2	Rat Model
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18	
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23	Daichi Morikawa; Study design and Interpretation of data
24	Akihisa Koga; Data acquisition

Relationship of Superoxide Dismutase to Rotator Cuff Degeneration and Tear in a

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#### 29 ABSTRACT

Rotator cuff degeneration is one of the several factors that lead to rotator cuff tears. 30 31Oxidative stress and superoxide dismutase have been reported to be related to rotator cuff degeneration; however, the precise mechanism still remains unclear. In this study, 3233 we investigated the relationship of oxidative stress and superoxide dismutase to the 34degeneration of the rotator cuff using rat models. Eighty-four rats were used to create a 35 collagenase-induced rotator cuff injury model (injury model) and a rotator cuff tear 36 model (tear model). The controls were administered saline and had only a deltoid incision, respectively. We evaluated degeneration morphology of the rotator cuff using a 37 38 degeneration score; dihydroethidium fluorescence intensity, which detects oxidative 39 stress; gene expression; and superoxide dismutase activity. The rotator cuffs in the injury and tear models significantly increased degeneration scores and dihydroethidium 40 fluorescence intensity. On the other hand, gene expression of superoxide dismutase 41 42isoform, superoxide dismutase 1, and superoxide dismutase activity were significantly decreased in the injury model but showed no significant difference in the tear model. 43These findings suggested that superoxide dismutase might not be associated with rotator 44 cuff degeneration after tear but may be involved in degenerative rotator cuff without tear. 4546 However, we found that rotator cuff degeneration involves oxidative stress both with and 47without tear. Based on these findings, it is presumed that different treatments may be appropriate, depending on the state of rotator cuff degeneration, because the mechanisms 48of the degeneration may be different. 49

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Key Terms: rotator cuff degeneration; rotator cuff tear; oxidative stress; superoxide
dismutase; peroxiredoxin

#### 54 INTRODUCTION

The factors contributing to rotator cuff tears fall under 2 main categories: 55extrinsic factors and intrinsic factors. Extrinsic factors include acromion morphology, 56 subacromial spurs, trauma, and shoulder overuse. Intrinsic factors include inflammation, 57hypovascularity, aging, and degeneration.<sup>1-3</sup> Rotator cuff degeneration is one of the 58characteristics of tears and aging in rotator cuff entheses. Pathologic changes typical of 59degeneration have been reported to include thinning and disorientation of collagen fibers 60 and loss of cellularity, vascularity, and fibrocartilage mass at the site of cuff insertion.<sup>3,4</sup> 61 The precise mechanism of rotator cuff degeneration, however, remains unclear. 62 63 Recently, it was reported that rotator cuff degeneration is caused by oxidative stress,<sup>3,5,6</sup> an imbalance between oxidation, caused by reactive oxygen species, and 64 reduction, induced by antioxidant systems.<sup>7</sup> It is still controversial whether oxidative 65 66 stress can be a primary mechanism of age-related disease. Oxidative damage could lead to the hallmarks contributing to the ageing process, which include genomic instability, 67 attrition of telomere, epigenetic alterations, and loss of proteostasis.<sup>8</sup> For example, the 68 attrition of telomere, which accelerates aging and increases the risk of age-related 69 diseases, can be caused by oxidative damage because they are highly sensitive to the 70 damage and their repair capacity is less well than other parts of the chromosome.<sup>9,10</sup> 7172Furthermore, it was reported that oxidative stress is thought to play a pivotal role in the pathological processes implicated in ageing and age-related diseases and the underlying 73 biochemical mechanisms have been clarified in detail.<sup>11</sup> For intense, the mitochondrial 74DNA damages would lead to loss of redox homeostasis, perturbed  $Ca^{2+}$  homeostasis, 75damage to membrane proteins and lipids, as well as to abnormal mitochondrial energy 76 transduction.<sup>12</sup> These modifications are the driving force for further mitochondrial 77

dysfunction and loss of integrity, which will affect cell viability and cellular function.<sup>12,13</sup>
In addition, organs, being high-energy consuming and sensitive to bioenergetic defects,
are strongly affected by mitochondrial dysfunctions, resulting in organ-specific
pathologies.

