1	Title
2	Reevaluation of cardiovascular risk factors for thrombotic events in 580 Japanese patients with essential
3	thrombocythemia
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•The impact of cardiovascular risk factors on thrombosis in essential thrombocythemia was analyzed.

91 •Hypertriglyceridemia is an independent risk factor for thrombosis.

•The risk of thrombosis is higher in patients with multiple cardiovascular risk factors.

•Thrombosis in essential thrombocythemia may be prevented by lifestyle interventions, including the

94 control of cardiovascular risk factors.

95

96 Abstract

97 Risk-adapted therapy is recommended to prevent thrombosis in essential thrombocythemia (ET) patients. 98 An advanced age, a history of thrombosis, and the presence of the JAK2V617F mutation are well-defined 99 risk factors for thrombosis in ET; however, the impact of cardiovascular risk (CVR) factors on thrombosis 100 in ET remains elusive. Therefore, we herein investigated the impact of CVR factors on thrombosis in 580 101 ET patients who met the 2017 World Health Organization Classification diagnostic criteria. A univariate 102 analysis identified hypertriglyceridemia and multiple CVR factors as strong risk factors for thrombosis 103 (hazard ratio [HR]: 3.530, 95% confidence interval [CI] =1.630-7.643, P = 0.001 and HR: 3.368, 95% CI 104 = 1.284-8.833, P = 0.014, respectively) and hyper-LDL cholesterolemia as a potential risk factor (HR: 2.191, 105 95% CI = 0.966-4.971, P = 0.061). A multivariate analysis revealed that hypertriglyceridemia was an 106 independent risk factor for thrombosis (HR: 3.364, 95% CI =1.541-7.346, P = 0.002). Furthermore, poor 107 thrombosis-free survival was observed in patients with a serum triglyceride level \geq 1.2 mmol/L (HR=2.592, 108 P = 0.026 vs. <1.2 mmol/L) or two or more CVR factors (P = 0.011 vs. no CVR factors and P=0.005 vs. 109 one CVR factor). These results revealed the impact of CVR factors on thrombosis in ET. Since CVR factors 110 are manageable, lifestyle interventions, such as the control of serum triglyceride levels, may effectively 111 prevent thrombosis in ET patients.

112

113 Introduction

114 Essential thrombocythemia (ET) is a subtype of Philadelphia chromosome-negative myeloproliferative 115 neoplasms (MPNs) and is characterized by thrombocytosis with the presence of megakaryocytic 116 hyperplasia in bone marrow. Since thrombosis is one of the major and critical complications in ET, the risk 117 stratification of thrombosis based on risk factors is beneficial for the selection of individualized treatment 118 plans. To date, three major thrombotic risk scoring systems have been used in clinical practice: the 119 conventional thrombosis risk classification[1], the International Prognostic Score for Essential 120 Thrombocythemia (IPSET)-thrombosis[2], and the revised IPSET-thrombosis[3,4]. All three systems use 121 an advanced age and a history of thrombosis, while the latter two also use the presence of the JAK2V617F122 mutation, which is one of causal factors for ET[5], as risk factors for thrombosis[6-10].

123 Hypertension (HT), diabetes mellitus (DM), hyperlipidemia (HL), and smoking are risk factors 124 for cerebro-cardiovascular diseases in healthy subjects[11-14]. The relationships among thrombosis and 125 CVR factors have been actively investigated in ET patients (Supplemental Table 1)[2,4,9,15-28]; however, 126 the impact of CVR factors remains controversial. Cortelazzo et al. reported that none of the CVR factors 127 (HT, DM, HL, and smoking) examined were associated with thrombosis in 100 patients with ET[15]; 128 however, Besses et al. subsequently demonstrated that hypercholesterolemia was associated with 129 macrovascular complications[18]. Furthermore, based on the findings of a large international study[2], 130 CVR factors were incorporated into the risk scoring system, IPSET-thrombosis. Although the accuracy of 131 this scoring system was confirmed in a follow-up study with a large number of ET cases[23], two major

