary Table 1**

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422 Clinicopathological characteristics of study patients (n=688)

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423 Abbreviations: SD, Standard deviation; U, Upper third; M, Middle third; L, Lower third; MP,
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424 Muscularis mucosae; SS, Subserosa; SE, Tumor penetration of serosa; SI, Tumor invasion of
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425 adjacent structures; TNM, Tumor-node-metastasis.

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427 **Supplementary Table 2**

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428 Histological and immunohistochemical findings in 688 GAs, including GAED and GA with EM

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429 Abbreviations: GA, gastric adenocarcinoma; GAED, gastric adenocarcinoma with enteroblastic
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430 differentiation; EM, enteroblastic marker; CC, clear cytoplasm; AFP, α -fetoprotein; GPC3,
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431 Glypican-3; SALL4, Spalt-like transcription factor 4.

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Table 1

Clinicopathological findings (GAED vs GA with EM)

Abbreviations: GAED, gastric adenocarcinoma with enteroblastic differentiation; GA, gastric adenocarcinoma; EM, enteroblastic marker; SD, Standard deviation; U, Upper third; M, Middle third; L, Lower third; MP, Muscularis mucosae; SS, Subserosa; SE, Tumor penetration of serosa; SI, Tumor invasion of adjacent structures; TNM, Tumor-node-metastasis; AFP, α -fetoprotein; GPC3, Glypican-3; SALL4, Spalt-like transcription factor 4.

Table 2

Clinicopathological findings (GAED vs CGA)

Abbreviations: CGA, conventional gastric adenocarcinoma

Table 3

Clinicopathological findings (GA with EM vs CGA)

Table 4

Clinicopathological findings according to EM expression

Supplementary Table 3

452 Clinicopathological findings in CGA according to clear cytoplasm

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453 Abbreviations: CC, clear cytoplasm

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455 **Acknowledgments**

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459 **Author contributions statement**

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460 D Abe, T.S., T.H., H.U., A.N., and T.Y. planned this project and D Abe, T.S., Y.A., N.Y., and T.Y.

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461 contributed to the diagnosis of GAED and GA with EM cases. D Abe and T.S. performed the

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462 main parts of the experiments and D Abe, T.S., T.H., H.U., S.M., T.F. and A.N. collected and

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463 analyzed the clinical data. D Abe and T.S. wrote the majority of the manuscript. All authors

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464 approved the final version of the manuscript.