Superoxide dismutase (SOD) is a major antioxidant enzyme that scavenges reactive oxygen species *in vivo*.<sup>14</sup> There are 3 SOD isoforms, each having a different active center and locality: SOD1 (CuZn-SOD) is localized in the cytoplasm, with Cu/Zn as its active center; SOD2 (Mn-SOD) exists in the mitochondrial matrix, with Mn as its active center; and SOD3 (EC-SOD) is distributed in extracellular fluids, such as synovial fluid, plasma, and lymph, with Cu/Zn as its active center.<sup>14</sup>

Previous studies showed that SOD1 deficiency induces age-related effects on 88 various tissues.<sup>15</sup> Morikawa et al.<sup>16,17</sup> found that excessive oxidative stress induced 89 degeneration of rotator cuff enthesis in SOD1-deficient mice. Yoshida et al.<sup>18</sup> reported 90 91 that SOD activity was not significantly different between rotator cuffs with tears and those without tears although oxidative stress and tendon degeneration in humans were 92greater in rotator cuffs with tears than in those without. As the reason for no significant 93 difference in SOD activity, Yoshida et al. described that the SOD might be inactivated 94and not compensable in cases of advanced rotator cuff tears. Chen et al.<sup>19</sup> created an 95 96 animal rotator cuff injury model in which collagenase injected into the rotator cuff enthesis caused biomechanical weakness and histological degeneration. Hashimoto et 97 al.<sup>20</sup> created animal rotator cuff tear models and showed that the rotator cuff was 98 histologically degenerated after the tear. However, the association of rotator cuff 99 degeneration with oxidative stress and SOD in these models has not been investigated. 100

101	The purpose of this study was to investigate and compare the relationship of
102	oxidative stress and SOD with rotator cuff degeneration in rat injury models with and
103	without tear. We hypothesized that oxidative stress is involved in the degenerative
104	process in rotator cuff injury models with and without tear through different mechanisms
105	of action of SOD.
106	
107	METHODS
108	Animals
109	Eighty-four Sprague–Dawley (SD) rats were used in this study. The rats were maintained
110	and housed with a 12-hour light-dark cycle and allowed free access to food and drinking
111	water. We created two models with injured rotator cuff, the model without rotator cuff
112	tear as "injury model" and the model with rotator cuff tear as "tear model". This study
113	was approved by the Animal Care Committee of the authors' institutions.
114	
115	Rotator Cuff Injury Model
116	All rats were anesthetized with inhalation of sevoflurane. The injury model was
117	produced using a collagenase injection with some modifications to a previous study. <sup>19</sup>
118	The acromion was located in the vertex of the right shoulder and 80 U/8 $\mu$ l of type II
119	collagenase (C6885; Sigma-Aldrich, St. Louis, MO, USA) was injected near the
120	supraspinatus tendon under the acromion using a 27-gage needle (NN-2719S; Terumo,
121	Tokyo, Japan). The amount of collagenase was decided as the same amount as in the
122	previous study <sup>19</sup> because we could make rotator cuff injury model without tear and with
123	histological rotator cuff degeneration using the same amount in our preliminary
124	experiments. The injection was performed for 3 consecutive days. On the third day, the

125	skin was incised by 5 mm and the injection was definitely administered (cuff-injury
126	side). The left shoulder served as a control, and was injected with saline (no-injury side).
127	

#### **Rotator Cuff Tear Model** 128

129Anesthesia was performed in the same manner as in the injury model. The surgery was performed in bilateral shoulders according to previously described methods.<sup>21</sup> In the 130right shoulder, the supraspinatus tendon was exposed by splitting the deltoid muscle. The 131 132supraspinous tendon was sharply detached from the insertion using a scalpel. The tendon stump was retracted medially by contraction of the rotator cuff muscle so that a U-133 134shaped medium-size tear was created naturally. The overlying deltoid muscle and skin 135were then closed (cuff-tear side). In the left shoulder, served as a control, the deltoid muscle was split to expose the supraspinatus tendon and the deltoid muscle and skin 136137 were immediately closed without rotator cuff resection (no-tear side). All rats were 138allowed unrestricted activity in the cage.

139

#### 140 **Tissue Preparation**

Rats from the injury model and the tear model were euthanized with an overdose of 141 sodium pentobarbital at 3, 7, or 14 days after the injection or surgery. The supraspinatus 142143tendon entheses of the injury models and the stumps of the ruptured supraspinatus 144tendon of the tear models were harvested and used for analysis. Specimens used for histological studies were cut into 5-µm frozen sections and stained with hematoxylin-145eosin (H&E), alcian blue (AB), or dihydroethidium (DHE) (Life Technologies 146147Corporation, Gaithersburg, MD). We evaluated one section for each staining for each

specimen. Other specimens were used for gene expression analysis using quantitative

149 real-time polymerase chain reaction (RT-PCR) and measurement of SOD activity.