- 132 MPN research groups showed that the impact of CVR factors on thrombosis was smaller than originally
- expected[3,4]; therefore, CVR factors were omitted from the newly proposed revised IPSET-thrombosis.
- 134 Since it currently remains unclear whether CVR factors are risk factors for thrombosis in ET[2-4], we herein
- reevaluated the impact of CVR factors on thrombosis in ET in a large Japanese cohort.
- 136

137 Materials and methods

138 Patients

- 139 We enrolled 580 patients diagnosed with ET according to World Health Organization (WHO)
- 140 Classification 2017 diagnostic criteria[29,30]. Data were retrospectively collected at Juntendo University
- 141 Hospital between June 17, 1993, and December 2, 2020. Some patients with high hemoglobin (Hb) levels
- 142 (>165 g/L in males and >160 g/L in females) were suspected of having polycythemia vera (PV); however,
- 143 the results of bone marrow biopsy were not compatible with PV due to the absence of increased
- 144 erythropoiesis and, thus, these patients were diagnosed with ET. The following clinical parameters and
- events were included in the analysis: date of diagnosis, age, sex, the presence of driver gene mutations,
- 146 the white blood cell (WBC) count, Hb level, the hematocrit (Hct), platelet (Plt) count, low-density
- 147 lipoprotein cholesterol (LDL-C) level, triglyceride (TG) level, the presence of CVR factors (HT, DM,
- 148 hyper-LDL cholesterolemia, hypertriglyceridemia, and smoking), a history of thrombosis, and thrombotic
- events after diagnosis. A driver gene mutation analysis was performed as previously reported[31,32]. The
- 150 present study was conducted in accordance with the Declaration of Helsinki and was approved by the
- 151 Ethics Committee of Juntendo University (IRB #M12-0895).
- 152

153 Definition of clinical conditions

- 154 HT and HL were defined according to previous guidelines[33]. Briefly, HT was defined as blood pressure 155 at rest higher than 140/90 mmHg. HL consisted of hyper-LDL cholesterolemia and hypertriglyceridemia 156 (defined as a LDL-C level \geq 3.6 mmol/L and TG level \geq 1.7 mmol/L, respectively). The risk classification 157 of thrombosis was estimated according to the conventional risk classification[1], IPSET-thrombosis[2], and 158 revised IPSET-thrombosis[3,4]. Thrombotic events in the present study included cerebral infarction, 159 transient ischemic attack, myocardial infarction, angina pectoris, peripheral arterial occlusion, pulmonary 160 embolism, deep vein thrombosis, and other life-threatening thrombotic events. Thrombosis-free survival 161 (TFS) was calculated as the time from the day of diagnosis to the onset of arterial and venous thrombosis.
- 162 The discontinuation of patient visits and death were considered to be censored events.

163

164 Statistical analysis

165 The Kaplan-Meier method was used to analyze TFS, and the Log-rank test to compare TFS curves. To

- 166 identify risk factors for thrombotic events, univariate and multivariate analyses using the Cox proportional
- 167 hazards regression were performed after selecting clinically important variables based on previous studies.

168 Two-tailed tests were used for all statistical analyses of valid variables, with P <0.05 considered to be

169 significant. EZR version 1.55 (Jichi Medical University, Saitama Medical Center, Japan) was used for

statistical analyses[34]. EZR is a graphical user interface for R (The R Foundation for Statical Computing,

- 171 Vienna, Austria). More precisely, it is a modified version of R commander designed to add statistical
- 172 functions frequently used in biostatistics.
- 173

174 Results

175 **Patient characteristics**

We analyzed data obtained from 580 ET patients who met the diagnostic criteria of the WHO

177 classification 2017 (Table 1). The number of patients in each thrombosis risk category at diagnosis is

shown in Supplemental Table 2. The median observation period was 3.6 years (range, 0-27.9 years), and