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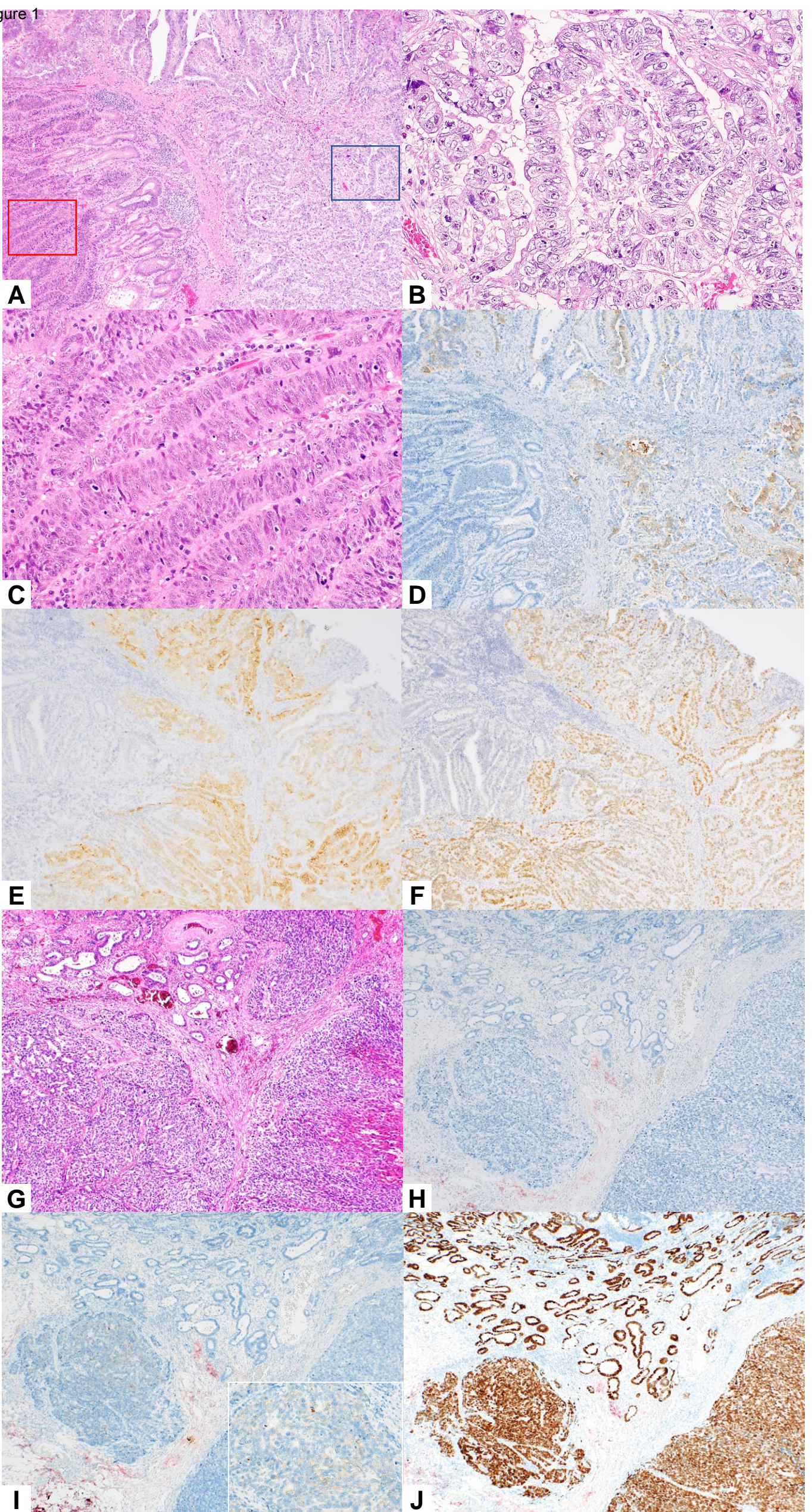
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Figure 1