150

#### 151 Histological Evaluation

152The H&E stain was used to investigate the morphology of the rotator cuff tissue, including cell form, collagen orientation, vascularity, and cell distribution. Mucin 153154formation was evaluated using AB staining. We used kernechtrot staining as the 155counterstain for AB staining. Each sample was cut into 5-µm thick sections and individually stained with H&E or AB. Sections were viewed under a brightfield 156157microscope (for H&E and AB) and/or a polarized light microscope (for H&E). The 158tissues were evaluated using a scale described as the "degeneration score." The score had been proposed in a past study,<sup>18</sup> based on previous reports.<sup>22–24</sup> The score comprises 7 159160 factors: (i) cell morphology, (ii) collagen alignment, (iii) vascularity, (iv) cellularity, (v) 161ground substance, (vi) fiber structure, and (vii) collagen stainability. Collagen alignment, 162cellularity, ground substance, fiber structure, and collagen stainability were evaluated one field of view, at 100× total magnification. Cell morphology was evaluated four fields 163164of view, at 200× total magnification. Vascularity was evaluated ten fields of view, at  $400 \times$  total magnification.<sup>24</sup> All fields were set at the tendon 200-400 µm proximal to 165bone of the enthesis in injury models and no-tear side of tear models or 200 µm away 166 from the margin of the stump in cuff-tear side of tear models.<sup>25</sup> Each factor was 167 evaluated using a 4-point scale. The 7 scores were then combined and the total score for 168each sample was calculated. All slides were blindly numbered and independently scored 169 170by two researchers familiar with musculotendinous histopathology.

171

#### 172 Measurement of Dihydroethidium Fluorescence Intensity

Dihydroethidium has been mainly used for superoxide detection.<sup>26</sup> In this study, DHE 173staining was performed on the optimum cutting temperature-preserved frozen tissues, as 174reported in previous studies.<sup>27,28</sup> Immediately after collection, the frozen samples were 175176cut into 5-µm thick sections and placed on slides for DHE staining. The DHE was adjusted to 10  $\mu$ mol/l and applied to each tissue section (~500–1,000  $\mu$ l) so that the 177entire section was covered. The slides were then incubated in a light-protected, 178179humidified chamber at 37°C for 30 min. After incubation, the slides were washed twice with phosphate-buffered saline and 180 181 covered with coverslips. All DHE-stained sections were then immediately photographed

182 using a fluorescence microscope (BZ-9000; Keyence Corporation, Osaka, Japan) at

183 settings of  $20 \times$  magnification, 100% fluorescence excitation, and 0.5-s exposure time.

184 The images were randomly numbered for blind evaluation and analysis using ImageJ

185 (NIH, Bethesda, MD) to determine the fluorescence intensity of the DHE-stained cells.

186 The region of interest was set as the standardized field  $(100 \times 100 \ \mu m^2)$  at the tendon

187 200-400  $\mu$ m proximal to bone of the enthesis in injury models and no-tear side of tear

188 models or 200  $\mu$ m away from the margin of the stump in cuff-tear side of tear models.

189 Image analysis was performed within the standardized field on a color scale, and the

190 value of the brightest portion in the region of interest was defined as the fluorescence

191 intensity<sup>29-31</sup>. DHE fluorescence intensity was calculated based on an average

192 fluorescence intensity of five fields made for each slide.

193

#### 194 Gene Expression Analysis

195	In this study, the gene expression of SOD1 SOD2, and peroxiredoxin 5
196	(PRDX5), another antioxidant enzyme, <sup>32</sup> were examined. Total RNA from specimens
197	was extracted with RNeasy Mini Kit (Qiagen, West Sussex, UK) according to the
198	protocols provided by the manufacturer. First-strand cDNA was synthesized using a
199	PrimeScript RT Reagent Kit (Takara, Tokyo, Japan). Quantitative real-time polymerase
200	chain reaction (RT-PCR) studies were performed using TB Green Premix Ex Taq
201	(Takara, Tokyo, Japan) and 400 nM of each primer, routinely in duplicate. The primers
202	were designed based on the sequences in the GenBank database (Table 1). The fractional
203	cycle number at which the fluorescence passes the threshold (Ct values) was used for
204	quantification by using a comparative Ct method. Sample values were normalized to the
205	threshold value for $\beta$ -actin: $\Delta Ct = Ct$ (experiments) – Ct ( $\beta$ -actin). The Ct value of
206	control was used as a reference. $\Delta\Delta Ct = \Delta Ct$ (experiment) – $\Delta Ct$ (control). The fold
207	change in mRNA expression was calculated by following the formula: $2^{-\Delta\Delta Ct}$ .

