

Relationship of Superoxide Dismutase to Rotator Cuff Degeneration and Tear in a

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ABSTRACT

 Rotator cuff degeneration is one of the several factors that lead to rotator cuff tears. Oxidative stress and superoxide dismutase have been reported to be related to rotator cuff degeneration; however, the precise mechanism still remains unclear. In this study, we investigated the relationship of oxidative stress and superoxide dismutase to the degeneration of the rotator cuff using rat models. Eighty-four rats were used to create a collagenase-induced rotator cuff injury model (injury model) and a rotator cuff tear model (tear model). The controls were administered saline and had only a deltoid incision, respectively. We evaluated degeneration morphology of the rotator cuff using a degeneration score; dihydroethidium fluorescence intensity, which detects oxidative stress; gene expression; and superoxide dismutase activity. The rotator cuffs in the injury and tear models significantly increased degeneration scores and dihydroethidium fluorescence intensity. On the other hand, gene expression of superoxide dismutase isoform, superoxide dismutase 1, and superoxide dismutase activity were significantly decreased in the injury model but showed no significant difference in the tear model. These findings suggested that superoxide dismutase might not be associated with rotator cuff degeneration after tear but may be involved in degenerative rotator cuff without tear. However, we found that rotator cuff degeneration involves oxidative stress both with and without tear. Based on these findings, it is presumed that different treatments may be appropriate, depending on the state of rotator cuff degeneration, because the mechanisms of the degeneration may be different.

 Key Terms: rotator cuff degeneration; rotator cuff tear; oxidative stress; superoxide dismutase; peroxiredoxin

INTRODUCTION

 The factors contributing to rotator cuff tears fall under 2 main categories: extrinsic factors and intrinsic factors. Extrinsic factors include acromion morphology, subacromial spurs, trauma, and shoulder overuse. Intrinsic factors include inflammation, 58 hypovascularity, aging, and degeneration.^{1–3} Rotator cuff degeneration is one of the characteristics of tears and aging in rotator cuff entheses. Pathologic changes typical of degeneration have been reported to include thinning and disorientation of collagen fibers 61 and loss of cellularity, vascularity, and fibrocartilage mass at the site of cuff insertion.^{3,4} The precise mechanism of rotator cuff degeneration, however, remains unclear. Recently, it was reported that rotator cuff degeneration is caused by oxidative 64 stress,^{3,5,6} an imbalance between oxidation, caused by reactive oxygen species, and 65 reduction, induced by antioxidant systems.⁷ It is still controversial whether oxidative stress can be a primary mechanism of age-related disease. Oxidative damage could lead to the hallmarks contributing to the ageing process, which include genomic instability, 68 attrition of telomere, epigenetic alterations, and loss of proteostasis.⁸ For example, the attrition of telomere, which accelerates aging and increases the risk of age-related diseases, can be caused by oxidative damage because they are highly sensitive to the 71 damage and their repair capacity is less well than other parts of the chromosome. $9,10$ Furthermore, it was reported that oxidative stress is thought to play a pivotal role in the pathological processes implicated in ageing and age-related diseases and the underlying biochemical mechanisms have been clarified in detail.¹¹ For intense, the mitochondrial 75 DNA damages would lead to loss of redox homeostasis, perturbed Ca^{2+} homeostasis, damage to membrane proteins and lipids, as well as to abnormal mitochondrial energy transduction.¹² These modifications are the driving force for further mitochondrial

78 dysfunction and loss of integrity, which will affect cell viability and cellular function.^{12,13} In addition, organs, being high-energy consuming and sensitive to bioenergetic defects, are strongly affected by mitochondrial dysfunctions, resulting in organ-specific pathologies.

 Superoxide dismutase (SOD) is a major antioxidant enzyme that scavenges 83 reactive oxygen species *in vivo*.¹⁴ There are 3 SOD isoforms, each having a different active center and locality: SOD1 (CuZn-SOD) is localized in the cytoplasm, with Cu/Zn as its active center; SOD2 (Mn-SOD) exists in the mitochondrial matrix, with Mn as its active center; and SOD3 (EC-SOD) is distributed in extracellular fluids, such as synovial 87 fluid, plasma, and lymph, with Cu/Zn as its active center.¹⁴

 Previous studies showed that SOD1 deficiency induces age-related effects on 89 various tissues.¹⁵ Morikawa et al.^{16,17} found that excessive oxidative stress induced degeneration of rotator cuff enthesis in SOD1-deficient mice. Yoshida et al.¹⁸ reported that SOD activity was not significantly different between rotator cuffs with tears and those without tears although oxidative stress and tendon degeneration in humans were greater in rotator cuffs with tears than in those without. As the reason for no significant difference in SOD activity, Yoshida et al. described that the SOD might be inactivated 95 and not compensable in cases of advanced rotator cuff tears. Chen et al.¹⁹ created an animal rotator cuff injury model in which collagenase injected into the rotator cuff enthesis caused biomechanical weakness and histological degeneration. Hashimoto et 98 al.²⁰ created animal rotator cuff tear models and showed that the rotator cuff was histologically degenerated after the tear. However, the association of rotator cuff degeneration with oxidative stress and SOD in these models has not been investigated.