- thrombosis occurred after diagnosis in 6.4% of patients (n = 37, 1.3/100 patient years), including arterial (n = 31, 84%) and venous (n = 6, 16%) events (Supplemental Table 3). Although available data were
- 181 limited, no significant differences were observed in the incidence of thrombotic events between patients
- 182 treated with (27 out of 73 patients, 37.0%) and without (10 out of 34 patients, 29.4%) cytoreductive
- 183 therapy (CRT) (P = 0.516, by Fisher's exact test) or those treated with (19 out of 35 patients, 54.3%) and
- 184 without (18 out of 36 patients, 50.0%) antiplatelet therapy (P = 0.814, by Fisher's exact test). Five- and
- 185 10-year TFS rates in the entire cohort were 94.3% (95% confidence interval [CI]: 91.4-96.3%) and 89.9%
- 186 (95% CI: 84.7-93.3%), respectively (Figure 1a). TFS rates significantly differed among the four groups
- 187 defined by the driver mutation status, and the JAK2V617F mutation group showed the shortest TFS,
- 188 whereas the triple-negative group had only two thrombotic events in the observation period (Figure 1b).
- 189 Similar to previous studies by Western groups[1-4], Japanese ET patients were accurately stratified based
- 190 on the conventional (P = 0.048, Figure 1c), IPSET-thrombosis (P = 0.007, Figure 1d), and revised IPSET-
- 191 thrombosis risk scoring systems (P = 0.035, Figure 1e).
- 192

193 Strong impact of hypertriglyceridemia on thrombosis in ET

194 To establish whether CVR is a risk factor for thrombosis in ET, we initially performed a univariate analysis 195 of TFS. Hypertriglyceridemia was identified as a strong risk factor for thrombosis (hazard ratio [HR]: 3.530, 196 95% CI =1.630-7.643, P = 0.001) and hyper-LDL cholesterolemia as a potential risk factor (HR: 2.191, 197 95% CI = 0.966-4.971, P = 0.061) (Table 2). Consistent with previous findings[1-4], the presence of the 198 JAK2V617F mutation (HR: 2.110, 95% CI = 1.042-4.272, P = 0.038) and an advanced age (≥ 60 years) (HR: 199 2.002, 95% CI = 0.961-4.170, P = 0.064) were associated with thrombotic events. The univariate analysis 200 revealed that none of the CVR factors had an impact on TFS or overall survival (Table 2 and Supplemental 201 Table 4), whereas hypertriglyceridemia had a significant effect on TFS (P = 0.001). Therefore, we focused 202 on each CVR factor (HT, DM, hyper-LDL cholesterolemia, hypertriglyceridemia, and smoking) and

203 performed a multivariate analysis of five models incorporating an advanced age (≥ 60 years) and positivity

for the *JAK2*V617F mutation, which are known risk factors for thrombosis (Table 3). We found that hypertriglyceridemia remained an independent risk factor for thrombosis (HR: 3.364, 95% CI = 1.541-7.346, P = 0.002) and hyper-LDL cholesterolemia was a potential risk factor (HR: 2.046, 95% CI = 0.895-4.676, P = 0.090). An additional analysis that focused on TG levels revealed a significantly worse TFS rate in patients with a TG level ≥ 1.2 mmol/L than in those with a TG level < 1.2 mmol/L (HR: 2.592, P = 0.026, Figure 2, Supplemental Table 5), suggesting the impact of hypertriglyceridemia on thrombotic events in ET.

210 To identify risk factors for thrombosis, we divided 308 patients for whom all five CVR factors 211 were fully available (Supplemental Table 6) into low- and high-risk groups according to the conventional 212 thrombosis risk classification. Although no significant factors were identified in the low-risk group by the 213 multivariate analysis, presumably due to the small number of patients with thrombosis, HRs for the 214 JAK2V617F mutation and hypertriglyceridemia were high (HR: 2.672, 95% CI = 0.447-15.970, P = 0.282215 and HR: 2.050, 95% CI = 0.337-12.480, P = 0.436, respectively; Supplemental Table 6A). On the other 216 hand, hypertriglyceridemia was the only significant risk factor among CVR factors in the high-risk group 217 (HR: 3.620, 95% CI = 1.455-9.005, P = 0.006; Supplemental Table 6B). Collectively, these results indicated 218 a relationship between hypertriglyceridemia and thrombosis in ET.