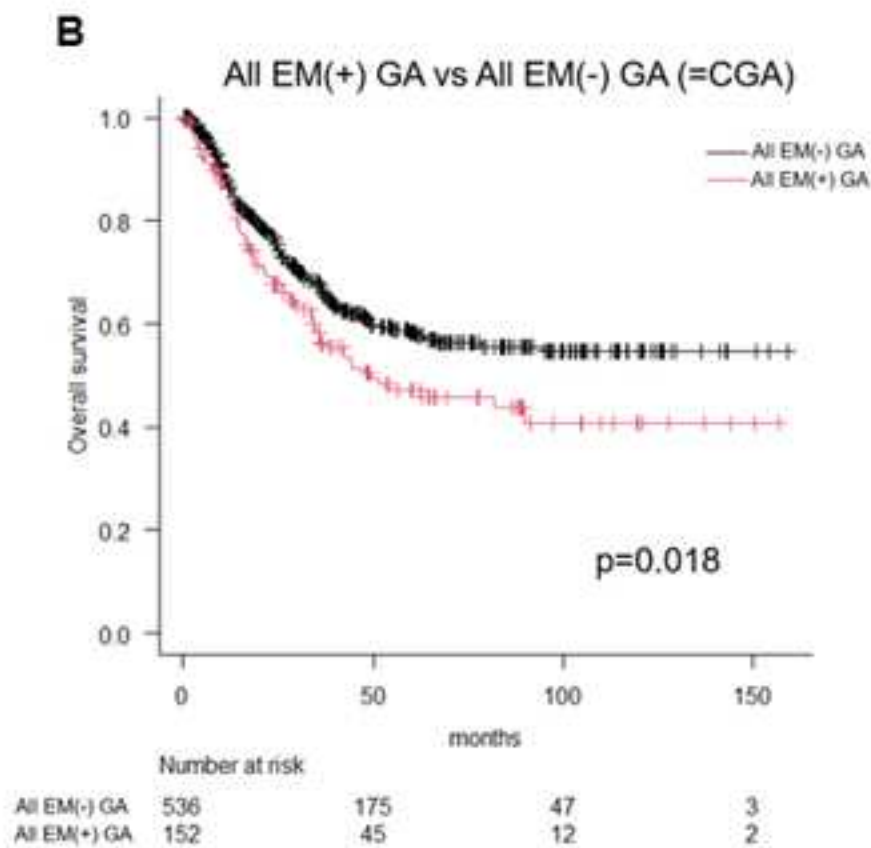
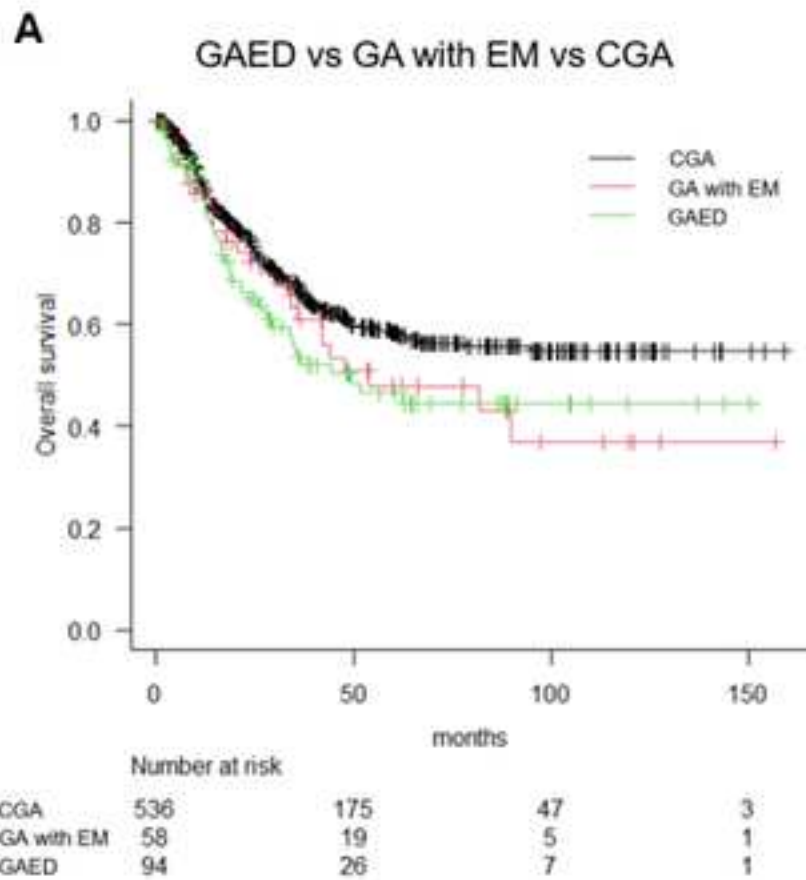