Rotator Cuff Tear Model

 Anesthesia was performed in the same manner as in the injury model. The surgery was 130 performed in bilateral shoulders according to previously described methods.²¹ In the right shoulder, the supraspinatus tendon was exposed by splitting the deltoid muscle. The supraspinous tendon was sharply detached from the insertion using a scalpel. The tendon stump was retracted medially by contraction of the rotator cuff muscle so that a U- shaped medium-size tear was created naturally. The overlying deltoid muscle and skin were then closed (cuff-tear side). In the left shoulder, served as a control, the deltoid muscle was split to expose the supraspinatus tendon and the deltoid muscle and skin were immediately closed without rotator cuff resection (no-tear side). All rats were allowed unrestricted activity in the cage.

Tissue Preparation

 Rats from the injury model and the tear model were euthanized with an overdose of 142 sodium pentobarbital at 3, 7, or 14 days after the injection or surgery. The supraspinatus tendon entheses of the injury models and the stumps of the ruptured supraspinatus tendon of the tear models were harvested and used for analysis. Specimens used for histological studies were cut into 5-μm frozen sections and stained with hematoxylin- eosin (H&E), alcian blue (AB), or dihydroethidium (DHE) (Life Technologies Corporation, Gaithersburg, MD). We evaluated one section for each staining for each

specimen. Other specimens were used for gene expression analysis using quantitative

real-time polymerase chain reaction (RT-PCR) and measurement of SOD activity.

Histological Evaluation

 The H&E stain was used to investigate the morphology of the rotator cuff tissue, including cell form, collagen orientation, vascularity, and cell distribution. Mucin formation was evaluated using AB staining. We used kernechtrot staining as the counterstain for AB staining. Each sample was cut into 5-μm thick sections and individually stained with H&E or AB. Sections were viewed under a brightfield microscope (for H&E and AB) and/or a polarized light microscope (for H&E). The tissues were evaluated using a scale described as the "degeneration score." The score had 159 been proposed in a past study,¹⁸ based on previous reports.²²⁻²⁴ The score comprises 7 factors: (i) cell morphology, (ii) collagen alignment, (iii) vascularity, (iv) cellularity, (v) ground substance, (vi) fiber structure, and (vii) collagen stainability. Collagen alignment, cellularity, ground substance, fiber structure, and collagen stainability were evaluated 163 one field of view, at 100× total magnification. Cell morphology was evaluated four fields of view, at 200× total magnification. Vascularity was evaluated ten fields of view, at 400 \times total magnification.²⁴ All fields were set at the tendon 200-400 µm proximal to bone of the enthesis in injury models and no-tear side of tear models or 200 μm away 167 from the margin of the stump in cuff-tear side of tear models.²⁵ Each factor was evaluated using a 4-point scale. The 7 scores were then combined and the total score for each sample was calculated. All slides were blindly numbered and independently scored by two researchers familiar with musculotendinous histopathology.

Measurement of Dihydroethidium Fluorescence Intensity

173 Dihydroethidium has been mainly used for superoxide detection.²⁶ In this study, DHE staining was performed on the optimum cutting temperature-preserved frozen tissues, as 175 reported in previous studies.^{27,28} Immediately after collection, the frozen samples were cut into 5-μm thick sections and placed on slides for DHE staining. The DHE was 177 adjusted to 10 μmol/l and applied to each tissue section $(\sim 500-1,000 \mu l)$ so that the entire section was covered. The slides were then incubated in a light-protected, humidified chamber at 37℃ for 30 min. After incubation, the slides were washed twice with phosphate-buffered saline and covered with coverslips. All DHE-stained sections were then immediately photographed using a fluorescence microscope (BZ-9000; Keyence Corporation, Osaka, Japan) at settings of 20× magnification, 100% fluorescence excitation, and 0.5-s exposure time. The images were randomly numbered for blind evaluation and analysis using ImageJ (NIH, Bethesda, MD) to determine the fluorescence intensity of the DHE-stained cells. 186 The region of interest was set as the standardized field ($100 \times 100 \mu m^2$) at the tendon 200-400 μm proximal to bone of the enthesis in injury models and no-tear side of tear models or 200 μm away from the margin of the stump in cuff-tear side of tear models. Image analysis was performed within the standardized field on a color scale, and the value of the brightest portion in the region of interest was defined as the fluorescence 191 intensity²⁹⁻³¹. DHE fluorescence intensity was calculated based on an average fluorescence intensity of five fields made for each slide.