### 209 Measurement of SOD Activity

210The SOD activity is regulated both by the amount of SOD protein present and the post-211translational modifications reducing the activity. Therefore, it is important to confirm the activity, when using SOD.<sup>33</sup> In this study, SOD activity was measured using a process 212that was based on a previous report.<sup>18</sup> We used an assay kit (Northwest Life Science 213Specialties, LLC, Vancouver, WA) and measured the total SOD activity at 7 days after 214the injection or the surgery according to the protocols provided by the manufacturer. The 215samples were examined soon after collection. These were mixed with beads and 216phosphate-buffered saline and crushed to prepare 10% tissue homogenates (mg/µl). The 217

homogenates were centrifuged (10,000 rpm × 4 min, 4°C) and the supernatant was
collected.

A microplate assay was performed using a plate reader (Wallac 1420 ARVOsx Multi-label plate reader; Perkin Elmer, Inc., Waltham, MA). 230  $\mu$ l of assay buffer was added into each well used for testing. 10  $\mu$ l of the sample or assay buffer (for the blank) was then added, shaken to mix, and left undisturbed for 2 min. Thereafter, 10  $\mu$ l of hematoxylin reagent was added and immediately mixed with the shaker function of the instrument. The absorbance at 560 nm was recorded every 10 s for at least 5 min.

The data were calculated using the following equations. The decomposition rate 226 227( $\Delta$ Abs560 nm/min) was defined from the reaction rate, and the decomposition rates of 228the sample (Rate<sub>s</sub>) and the blank (Rate<sub>b</sub>) were then calculated. The percentage for SOD inhibition of the baseline (blank) reaction rate was calculated as follows: %Inhibition = 229230 $(1-\text{Rate}/\text{Rate}) \times 100$  (%). The SOD activity was found to be approximately  $1.25 \times$  the 231measurable percent inhibition of hematoxylin auto oxidation, as established. The final 232concentration after adjusting the sample dilution was calculated as follows: SOD activity (units SOD/mg protein) =  $1.25 \times \%$ Inhibition × (sample dilution factor). 233

234

#### 235 Statistical Analysis

The Wilcoxon signed-rank test was performed to compare the degeneration score, DHE

237 fluorescence intensity, gene expression, and SOD activity with control sides. Data were

238 presented as mean values  $\pm$  standard error of the mean. A value of p < 0.05 was

239 considered statistically significant. All analyses were performed with GraphPad Prism

240 (version 8; MDF, Tokyo, Japan).

#### 242 **RESULTS**

#### 243 Rotator Cuff Injury Model

#### 244 Histological Evaluation

245 The H&E-stained tissue revealed signs of degeneration in the cuff-injury side (Fig. 1A-

D). Collagen alignment was disrupted and broken down in the cuff-injury side under

247 polarized microscopy (Fig. 1E-H). Mucin formation in the ground substance occurred in

the cuff-injury side (Fig. 1I–L). The degeneration scores for each item and the total

249 degeneration score were shown in the table (Table 2). The intra-examiner reliability of

the degeneration score in the injury model by two examiners was satisfactory: ICC(2,1)

251 = 0.89. Scores of cell morphology at 3 days, collagen alignment at 14 days, vascularity

at 3 and 7 days, and fiber structure at 3 days showed a significant increase. The total

scores at 3, 7, and 14 days were significantly higher in the cuff-injury side than the no-

injury side (Fig. 2A).

255

#### 256 Measurement of DHE Fluorescence Intensity

Comparison of the histological specimens from injury models showed that the DHE
fluorescence intensity was higher in the cuff-injury side than the no-injury side (Fig.
1M–P). DHE fluorescence intensity of the cuff-injury side was not significantly different
at 3 and 7 days in comparison with the no-injury side but was higher than the no-injury
side at 14 days (Fig. 2B).

262

#### 263 Gene Expression Analysis

In the gene expression profiles in injury models examined by RT-PCR at 3, 7, and 14

265 days after the injection, a decreased expression of SOD1 in the cuff-injury side was

observed at 7 days (Fig. 3A). No significant differences were found between the cuff-

injury side and the no-injury side regarding either SOD2 or PRDX5 expression (Fig. 3Band C).

269

#### 270 Measurement of SOD Activity

The SOD activity (Fig. 3D) was significantly lower in the cuff-injury side  $(64.4 \pm 12.8)$ 

units/mg protein) than in the no-injury side ( $123.6 \pm 0.3$  units/mg protein; p = 0.002).