Figure 3

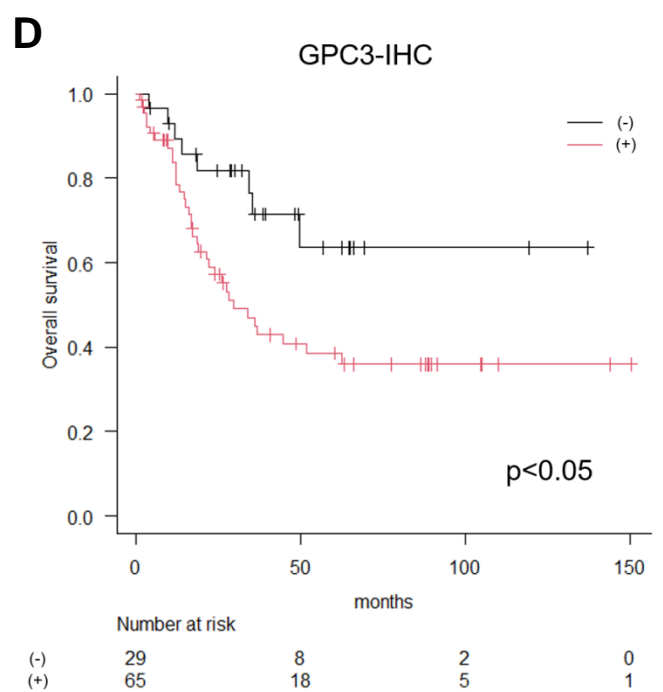
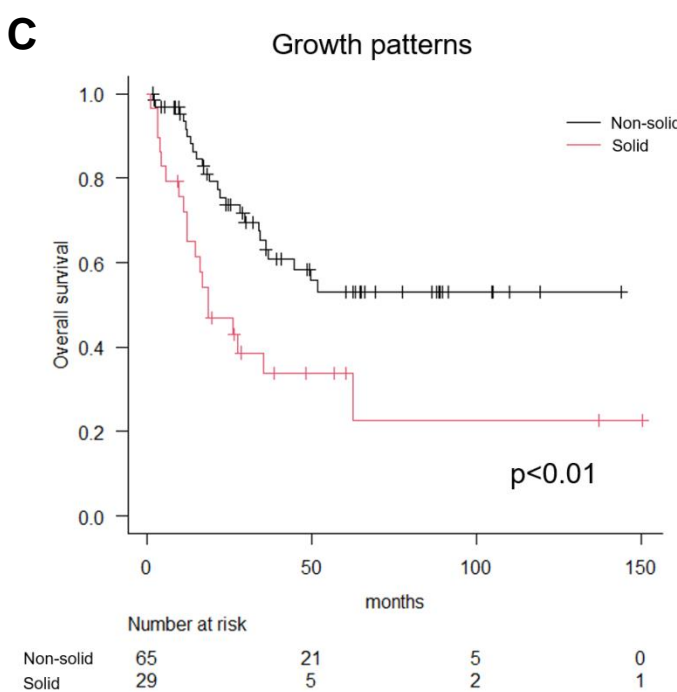
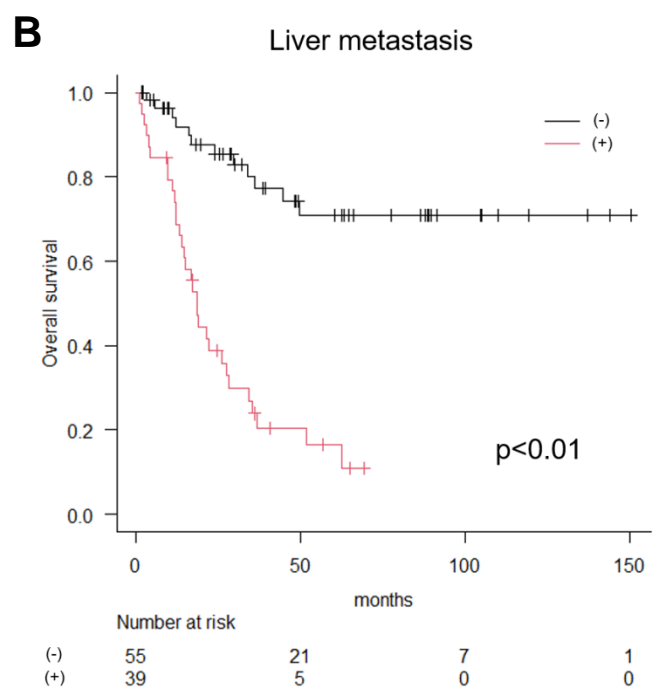
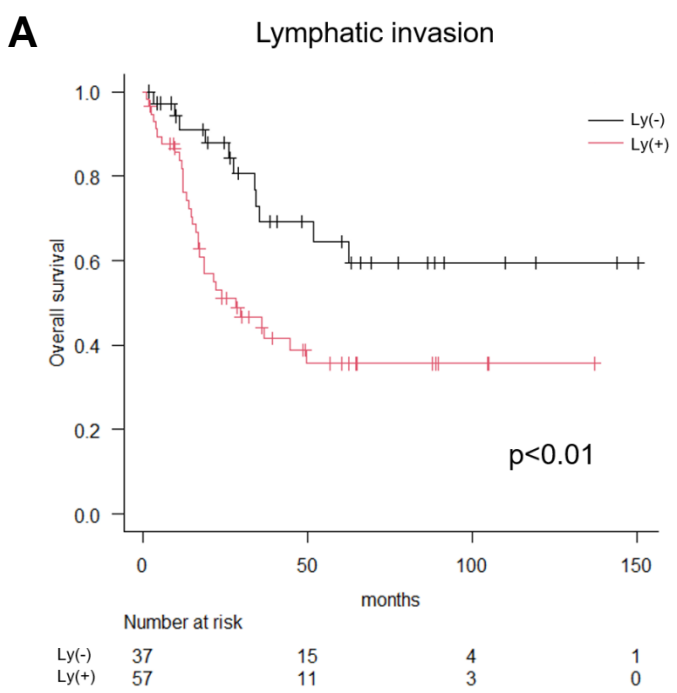