219

220 Multiple CVR factors increased the thrombotic risk in ET patients

221 To further investigate the impact of CVR factors on thrombosis, we examined the effect of the CVR load 222 on thrombosis in ET. ET patients (n = 308) with complete data on all five CVR factors were divided into 223 three groups: 127 patients (41.2%) with no CVR factors (CVR0), 100 (32.5%) with only one CVR factor 224 (CVR1), and 81 (26.3%) with two or more CVR factors (CVR \geq 2). The frequency of thrombotic events 225 increased with the number of CVR factors, and HR for CVR ≥2 was significantly higher in the univariate 226 analysis (HR: 3.368, 95% CI = 1.284-8.833, P = 0.014) when CVR0 was used as a reference (Table 4). 227 Patients with $\text{CVR} \ge 2$ had the shortest TFS (P = 0.011 vs. CVR0 and 0.005 vs. CVR1; Figures 3a and 3b), 228 whereas no significant differences were observed between the CVR0 and CVR1 groups (P = 0.961, Figure 229 3c).

230 To confirm the impact of CVR factors on thrombotic events, we compared the risk classification 231 of 480 ET patients evaluable by both the IPSET-thrombosis scoring system, which considers CVR factors, 232 and the revised IPSET-thrombosis scoring system, which does not. Fifteen patients who were differently 233 stratified between the systems were classified as low by revised IPSET-thrombosis and high by IPSET-234 thrombosis (Supplemental Table 7). Among these patients, two (13.3%) developed thrombosis and both 235 had hypertriglyceridemia and multiple CVR factors (hypertriglyceridemia plus hyper-LDL cholesterolemia 236 [n = 1] and hypertriglyceridemia plus HT [n = 1]). These results indicate that the thrombotic risk increased 237 in patients with hypertriglyceridemia or multiple CVR factors and that the thrombotic risk classification 238 without considering CVR factors may overlook patients who potentially are at a higher risk of thrombosis. 239

240 Discussion

By evaluating the impact of CVR factors on thrombosis in a large ET cohort, we herein demonstrated that: 1) hypertriglyceridemia is an independent risk factor for thrombosis, and 2) the risk of thrombosis is markedly higher in patients with multiple CVR factors. The present results confirmed that CVR factors are a valuable component for the risk stratification of thrombosis. Since the treatment of HT, DM, and hyper-LDL cholesterolemia as well as the cessation of smoking are prioritized in the management and prevention of cerebro-cardiovascular diseases[12,33,35,36], additional interventions for hypertriglyceridemia may also effectively prevent thrombosis in ET with hypertriglyceridemia.

248 High TG levels were identified as a thrombotic risk factor for stroke in an 18-year prospective 249 study on 9087 Japanese individuals aged between 40 and 69 years[37]. Concomitant with this finding, 250 cohort analyses revealed that hypertriglyceridemia may cause thrombosis in non-ET individuals of several 251 ethnicities[38,39]. In vitro analyses of blood samples from patients with CVR factors showed that 252 hypertriglyceridemia induced Plt activation and shortened the time to thrombus formation[40]. These 253 findings strongly support our hypothesis that hypertriglyceridemia is an independent risk factor for 254 thrombosis. In addition, we found that TFS was significantly shorter in ET patients with a TG level ≥ 1.2 255 mmol/L (Figure 2). Even though the present results were retrospectively obtained from a single ethnic 256 cohort at one center and there are currently no large cohort analyses of the relationship between 257 hypertriglyceridemia and thrombosis in ET patients of other ethnicities, this study implies that target TG 258 levels need to be more strictly controlled in ET patients than in non-ET patients. Diet may affect TG levels; 259 however, the influence of diet was considered to be minimal in the present study for the following reasons: 260 1) it was unlikely that non-fasting patients were biased toward either the thrombosed or nonthrombosed 261 group that was analyzed; and 2) TG levels have been associated with a risk of ischemic cardiovascular 262 disease regardless of the fasting status[41].