Gene Expression Analysis

Measurement of SOD Activity

 The SOD activity is regulated both by the amount of SOD protein present and the post- translational modifications reducing the activity. Therefore, it is important to confirm the 212 activity, when using SOD.³³ In this study, SOD activity was measured using a process 213 that was based on a previous report.¹⁸ We used an assay kit (Northwest Life Science Specialties, LLC, Vancouver, WA) and measured the total SOD activity at 7 days after the injection or the surgery according to the protocols provided by the manufacturer. The samples were examined soon after collection. These were mixed with beads and 217 phosphate-buffered saline and crushed to prepare 10% tissue homogenates (mg/ μ l). The

218 homogenates were centrifuged (10,000 rpm \times 4 min, 4 °C) and the supernatant was collected.

 A microplate assay was performed using a plate reader (Wallac 1420 ARVOsx Multi-label plate reader; Perkin Elmer, Inc., Waltham, MA). 230 μl of assay buffer was 222 added into each well used for testing. 10 μl of the sample or assay buffer (for the blank) was then added, shaken to mix, and left undisturbed for 2 min. Thereafter, 10 μl of hematoxylin reagent was added and immediately mixed with the shaker function of the instrument. The absorbance at 560 nm was recorded every 10 s for at least 5 min. The data were calculated using the following equations. The decomposition rate (Δ Abs560 nm/min) was defined from the reaction rate, and the decomposition rates of 228 the sample (Rate_s) and the blank (Rate_b) were then calculated. The percentage for SOD

229 inhibition of the baseline (blank) reaction rate was calculated as follows: %Inhibition =

230 (1−Rate_s/Rate_b) × 100 (%). The SOD activity was found to be approximately 1.25× the

 measurable percent inhibition of hematoxylin auto oxidation, as established. The final concentration after adjusting the sample dilution was calculated as follows: SOD activity

Statistical Analysis

The Wilcoxon signed-rank test was performed to compare the degeneration score, DHE

fluorescence intensity, gene expression, and SOD activity with control sides. Data were

238 presented as mean values \pm standard error of the mean. A value of $p \le 0.05$ was

233 (units SOD/mg protein) = $1.25 \times$ %Inhibition \times (sample dilution factor).

considered statistically significant. All analyses were performed with GraphPad Prism

(version 8; MDF, Tokyo, Japan).

RESULTS

Rotator Cuff Injury Model

Histological Evaluation

The H&E-stained tissue revealed signs of degeneration in the cuff-injury side (Fig. 1A–

D). Collagen alignment was disrupted and broken down in the cuff-injury side under

polarized microscopy (Fig. 1E–H). Mucin formation in the ground substance occurred in

the cuff-injury side (Fig. 1I–L). The degeneration scores for each item and the total

degeneration score were shown in the table (Table 2). The intra-examiner reliability of

250 the degeneration score in the injury model by two examiners was satisfactory: $\text{ICC}(2,1)$

 $251 = 0.89$. Scores of cell morphology at 3 days, collagen alignment at 14 days, vascularity

at 3 and 7 days, and fiber structure at 3 days showed a significant increase. The total

scores at 3, 7, and 14 days were significantly higher in the cuff-injury side than the no-

injury side (Fig. 2A).

Measurement of DHE Fluorescence Intensity

 Comparison of the histological specimens from injury models showed that the DHE fluorescence intensity was higher in the cuff-injury side than the no-injury side (Fig. 1M–P). DHE fluorescence intensity of the cuff-injury side was not significantly different at 3 and 7 days in comparison with the no-injury side but was higher than the no-injury side at 14 days (Fig. 2B).

Gene Expression Analysis

In the gene expression profiles in injury models examined by RT-PCR at 3, 7, and 14

days after the injection, a decreased expression of SOD1 in the cuff-injury side was

observed at 7 days (Fig. 3A). No significant differences were found between the cuff-

 injury side and the no-injury side regarding either SOD2 or PRDX5 expression (Fig. 3B and C).

Measurement of SOD Activity

271 The SOD activity (Fig. 3D) was significantly lower in the cuff-injury side (64.4 ± 12.8)

272 units/mg protein) than in the no-injury side $(123.6 \pm 0.3 \text{ units/mg protein}; p = 0.002)$.