273

#### 274 Rotator Cuff Tear Model

#### 275 Histological Evaluation

276 In tear models, H&E and AB stained tissues showed signs of degeneration in the cuff-

tear side (Fig. 4A–L). The degeneration scores for each item and the total degeneration

score were shown in the table (Table 3). The intra-examiner reliability of the

degeneration score in the tear model by two examiners was satisfactory: ICC(2,1) =

0.91. Unlike the injury model, scores of cellularity at 7 and 14 days, vascularity at 14

days, and fiber structure at 7 and 14 days showed a significant increase. The total scores

at 3, 7, and 14 days exhibited significant increases in the cuff-tear side than no-tear side

283 (Fig. 5A).

284

#### 285 Measurement of DHE Fluorescence Intensity

286 Histological specimens from tear models also showed that the DHE fluorescence

intensity was higher in the cuff-tear side than the no-tear side (Fig. 4M–P). The DHE

fluorescence intensity in the cuff-tear side was increased at 3, 7, and 14 days compared

with the no-tear side. (Fig. 5B)

#### 291 Gene Expression Analysis

Gene expression of SOD1 and SOD2 showed no significant difference at all points (Fig.
6A and B). On the other hand, a significant decrease of PRDX5 expression in the tear
side was recognized at 14 days (Fig. 6E).

295

#### 296 Measurement of SOD Activity

The SOD activity was  $149.6 \pm 10.4$  units/mg protein in the no-tear side and  $183.0 \pm 21.2$ units/mg protein in the cuff-tear side. No significant differences (p = 0.24) were found between the two groups (Fig. 6D).

300

#### 301 **DISCUSSION**

In this study, we had created the collagenase-induced rotator cuff injury model and the rotator cuff tear model. We demonstrated that the rotator cuffs of both the models showed tissue degeneration and that increased DHE fluorescence intensity detected oxidative stress. There were significant differences in the involvement of SOD between the two models, in that SOD was significantly decreased in the injury model and not significantly different in the tear model.

308 Several previous studies suggested a relationship between oxidative stress and 309 rotator cuff degeneration.<sup>3,5,6</sup> These studies proposed a signal pathway to suggest that 310 oxidative stress causes rotator cuff degeneration secondary to oxidative stress via matrix 311 metalloproteinase and c-Jun N-terminal protein kinase.<sup>3,5</sup> These data indicated that 312 increased oxidative stress promoted rotator cuff degeneration through a variety of 313 signals, ultimately leading to the tear. Oxidative stress has been evaluated in many studies with DHE fluorescence intensity, which can mainly quantify superoxide, as one
indicator.<sup>26</sup> The results of the present study showed that DHE fluorescence intensity was
significantly increased in both the injury and the tear models, demonstrating that these
models caused an increase of oxidative stress in the rotator cuffs.

318 The antioxidant enzyme SOD activates and decomposes in the presence of reactive oxygen species.<sup>14</sup> In studies using SOD deficient mice, Morikawa et al.<sup>16,17</sup> 319found that SOD1 is a crucial gene that inhibits rotator cuff degeneration due to oxidative 320 stress. Fillipin et al.<sup>34</sup> showed in rat models that SOD activity in the Achilles tendon after 321a tear was not significantly different from that with no tear. Yoshida et al.<sup>18</sup> reported that 322323the SOD activity was not significantly different between patients with and without 324rotator cuff tear; however, degeneration score and DHE fluorescence intensity of the tear group were significantly higher than in the no tear group. The SOD activity is regulated 325by the amount of all three SOD isoforms, and SOD isoform specificity cannot be 326 examined. Therefore, SOD1 and SOD2 gene expressions which were important for the 327rotator cuff degeneration<sup>16</sup> and cartilage of the osteoarthritis<sup>31,35</sup> were investigated using 328 the RT-PCR. Additionally, no studies have examined changes in SOD using animal 329 330 rotator cuff injury models without tear and tear models. We found that in the injury model, SOD1 expression and SOD activity were significantly decreased at 7 days and 331 DHE fluorescence intensity was significantly increased at 14 days. Scott et al.<sup>36</sup> reported 332333 that the expression of all three SOD enzymes decreased with increased collagenase gene expression in osteoarthritis cartilage. In the present study, it is possible that SOD1 334 expression was decreased by collagenase administration. It seems that decrease of SOD1 335336may be one of the reasons for the decrease in SOD activity. Collagenase may also have caused post-translational changes in SOD, but it was not investigated and further study 337