Figure 4

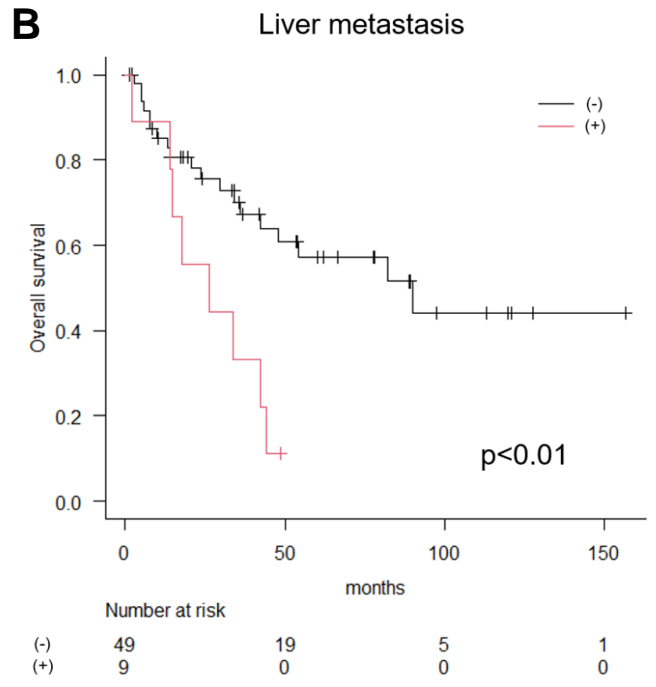
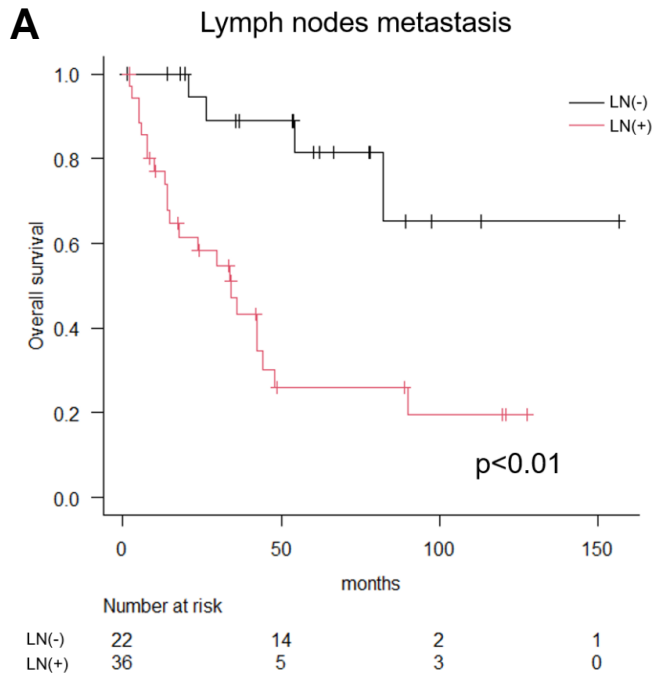