In the present study, no significant differences were observed in thrombotic events between CVR0 and CVR1 (Figure 3c); however, the risk of thrombosis was significantly higher with CVR \geq 2 than with CVR0 and CVR1 (Figures 3a and 3b). These results suggest that the risk of thrombosis in patients with multiple CVR factors is overlooked or underestimated by the revised IPSET-thrombosis scoring system, which does not adopt CVR factors (Supplemental Table 7). Therefore, the original IPSETthrombosis scoring system[2], which includes CVR factors and the *JAK2*V617F mutation, is more suitable for Japanese ET patients than the revised IPSET-thrombosis scoring system[3,4].

The main limitation of the present study was its retrospective nature. CVR factors and treatment information, including CRT, antiplatelet therapy, and the treatment of CVR factors with lipid-lowering medications, were not recorded in all subjects. Furthermore, the number of thrombotic events was small, presumably due to the short median follow-up of 3.6 years. In addition, the present study was solely conducted on Japanese patients with ET; therefore, it currently remains unclear whether the results obtained are applicable to other ethnic groups. Nevertheless, hypertriglyceridemia and multiple CVR factors were extracted as risk factors for thrombosis.

- 277 In conclusion, we identified hypertriglyceridemia as a thrombotic risk factor in Japanese ET
- 278 patients. We also showed that ET patients with multiple CVR factors were at a higher risk of thrombosis.
- 279 Since the majority of CVR factors are manageable, thrombosis in ET may be prevented by lifestyle
- 280 interventions, including the control of serum TG levels.

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Table 1 Patient backgrounds

Characteristics at diagnosis	Number of patients or value	Evaluable patients
Age, median (range)	62 (8-93)	580
Female, n (%)	337 (58.5)	576
Male, n (%)	239 (41.5)	576
WBC, median; $\times 10^{9}/L$ (range)	8.9 (3.2-28.8)	579
Hb, median; g/L (range)	138 (78-182)	580
Hct, median; % (range)	42.0 (22.9-57.9)	576
Plt, median; ×10 ⁹ /L (range)	824 (450-3817)	580
JAK2V617F mutation, n (%)	299 (51.6)	580
CALR mutation, n (%)	145 (25.0)	580
Type 1, n (%)	98 ^a (16.9)	580
Type 2, n (%)	47 (8.1)	580
MPL mutation, n (%)	23 (4.0)	580
W515K, n (%)	9 (1.6)	580
W515L, n (%)	11 (1.9)	580
Others, n (%)	3ª (0.5)	580
Triple-negative, n (%)	114 (19.7)	580
History of thrombosis, n (%)	82 (14.1)	568
Cardiovascular risk factors ^b , n (%)	181 (58.8)	308
Hypertension, n (%)	99 (30.2)	328
Diabetes mellitus, n (%)	31 (9.5)	327
Hyper-LDL cholesterolemia, n (%)	69 (23.5)	294
LDL level, median; mmol/L (range)	2.7 (1.1-5.9)	292
Hypertriglyceridemia, n (%)	76 (24.5)	310
Triglyceride level, median; mmol/L (range)	1.2 (0.3-5.0)	310
Smoking, n (%)	31 (9.7)	319