338	should be needed. In any case, the loss of redox balance due to decreased SOD may
339	cause oxidative stress, leading to an increase in DHE fluorescence intensity at 14 days.
340	On the other hand, the tear models showed no significant difference in SOD1,2
341	expression and SOD activity and showed a significant increase in DHE fluorescence
342	intensity at all points. Rotator cuff tissue degeneration and oxidative stress were caused
343	in both models, but the tear model did not involve SOD. Therefore, rotator cuff
344	degeneration without tear and rotator cuff degeneration after tear are supposedly
345	different in the involvement of SOD for the loss of redox balance.
346	Antioxidant enzymes have been reported to include glutathione peroxidase,
347	catalase, and PRDX, in addition to SOD. <sup>37</sup> There are 6 PRDX isoforms, all of which
348	participate directly in eliminating hydrogen peroxide (H2O2) and neutralizing other
349	oxidizing chemicals. <sup>38</sup> Previous studies reported the association between rotator cuff
350	degeneration and PRDX5, which is widely expressed in mammalian tissues and
351	cellularly localized to mitochondria, peroxisomes, and the cytosol. Nho et al. <sup>3</sup> reported
352	that cell apoptosis played an important role in the pathway of tendon degeneration from
353	oxidative stress, and Yuan et al. <sup>39</sup> noted an overexpression of PRDX5 via transfection in
354	cultured tendon reduced cell apoptosis. Wang et al. <sup>40</sup> reported that PRDX5 was
355	significantly upregulated in the degenerative rotator cuff compared with the normal
356	rotator cuff, suggesting a compensated response to oxidative stress in the degenerative
357	tendon. On the other hand, a previous study reported that mitochondrial dysfunction
358	contributes to oxidative stress and cell apoptosis during the aging process. <sup>41</sup>
359	Furthermore, Takayama et al. <sup>42</sup> showed that the increase in oxidative stress can also be
360	caused by mitochondrial dysfunction in tendon tissues. In the tear model of our study,
361	SOD was not significantly different; however, DHE fluorescence intensity was

significantly increased from 3 days and PRDX5 was significantly decreased at 14 days. 362 363 It is possible that mitochondrial dysfunction and cell apoptosis may increase oxidative 364 stress in the rotator cuff after tear, and then PRDX5 in mitochondria may be reduced. Previous studies reported that various antioxidants are effective for treating 365musculoskeletal tissue degeneration. Kim et al.<sup>43</sup> showed that oxidative stress induces 366 autophagic cell death in rotator cuff tenofibroblasts, and cyanidin inhibits cell death. 367 Morikawa et al.<sup>16</sup> reported that rotator cuff degeneration in SOD1-deficient mice could 368 369 be suppressed with vitamin C. Thus, antioxidants could be helpful for the treatment and prevention of rotator cuff tears in patients with oxidative stress. We showed that 370 371degenerative rotator cuffs without tear involved SOD1 in the injury model, and 372degenerative rotator cuffs with tear in the tear model involved a different antioxidant enzyme. It is possible that antioxidants related to SOD may be useful for suppressing 373rotator cuff degeneration without tear, and that antioxidants related to antioxidant 374 375enzymes other than SOD are useful for suppressing rotator cuff degeneration after tear. 376 Which antioxidant might be useful may depend on the state of the rotator cuff degeneration. 377

There were several limitations in this study. First, we investigated the 378 shoulders of SD rats. It is unclear whether the injury model in this study is the same as 379 the degenerative rotator cuffs of humans. A rat rotator cuff injury model was reported by 380 Chen et al.<sup>19</sup> in which rotator cuff degeneration continued until 14 days after collagenase 381injection and improved at 21 days. We also found rotator cuff degeneration at 3, 7, and 38214 days in the injury model, with results similar to those in the study. In addition, the 383 384control of the injury model was also injected with saline in order to eliminate the effect of the injection based on previous studies.<sup>19,44</sup> However, the injection of the control 385