Table 1. Clinicopathological findings (GAED vs GA with EM)

	GAED n=94 (13.7%)	GA with EM n=58 (8.4%)	p value
Age (years) (mean±SD)	72.5±10.6	70.2±9.9	0.189
Sex (male/female)	76/18	48/10	0.832
Tumor location (U/M/L)	34/21/39	28/17/13	0.056
Tumor size (mm) (mean±SD)	60.9±32.3	65.3±40.6	0.483
Macroscopic type (Borrmann1/2/3/4/5)	10/46/24/2/12	2/21/17/6/12	<0.05
Invasion depth (MP/SS/SE/SI)	17/57/17/3	7/27/23/1	<0.05
TNM stage (I/II/III/IV)	9/37/38/10	5/22/19/12	0.373
TNM stage (IB/IIA/IIB/IIIA/IIIB/IIIC/IV)	9/15/22/17/18/3/10	5/14/8/12/4/3/12	0.126
Lymphatic invasion (+) n (%)	57 (60.6%)	45 (77.6%)	<0.05
Venous invasion (+) n (%)	63 (67.0%)	29 (50.0%)	<0.05
Lymph node metastasis (+) n (%)	72 (76.6%)	36 (62.1%)	0.067
Liver metastasis (+) n (%)	39 (41.5%)	9 (15.5%)	<0.01
Growth patterns (Solid/Non-solid type)	29 (30.9%)/65 (69.1%)	8 (13.8%)/50 (86.2%)	<0.05
Immunohistochemical findings			
AFP (+)	29 (30.9%)	9 (15.5%)	<0.05
GPC3 (+)	65 (69.1%)	32 (55.2%)	0.086
SALL4 (+)	76 (80.9%)	40 (69.0%)	0.117

Abbreviations: GAED, gastric adenocarcinoma with enteroblastic differentiation; GA, gastric adenocarcinoma; EM, enteroblastic marker; SD, Standard deviation; U, Upper third; M, Middle third; L, Lower third; MP, Muscularis mucosae; SS, Subserosa; SE, Tumor penetration of serosa; SI, Tumor invasion of adjacent structure; TNM, Tumor-node-metastasis; AFP, α -fetoprotein; GPC3, Glypican-3; SALL4, Spalt-like transcription factor 4

Table 2. Clinicopathological findings (GAED vs CGA)

	GAED n=94 (13.7%)	CGA n=536 (77.9%)	p value
Age (years) (mean±SD)	72.5±10.6	68.5±11.7	<0.01
Sex (male/female)	76/18	379/157	<0.05
Tumor location (U/M/L)	34/21/39	163/189/184	<0.05
Tumor size (mm) (mean±SD)	60.9±32.3	69.1±41.7	<0.05
Macroscopic type (Borrmann1/2/3/4/5)	10/46/24/2/12	34/128/168/93/113	<0.01
Invasion depth (MP/SS/SE/SI)	17/57/17/3	114/181/206/35	<0.01
TNM stage (I/II/III/IV)	9/37/38/10	65/176/211/83	0.433
TNM stage (IB/IIA/IIB/IIIA/IIIB/IIIC/IV)	9/15/22/17/18/3/10	65/92/84/82/69/60/83	0.053
Lymphatic invasion (+) n (%)	57 (60.6%)	364 (67.9%)	0.191
Venous invasion (+) n (%)	63 (67.0%)	188 (35.1%)	<0.01
Lymph node metastasis (+) n (%)	72 (76.6%)	362 (67.5%)	0.092
Liver metastasis (+) n (%)	39 (41.5%)	43 (8.0%)	<0.01