Rotator Cuff Tear Model

Histological Evaluation

In tear models, H&E and AB stained tissues showed signs of degeneration in the cuff-

tear side (Fig. 4A–L). The degeneration scores for each item and the total degeneration

score were shown in the table (Table 3). The intra-examiner reliability of the

279 degeneration score in the tear model by two examiners was satisfactory: $\text{ICC}(2,1)$ =

280 0.91. Unlike the injury model, scores of cellularity at 7 and 14 days, vascularity at 14

days, and fiber structure at 7 and 14 days showed a significant increase. The total scores

at 3, 7, and 14 days exhibited significant increases in the cuff-tear side than no-tear side

(Fig. 5A).

Measurement of DHE Fluorescence Intensity

Histological specimens from tear models also showed that the DHE fluorescence

intensity was higher in the cuff-tear side than the no-tear side (Fig. 4M–P). The DHE

fluorescence intensity in the cuff-tear side was increased at 3, 7, and 14 days compared

with the no-tear side. (Fig. 5B)

Gene Expression Analysis

 Gene expression of SOD1 and SOD2 showed no significant difference at all points (Fig. 6A and B). On the other hand, a significant decrease of PRDX5 expression in the tear side was recognized at 14 days (Fig. 6E).

Measurement of SOD Activity

297 The SOD activity was 149.6 ± 10.4 units/mg protein in the no-tear side and 183.0 ± 21.2 298 units/mg protein in the cuff-tear side. No significant differences ($p = 0.24$) were found between the two groups (Fig. 6D).

DISCUSSION

 In this study, we had created the collagenase-induced rotator cuff injury model and the rotator cuff tear model. We demonstrated that the rotator cuffs of both the models showed tissue degeneration and that increased DHE fluorescence intensity detected oxidative stress. There were significant differences in the involvement of SOD between the two models, in that SOD was significantly decreased in the injury model and not significantly different in the tear model.

 Several previous studies suggested a relationship between oxidative stress and 309 rotator cuff degeneration.^{3,5,6} These studies proposed a signal pathway to suggest that oxidative stress causes rotator cuff degeneration secondary to oxidative stress via matrix 311 metalloproteinase and c-Jun N-terminal protein kinase.^{3,5} These data indicated that increased oxidative stress promoted rotator cuff degeneration through a variety of signals, ultimately leading to the tear. Oxidative stress has been evaluated in many

 studies with DHE fluorescence intensity, which can mainly quantify superoxide, as one 315 indicator.²⁶ The results of the present study showed that DHE fluorescence intensity was significantly increased in both the injury and the tear models, demonstrating that these models caused an increase of oxidative stress in the rotator cuffs.

 The antioxidant enzyme SOD activates and decomposes in the presence of 319 reactive oxygen species.¹⁴ In studies using SOD deficient mice, Morikawa et al.^{16,17} found that SOD1 is a crucial gene that inhibits rotator cuff degeneration due to oxidative 321 stress. Fillipin et al.³⁴ showed in rat models that SOD activity in the Achilles tendon after a tear was not significantly different from that with no tear. Yoshida et al.¹⁸ reported that the SOD activity was not significantly different between patients with and without rotator cuff tear; however, degeneration score and DHE fluorescence intensity of the tear group were significantly higher than in the no tear group. The SOD activity is regulated by the amount of all three SOD isoforms, and SOD isoform specificity cannot be examined. Therefore, SOD1 and SOD2 gene expressions which were important for the 328 rotator cuff degeneration¹⁶ and cartilage of the osteoarthritis^{31,35} were investigated using the RT-PCR. Additionally, no studies have examined changes in SOD using animal rotator cuff injury models without tear and tear models. We found that in the injury model, SOD1 expression and SOD activity were significantly decreased at 7 days and DHE fluorescence intensity was significantly increased at 14 days. Scott et al.³⁶ reported that the expression of all three SOD enzymes decreased with increased collagenase gene expression in osteoarthritis cartilage. In the present study, it is possible that SOD1 expression was decreased by collagenase administration. It seems that decrease of SOD1 may be one of the reasons for the decrease in SOD activity. Collagenase may also have caused post-translational changes in SOD, but it was not investigated and further study