potentially causes mechanical trauma. Second, rotator cuff tear models mimic traumatic 386 387 ruptures. The tear model in our study was different from that in humans, which is caused 388 by the progress of degeneration due to aging. Because our tear model cannot mimic this, we followed the same method as many previous studies that used animal models. 389 390 Additionally, in the tear model, the time course was limited to two weeks after the tear. The previous studies reported that in the rat rotator cuff tear model, the rotator cuff tears 391reattached spontaneously unlike in humans.<sup>45,46</sup> Because the space between the bony 392 393 insertion site and the tendon stump mostly filled with scar tissue in approximately one week after the tear, <sup>45,46</sup> we measured in two weeks after the tear. Third, it is still 394395 controversial whether DHE is an accurate detector. Although it has been used as a 396 traditional superoxide detector in many studies, some papers have claimed that DHE is oxidized by various molecules, such as H<sub>2</sub>O<sub>2</sub>, ONOO-, and Fenton's reagent and it is not 397 an accurate indicator of superoxide concentration.<sup>26</sup> However, the detection of oxidative 398 399 stress by DHE may be still considered useful. A previous study showed that DHE was especially oxidized by ROS intracellularly,<sup>26</sup> and in this study we eliminated the effect of 400 extracellular staining by measuring the brightest intensity of a field, which would be 401 402 indicated by the intracellular intensity. Therefore, DHE, which can detect intracellular ROS, may be useful. Fourth, we used  $\beta$ -actin as a housekeeping gene for gene 403 404 expression analysis. It was reported that ROS negatively regulate actin polymerization by driving actin glutathionylation<sup>47</sup> although other studies have showed that ROS 405 increased actin polymerization<sup>48,49</sup> and superoxide production induce an increase in 406 filamentous-actin.<sup>50</sup>  $\beta$ -actin may be affected by ROS, therefore we need further 407 investigation using other housekeeping genes. Fifth, SOD activity was investigated only 408at 7 days after the injection or the surgery. The previous study<sup>19</sup> reported that the tissue 409

410 degeneration has been observed from 3 to 14 days. Therefore, we chose at 7 days as an

411 intermediate time point. However, the results of SOD activity at 3 and 14 days, and

412 before 3 days were not evaluated and further investigation is needed.

413

#### 414 **CONCLUSIONS**

Tissue degeneration and oxidative stress of the rotator cuff were observed in both the rotator cuff injury and tear models; however, SOD was significantly decreased only in the rotator cuff injury model. These results suggest that SOD might not be associated with rotator cuff degeneration after tear but is involved in the degenerative rotator cuff without tear, although rotator cuff degeneration involves oxidative stress both with and without tear.

421

## 423 ACKNOWLEDGMENTS

- This study was supported (in part) by the grant from the Grant-in-Aid for Scientific
- 425 Research (C) (18K09081) and the Institute for Environmental & Gender-Specific
- 426 Medicine, Juntendo University.

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561		
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## 563 TABLES

Table 1. Primers for quantitative real-time polymerase chain reaction (RT-PCR)

Gene	Primers
β-actin	F: 5'-TGACAGGATGCAGAAGGAGA-3'
	R: 5'-TAGAGCCACCAATCCACACA-3'
SOD1	F: 5'-TGCGTGCTGAAGGGCGACGGTC-3'
	R:5'-AATCCCAATCACACCACAAGCCAAGC-3'
SOD2	F: 5'-AATCCCAATCACACCACAAGCCAAGC-3'
	R: 5'-CCCAGCAGTGGAATAAGGCCTGTGG-3'
PRDX5	F: 5'-AAAGGAGCAGGTTGGGAGTG-3'
	R: 5'-GCAGATGGGTCTTGGAACAG-3'

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566 SOD1, superoxide dismutase isoform 1; SOD2, superoxide dismutase isoform 2;

567 PRDX5, peroxiredoxin 5

568

	Day3			Day7			Day14		
	control	injury	<i>p</i> value	control	injury	<i>p</i> value	control	injury	<i>p</i> value
Cell morphology	0.5±0.3	2.0±0.0	< 0.05	0.5±0.3	1.5±0.5	0.169	0.8±0.5	1.5±0.5	0.343
Collagen alignment	0.5±0.3	2.0±0.4	0.052	$0.8{\pm}0.3$	1.0±0.3	0.052	0.8±0.3	2.0±0.0	< 0.05
Cellularity	0.5±0.3	$1.0{\pm}0.0$	0.180	$0.5 \pm 0.3$	$1.0\pm0.0$	0.453	0.8±0.3	1.3±0.3	0.257
Vascularity	$0.0 \pm 0.0$	1.3±0.3	< 0.05	$0.0{\pm}0.0$	1.5±0.6	< 0.05	0.3±0.3	1.3±0.5	0.160
Ground substance	1.0±0.4	1.3±0.3	0.739	0.3±0.3	1.5±0.5	0.069	$0.8 \pm 0.5$	1.3±0.3	0.436
Fiber structure	0.2±0.2	2.5±0.3	< 0.05	$1.0{\pm}0.4$	2.5±0.5	0.095	1.0±0.4	2.5±0.5	0.095
Collagen stainability	0.8±0.3	1.5±0.3	0.134	0.8±0.3	1.3±0.3	0.134	0.8±0.3	2.3±0.5	0.063
Total	3.5±0.9	11.5±0.6	< 0.05	4.0±1.1	11.8±2.0	< 0.05	5.0±1.3	12.0±1.4	< 0.05