Abbreviations: GAED, gastric adenocarcinoma with enteroblastic differentiation; CGA, conventional gastric adenocarcinoma; SD, Standard deviation; U, Upper third; M, Middle third; L, Lower third; MP, Muscularis mucosae; SS, Subserosa; SE, Tumor penetration of serosa; SI, Tumor invasion of adjacent structure; TNM, Tumor-node-metastasis

Table 3

Table 3. Clinicopathological findings (GA with EM vs CGA)

	GA with EM n=58 (8.4%)	CGA n=536 (77.9%)	p value
Age (years) (mean±SD)	70.2±9.9	68.5±11.7	0.228
Sex (male/female)	48/10	379/157	0.064
Tumor location (U/M/L)	28/17/13	163/189/184	<0.05
Tumor size (mm) (mean±SD)	65.3±40.6	69.1±41.7	0.503
Macroscopic type (Borrmann1/2/3/4/5)	2/21/17/6/12	34/128/168/93/113	0.288
Invasion depth (MP/SS/SE/SI)	7/27/23/1	114/181/206/35	0.093
TNM stage (I/II/III/IV)	5/22/19/12	65/176/211/83	0.489
TNM stage (IB/IIA/IIB/IIIA/IIIB/IIIC/IV)	5/14/8/12/4/3/12	65/92/84/82/69/60/83	0.299
Lymphatic invasion (+) n (%)	45 (77.6%)	364 (67.9%)	0.178
Venous invasion (+) n (%)	29 (50.0%)	188 (35.1%)	<0.05
Lymph node metastasis (+) n (%)	36 (62.1%)	362 (67.5%)	0.38
Liver metastasis (+) n (%)	9 (15.5%)	43 (8.0%)	0.081

Abbreviations: GA, gastric adenocarcinoma; EM, enteroblastic marker; CGA, conventional gastric adenocarcinoma; SD, Standard deviation; U, Upper third; M, Middle third; L, Lower third; MP, Muscularis mucosae; SS, Subserosa; SE, Tumor penetration of serosa; SI, Tumor invasion of adjacent structure; TNM, Tumor-node-metastasis

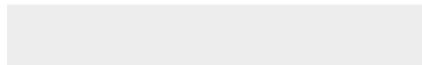
Table 4. Clinicopathological findings according to the EM expression

	All EM(+) GA n=152 (22.1%)	All EM(-) GA (=CGA) n=536 (77.9%)	p value
Age (years) (mean±SD)	71.6±10.4	68.5±11.7	<0.01
Sex (male/female)	124/28	379/157	<0.01
Tumor location (U/M/L)	62/38/52	163/189/184	<0.05
Tumor size (mm) (mean±SD)	62.6±35.6	69.1±41.7	0.057
Macroscopic type (Borrmann1/2/3/4/5)	12/67/41/8/24	34/128/168/93/113	<0.01
Invasion depth (MP/SS/SE/SI)	24/84/40/4	114/181/206/35	<0.01
TNM stage (I/II/III/IV)	14/59/57/22	65/176/211/83	0.513
TNM stage (IB/IIA/IIB/IIIA/IIIB/IIIC/IV)	14/29/30/29/22/6/22	65/92/84/82/69/60/83	0.119
Lymphatic invasion (+) n (%)	102 (67.1%)	364 (67.9%)	0.844
Venous invasion (+) n (%)	92 (60.5%)	188 (35.1%)	<0.01
Lymph node metastasis (+) n (%)	108 (71.1%)	362 (67.5%)	0.489
Liver metastasis (+) n (%)	48 (31.6%)	43 (8.0%)	<0.01

Abbreviations: GA, gastric adenocarcinoma; EM, enteroblastic marker; CGA, conventional gastric adenocarcinoma; SD, Standard deviation; U, Upper third; M, Middle third; L, Lower third; MP, Muscularis mucosae; SS, Subserosa; SE, Tumor penetration of serosa; SI, Tumor invasion of adjacent structure; TNM, Tumor-node-metastasis



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