LDL, low-density lipoprotein

^a One patient harbored CALR and MPLS505N mutations

^b Cardiovascular risk factors were defined as cases with at least one of hypertension, diabetes mellitus,

hyper-LDL cholesterolemia, hypertriglyceridemia, and/or current smoking

	Thrombotic	Univariable				Multivariable		
Variables	events (n, %)	HR	95% CI	P value	HR	95% CI	P value	
Age ≥ 60 years	25, 8.1%	2.002	0.961-4.170	0.064	1.470	0.619-3.494	0.3831	
Sex (male)	16, 6.7%	1.091	0.565-2.105	0.796				
WBC $\geq 11 \times 10^9/L$	6, 4.1%	0.613	0.255-1.469	0.272				
$Plt \ge 1500 \times 10^9 / L$	All paties	nts who d	eveloped throm	bosis had a Pl	t count of 1	1500×10 ⁹ /L or lo	ower	
$Plt \ge 1000 \times 10^9 / L$	12, 6.9%	1.053	0.528-2.100	0.883				
JAK2V617F mutation	26, 8.7%	2.110	1.042-4.272	0.038	1.674	0.634-4.418	0.298	
CALR mutation	5, 3.4%	0.448	0.175-1.151	0.095				
MPL mutation	4, 17.4%	2.053	0.722-5.835	0.177				
History of thrombosis	8, 9.8%	1.578	0.721-3.456	0.254	1.223	0.536-2.793	0.632	
Cardiovascular risk factors	20, 11.0%	1.919	0.764-4.822	0.166	1.982	0.823-4.769	0.127	
Hypertension	12, 12.1%	1.798	0.830-3.895	0.137				
Diabetes mellitus	4, 12.9%	2.175	0.745-6.351	0.155				
Hyper-LDL cholesterolemia	9, 13.0%	2.191	0.966-4.971	<u>0.061</u>				
Hypertriglyceridemia	14, 18.4%	3.530	1.630-7.643	0.001				
Smoking	4, 12.9%	1.370	0.469-3.999	0.565				

Table 2 Univariable and multivariable analyses of predictors of thrombosis-free survival

CI, confidence interval; HR, hazard ratio; LDL, low-density lipoprotein

P values <0.05 are highlighted in bold

P values ≥ 0.05 and < 0.1 are underlined

Table 3 Multivariable analysis of predictors of thrombosis-free survival including individual cardiovascular
risk factors

Variables	HR	95% CI	P value
Model 1			
Age ≥60 years	1.558	0.646-3.760	0.324
JAK2V617F mutation	1.357	0.592-3.113	0.471
Hypertension	1.495	0.656-3.409	0.339
Model 2			
Age ≥60 years	1.698	0.731-3.946	0.218
JAK2V617F mutation	1.302	0.563-3.009	0.537
Diabetes mellitus	2.000	0.671-5.960	0.214
Model 3			
Age ≥60 years	1.687	0.724-3.931	0.226
JAK2V617F mutation	1.301	0.543-3.114	0.555
Hyper-LDL cholesterolemia	2.046	0.895-4.676	<u>0.090</u>
Model 4			
Age ≥60 years	1.476	0.636-3.429	0.365
JAK2V617F mutation	1.243	0.539-2.867	0.610
Hypertriglyceridemia	3.364	1.541-7.346	0.002
Model 5			
Age ≥60 years	1.835	0.803-4.970	0.150
JAK2V617F mutation	1.511	0.657-3.476	0.332
Smoking	1.640	0.550-4.893	0.375