## Table 2. The Degeneration Scores of the Injury Model for Each Item and the Total Degeneration Score

	Day3			Day7			Day14		
	control	injury	<i>p</i> value	control	injury	<i>p</i> value	control	injury	<i>p</i> value
Cell morphology	0.3±0.2	0.7±0.2	0.311	0.2±0.2	1.0±0.4	0.086	0.5±0.2	1.2±0.3	0.137
Collagen alignment	1.2±0.4	1.5±0.3	0.735	1.3±0.5	$2.2 \pm 0.5$	0.240	1.8±0.6	$2.0\pm0.5$	0.931
Cellularity	0.7±0.3	1.5±0.3	0.125	$0.5 \pm 0.2$	1.3±0.2	< 0.05	0.8±0.3	1.8±0.2	< 0.05
Vascularity	$0.2{\pm}0.2$	$0.5 \pm 0.2$	0.282	0.3±0.2	1.3±0.4	0.070	$0.0 \pm 0.0$	0.8±0.3	< 0.05
Ground substance	$0.8 \pm 0.4$	$1.7{\pm}0.4$	0.203	0.3±0.3	$0.7 \pm 0.4$	0.461	0.3±0.3	$0.8 \pm 0.5$	0.527
Fiber structure	0.2±0.2	1.3±0.5	0.073	0.3±0.3	$1.7{\pm}0.4$	< 0.05	$0.2 \pm 0.2$	1.8±0.3	< 0.05
Collagen stainability	$0.2{\pm}0.2$	0.3±0.2	0.595	0.3±0.3	0.3±0.3	0.900	0.3±0.3	0.3±0.3	0.900
Total	3.7±0.4	6.8±0.8	< 0.05	$2.6{\pm}0.7$	8.3±1.0	< 0.05	3.8±0.9	8.7±1.5	< 0.05

Table 3. The Degeneration Scores of the Tear Model for Each Item and the Total Degeneration Score

#### 573 FIGURE LEGENDS

574 Figure 1. Histology of tissue samples from the injury model. A–D, Hematoxylin-eosin

575 (H&E) stain under light microscopy; E–H, H&E stain under polarized microscopy; I–L,

- alcian blue (AB) stain under light microscopy; M-P, dihydroethidium (DHE) stain under
- 577 fluorescence microscopy. Bars =  $100 \mu m$ .

578

579 Figure 2. Comparison of the injury side and no-injury side of the rotator cuff injury

580 model. A, Degeneration score; B, fluorescence intensity with dihydroethidium (DHE) 581 stain.  $\star$ ; p < 0.05.

582

583 Figure 3. Comparison of the injury side and no-injury side of the rotator cuff injury

model. A–C, Fold change of SOD1, SOD2, and PRDX5; D, SOD activity.  $\star p < 0.05$ ,

585  $\star p < 0.01$ . SOD1, superoxide dismutase isoform 1; SOD2, superoxide dismutase

isoform 2; PRDX5, peroxiredoxin 5

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588 Figure 4. Histology of tissue samples from the tear model. A–D, Hematoxylin-eosin
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589 (H&E) stain under light microscopy; E–H, H&E stain under polarized microscopy; I–L,

590 alcian blue (AB) stain under light microscopy; M–P, dihydroethidium (DHE) stain under

fluorescence microscopy. Bars =  $100 \mu m$ .

592

593 Figure 5. Comparison of the tear side and no-tear side of the rotator cuff tear model. A,

594 Degeneration score; B, fluorescence intensity with dihydroethidium (DHE) stain.

595 \* p < 0.05.

- 597 Figure 6. Comparison of the tear side and no-tear side of the rotator cuff tear model. A-
- 598 C, Fold change of SOD1, SOD2, and PRDX5; D, superoxide dismutase (SOD) activity.
- 599  $\star$ ; p < 0.05. SOD1, superoxide dismutase isoform 1; SOD2, superoxide dismutase
- 600 isoform 2; PRDX5, peroxiredoxin 5

**HE staining** 

Polarized microscopy by HE staining

Alcian blue staining

**DHE staining** 



no-injury

Day3

Day7

Day14





## **HE staining**

Polarized microscopy by HE staining

# Alcian blue staining

# **DHE staining**



no-tear

Day3

Day7

Day14



no-tear