 significantly increased from 3 days and PRDX5 was significantly decreased at 14 days. It is possible that mitochondrial dysfunction and cell apoptosis may increase oxidative stress in the rotator cuff after tear, and then PRDX5 in mitochondria may be reduced. Previous studies reported that various antioxidants are effective for treating musculoskeletal tissue degeneration. Kim et al.⁴³ showed that oxidative stress induces autophagic cell death in rotator cuff tenofibroblasts, and cyanidin inhibits cell death. 368 Morikawa et al.¹⁶ reported that rotator cuff degeneration in SOD1-deficient mice could be suppressed with vitamin C. Thus, antioxidants could be helpful for the treatment and prevention of rotator cuff tears in patients with oxidative stress. We showed that degenerative rotator cuffs without tear involved SOD1 in the injury model, and degenerative rotator cuffs with tear in the tear model involved a different antioxidant enzyme. It is possible that antioxidants related to SOD may be useful for suppressing rotator cuff degeneration without tear, and that antioxidants related to antioxidant enzymes other than SOD are useful for suppressing rotator cuff degeneration after tear. Which antioxidant might be useful may depend on the state of the rotator cuff degeneration.

 There were several limitations in this study. First, we investigated the shoulders of SD rats. It is unclear whether the injury model in this study is the same as the degenerative rotator cuffs of humans. A rat rotator cuff injury model was reported by 381 Chen et al.¹⁹ in which rotator cuff degeneration continued until 14 days after collagenase injection and improved at 21 days. We also found rotator cuff degeneration at 3, 7, and 14 days in the injury model, with results similar to those in the study. In addition, the control of the injury model was also injected with saline in order to eliminate the effect 385 of the injection based on previous studies.^{19,44} However, the injection of the control

degeneration has been observed from 3 to 14 days. Therefore, we chose at 7 days as an

intermediate time point. However, the results of SOD activity at 3 and 14 days, and

before 3 days were not evaluated and further investigation is needed.

CONCLUSIONS

 Tissue degeneration and oxidative stress of the rotator cuff were observed in both the rotator cuff injury and tear models; however, SOD was significantly decreased only in the rotator cuff injury model. These results suggest that SOD might not be associated with rotator cuff degeneration after tear but is involved in the degenerative rotator cuff without tear, although rotator cuff degeneration involves oxidative stress both with and without tear.

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REFERENCES

563 **TABLES**

564 Table 1. Primers for quantitative real-time polymerase chain reaction (RT-PCR)

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566 SOD1, superoxide dismutase isoform 1; SOD2, superoxide dismutase isoform 2;

567 PRDX5, peroxiredoxin 5

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Table 2. The Degeneration Scores of the Injury Model for Each Item and the Total Degeneration Score

Table 3. The Degeneration Scores of the Tear Model for Each Item and the Total Degeneration Score

FIGURE LEGENDS

 Figure 1. Histology of tissue samples from the injury model. A–D, Hematoxylin‐eosin (H&E) stain under light microscopy; E–H, H&E stain under polarized microscopy; I–L, alcian blue (AB) stain under light microscopy; M–P, dihydroethidium (DHE) stain under 577 fluorescence microscopy. Bars = $100 \mu m$. Figure 2. Comparison of the injury side and no-injury side of the rotator cuff injury model. A, Degeneration score; B, fluorescence intensity with dihydroethidium (DHE) 581 stain. \star ; p < 0.05. Figure 3. Comparison of the injury side and no-injury side of the rotator cuff injury 584 model. A–C, Fold change of SOD1, SOD2, and PRDX5; D, SOD activity. $\star p$ < 0.05, ⋆⋆*p* < 0.01. SOD1, superoxide dismutase isoform 1; SOD2, superoxide dismutase isoform 2; PRDX5, peroxiredoxin 5 Figure 4. Histology of tissue samples from the tear model. A–D, Hematoxylin-eosin (H&E) stain under light microscopy; E–H, H&E stain under polarized microscopy; I–L, alcian blue (AB) stain under light microscopy; M–P, dihydroethidium (DHE) stain under 591 fluorescence microscopy. Bars = $100 \mu m$. Figure 5. Comparison of the tear side and no-tear side of the rotator cuff tear model. A, Degeneration score; B, fluorescence intensity with dihydroethidium (DHE) stain. 595 $\star p < 0.05$.

- Figure 6. Comparison of the tear side and no-tear side of the rotator cuff tear model. A–
- C, Fold change of SOD1, SOD2, and PRDX5; D, superoxide dismutase (SOD) activity.
- ⋆; *p* < 0.05. SOD1, superoxide dismutase isoform 1; SOD2, superoxide dismutase
- isoform 2; PRDX5, peroxiredoxin 5

^A Fig.1

HE staining

Polarized microscopy by HE staining

Alcian blue staining

DHE staining

no-injury Day3 Day7 Day14

Fig.2

Fig.3

^A Fig.4

HE staining

Polarized microscopy by HE staining

Alcian blue staining

DHE staining