CI, confidence interval; HR, hazard ratio; LDL, low-density lipoprotein

P values <0.05 are highlighted in bold

P values ≥ 0.05 and < 0.1 are underlined

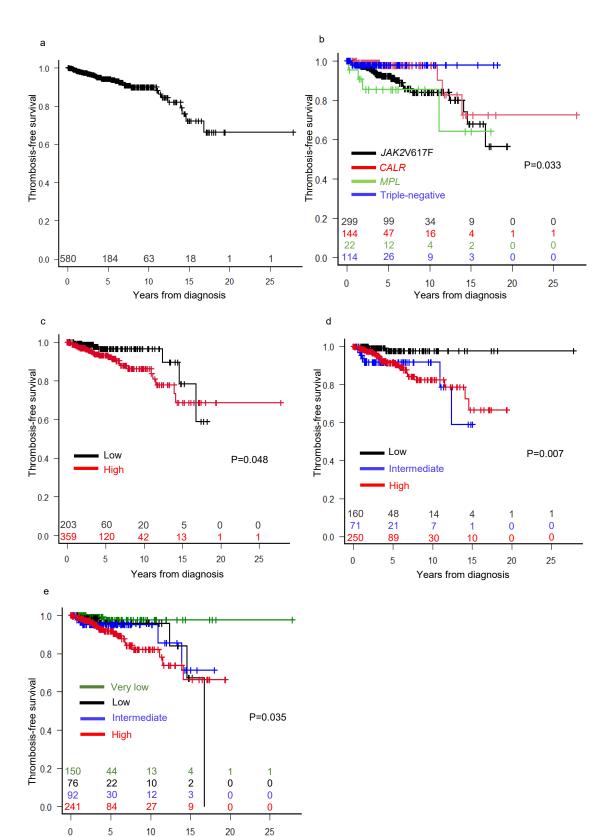
Number of	Number of	Number of		Univariable	
cardiovascular risk factors	patients (%)	thrombotic events (%)	HR	95% CI	P value
0	127 (41.2)	6 (4.7)	1.000 (Reference)		
1	100 (32.5)	6 (6.0)	0.947	0.302-2.966	0.925
≥2	81 (26.3)	14 (17.3)	3.368	1.284-8.833	0.014

Table 4 Correlations between thrombotic events and the number of cardiovascular risk factors

CI, confidence interval; HR, hazard ratio

P values <0.05 are highlighted in bold





Years from diagnosis

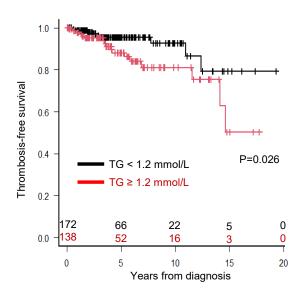


Fig.2

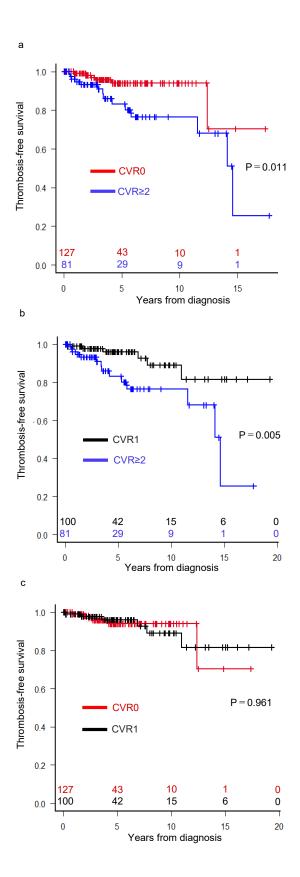


Fig.3

Figure legends

Fig. 1

Kaplan-Meier curves showing thrombosis-free survival (TFS) in the entire cohort (a), and TFS among patients with a different driver mutation status: JAK2V617F (black), CALR exon 9 frameshift mutations (red), MPL S204P and W515L/K/A (green), and triple-negative (blue) (b); patients classified by the use of the conventional risk classification for thrombosis: low (black) and high (red) (c); patients classified by IPSET-thrombosis: low (black), intermediate (blue), and high (red) (d); and by revised IPSET-thrombosis: very low (green), low (black), intermediate (blue), and high (red) (e). P <0.05 was defined as significant

Fig. 2

Kaplan-Meier curves showing thrombosis-free survival of patients with a triglyceride (TG) level ≥ 1.2 mmol/L (red) or <1.2 mmol/L (black). P <0.05 was defined as significant

Fig. 3

Kaplan-Meier curves comparing the thrombosis-free survival of patients with multiple cardiovascular risk factors (CVR2, blue) versus those without CVR (CVR0, red) (a); CVR2 versus patients with one CVR (CVR1, black) (b); and CVR0 versus CVR1 (c). P < 0.05 was defined as significant